



**IAEA**

**International Atomic Energy Agency**

# **Dose and Risk Assessment**

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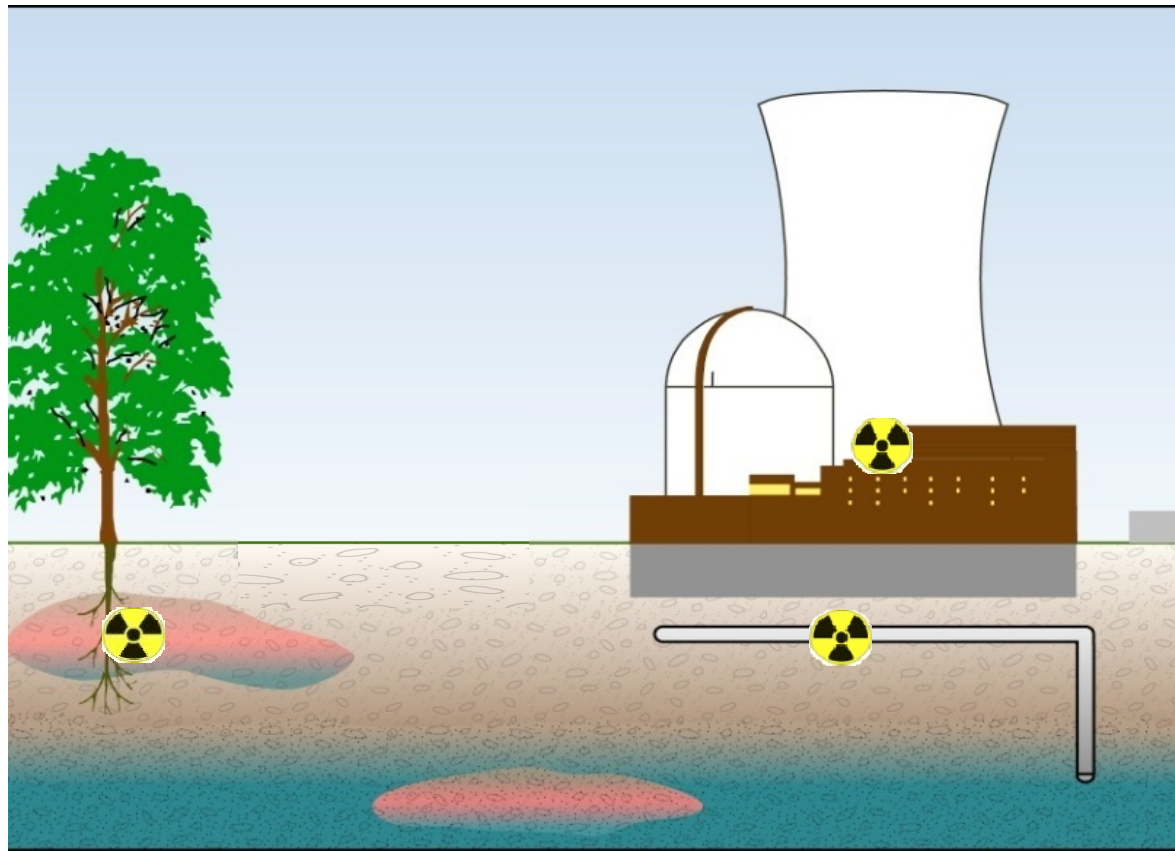
## Dose and Risk Assessment

- Introduction to dose and risk assessment and radionuclide transport in the environment
- Overview of pathway analyses and data requirements
- Dose conversion coefficients and risk coefficients (slope factors)
- Developing dose- and risk-based cleanup criteria
- Overview of selected dose and risk assessment codes and models
  - Presentation of different codes and models and their applicability
  - Demonstration of NNL ReCLAIM screening assessment tool
  - Demonstration of RESRAD family of codes

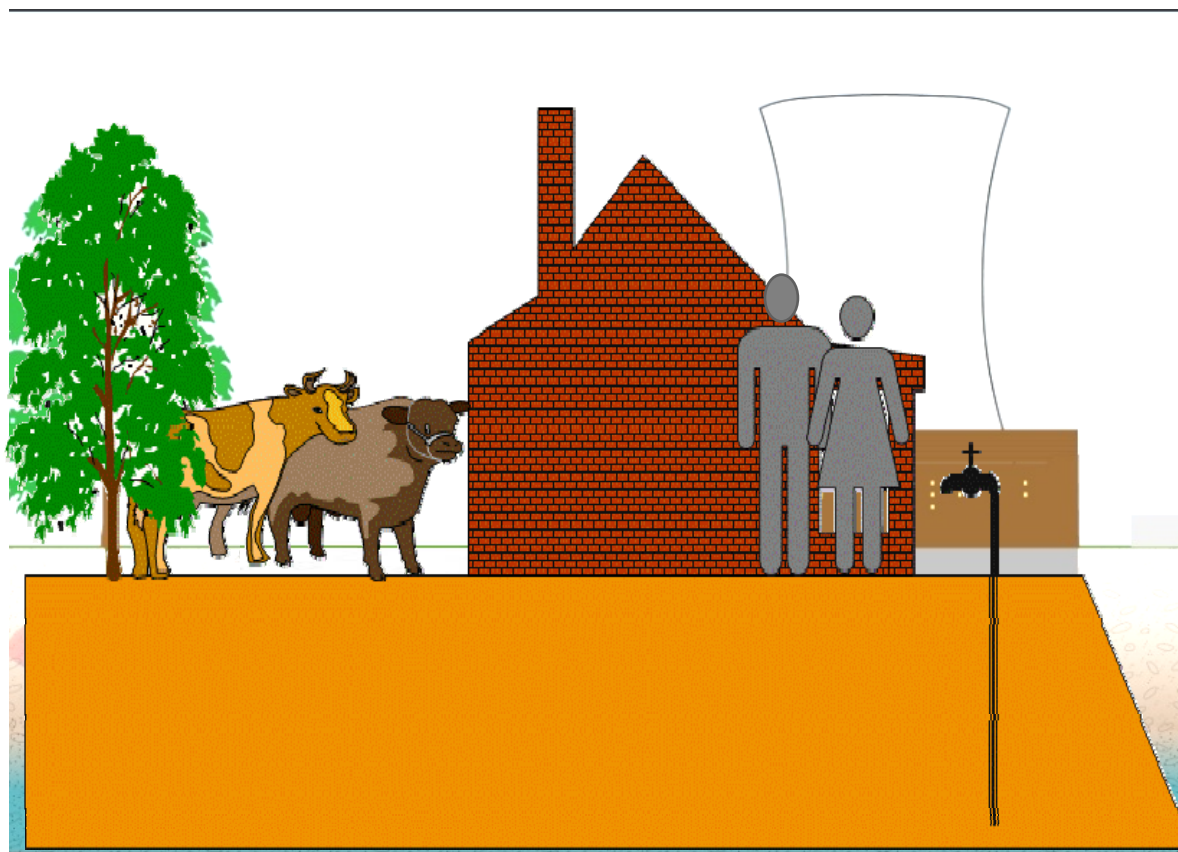
# Dose Assessment for the Derivation of Site-Specific Cleanup Guidelines

- Determine exposure scenario(s)
- Determine exposure pathway(s)
- Gather data
- Understand calculations
- Calculate guidelines
- Conduct cleanup

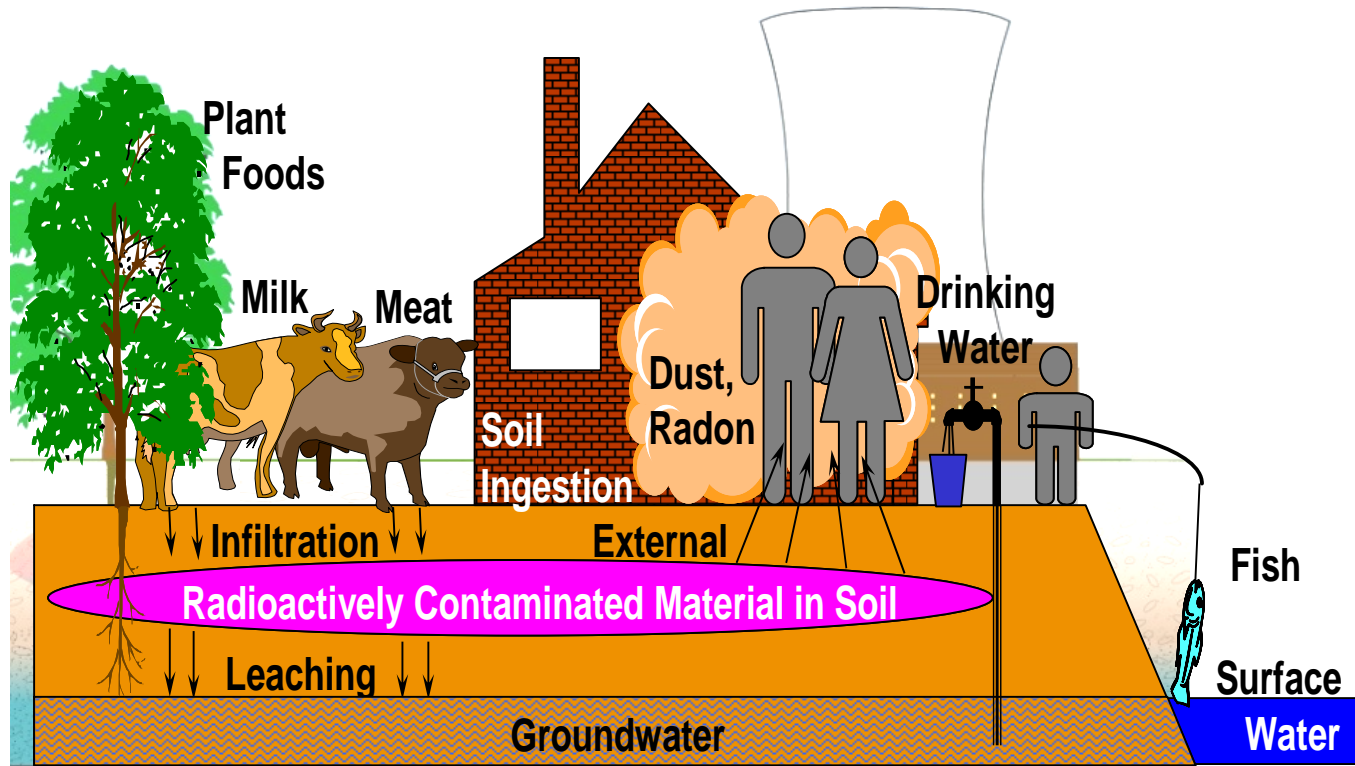
# Identify Potential Contamination



# Determine Exposure Scenarios



# Determine Exposure Pathways



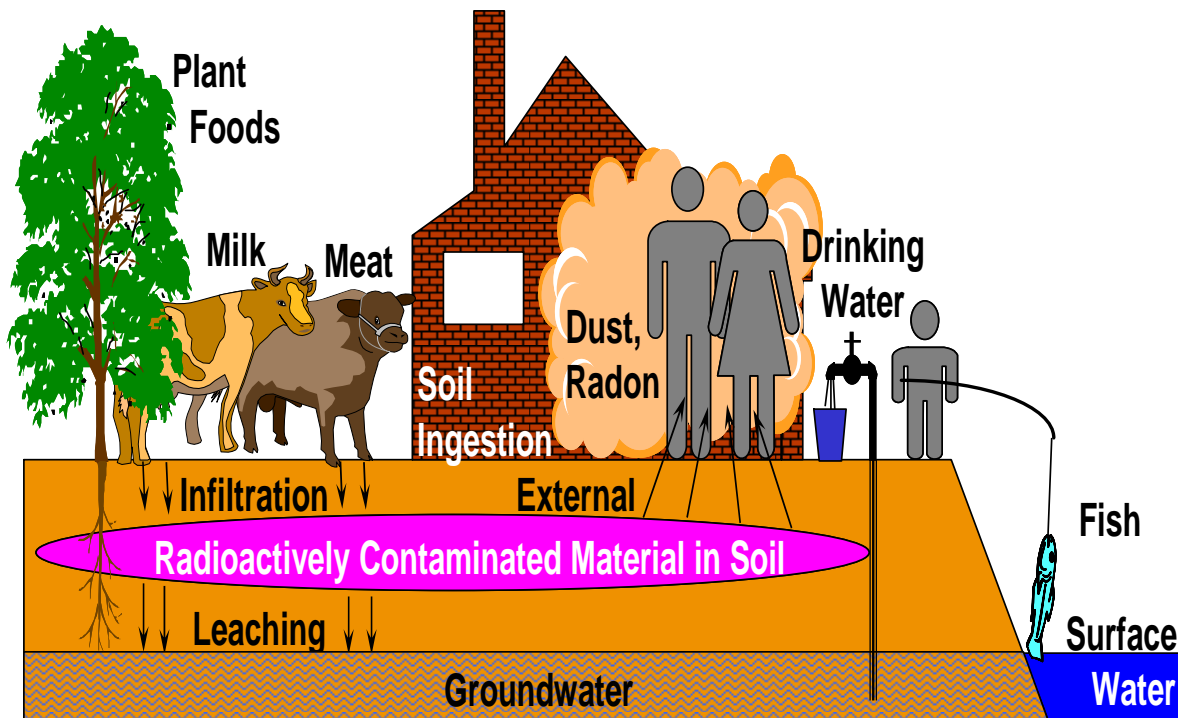
## Gather Data

### Environment

(precipitation, groundwater)

### Scenario

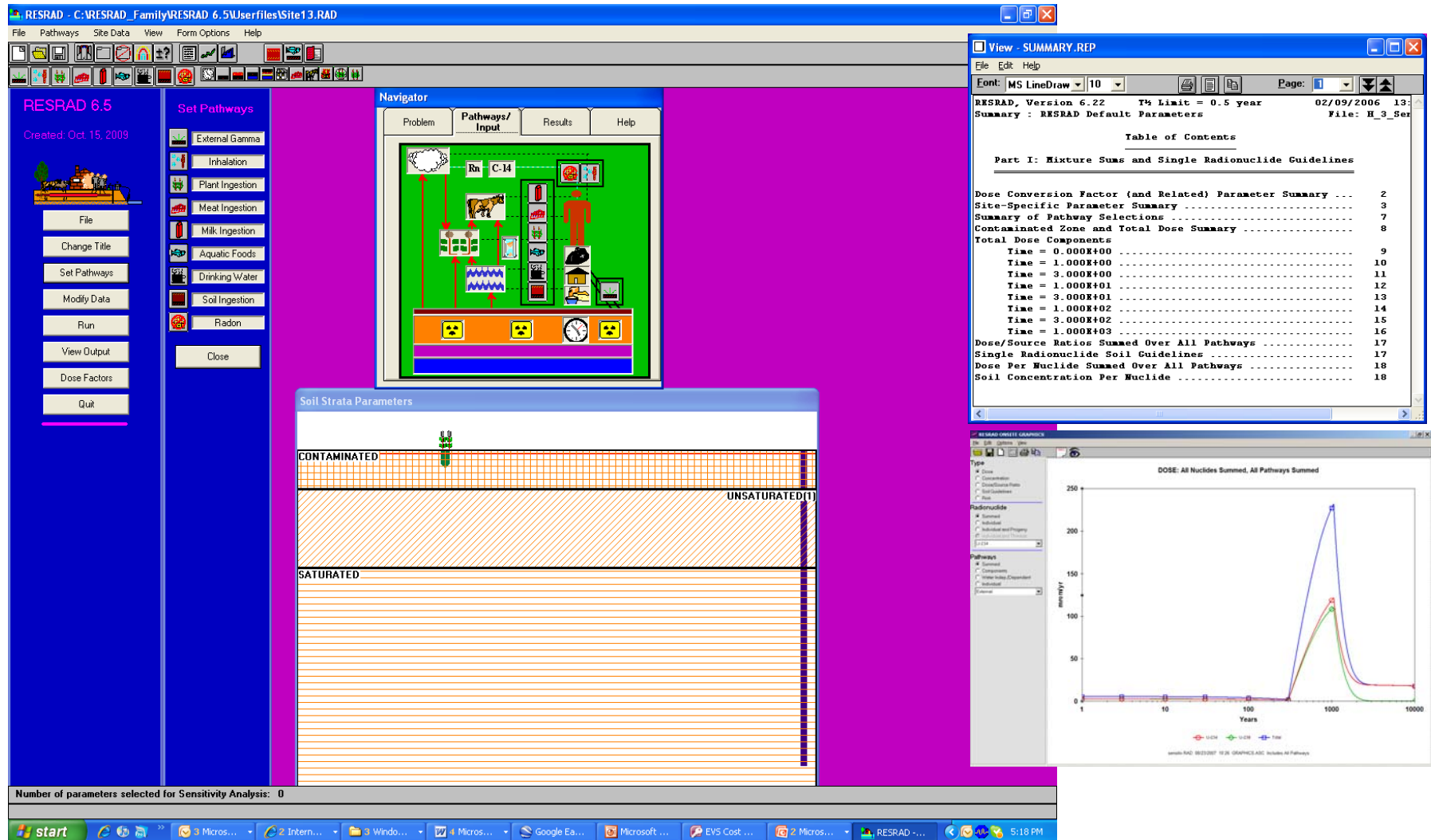
(land use, time, diet, shielding)



### Contamination

(size, nuclides, concentration, release)

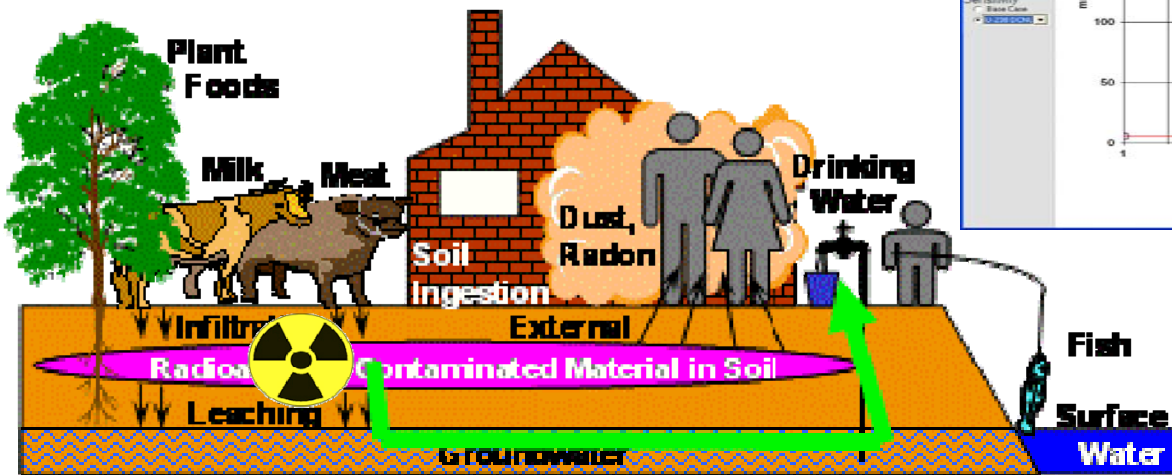
# Perform Calculations



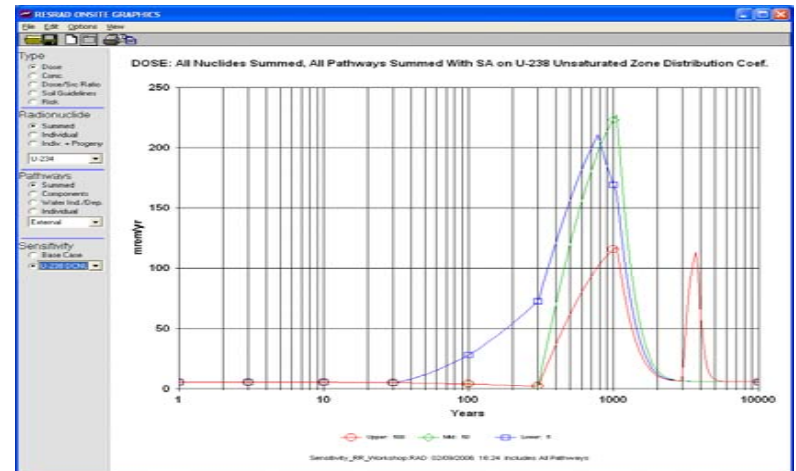
The screenshot displays the RESRAD 6.5 software interface. The main window shows a central diagram of a site with various pathways (Inhalation, Plant Ingestion, Meat Ingestion, Milk Ingestion, Aquatic Foods, Drinking Water, Soil Ingestion, Radon) and a central figure representing a person. Below this is a 'Soil Strata Parameters' window showing a cross-section of the ground with layers labeled 'CONTAMINATED', 'UNSATURATED(I)', and 'SATURATED'. To the right, a 'View - SUMMARY.REP' window displays a 'Table of Contents' for the report, including sections like 'Part I: Mixture Sums and Single Radionuclide Guidelines', 'Dose Conversion Factor (and Related) Parameter Summary', 'Site-Specific Parameter Summary', 'Summary of Pathway Selections', 'Contaminated Zone and Total Dose Summary', 'Total Dose Components', and 'Dose/Source Ratios Summed Over All Pathways'. A 'RESRAD ENVNET GRAPHICS' window shows a line graph titled 'DOSE: All Nuclides Summed, All Pathways Summed' with 'Dose (mSv)' on the y-axis and 'Years' on the x-axis (log scale). The graph shows a peak dose around 1000 years. The Windows taskbar at the bottom shows the system time as 5:18 PM.



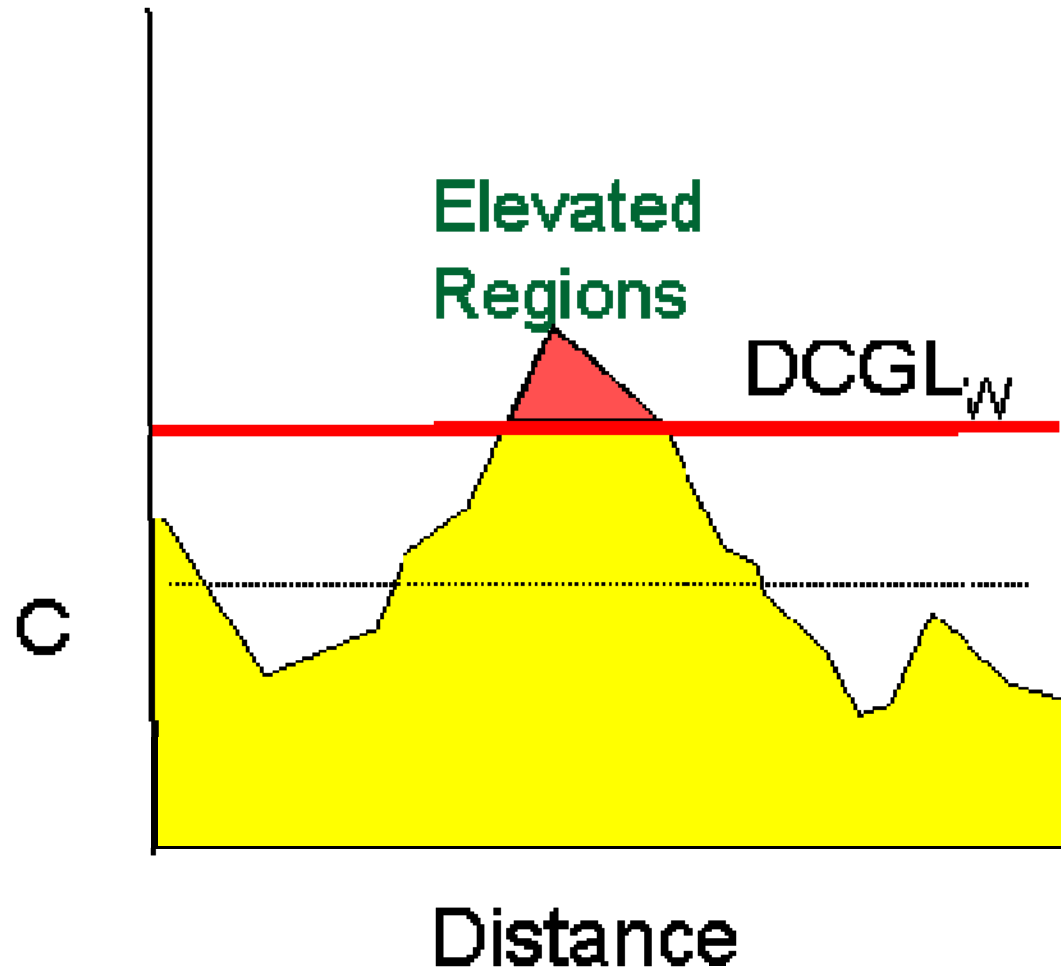
# Understand Calculations



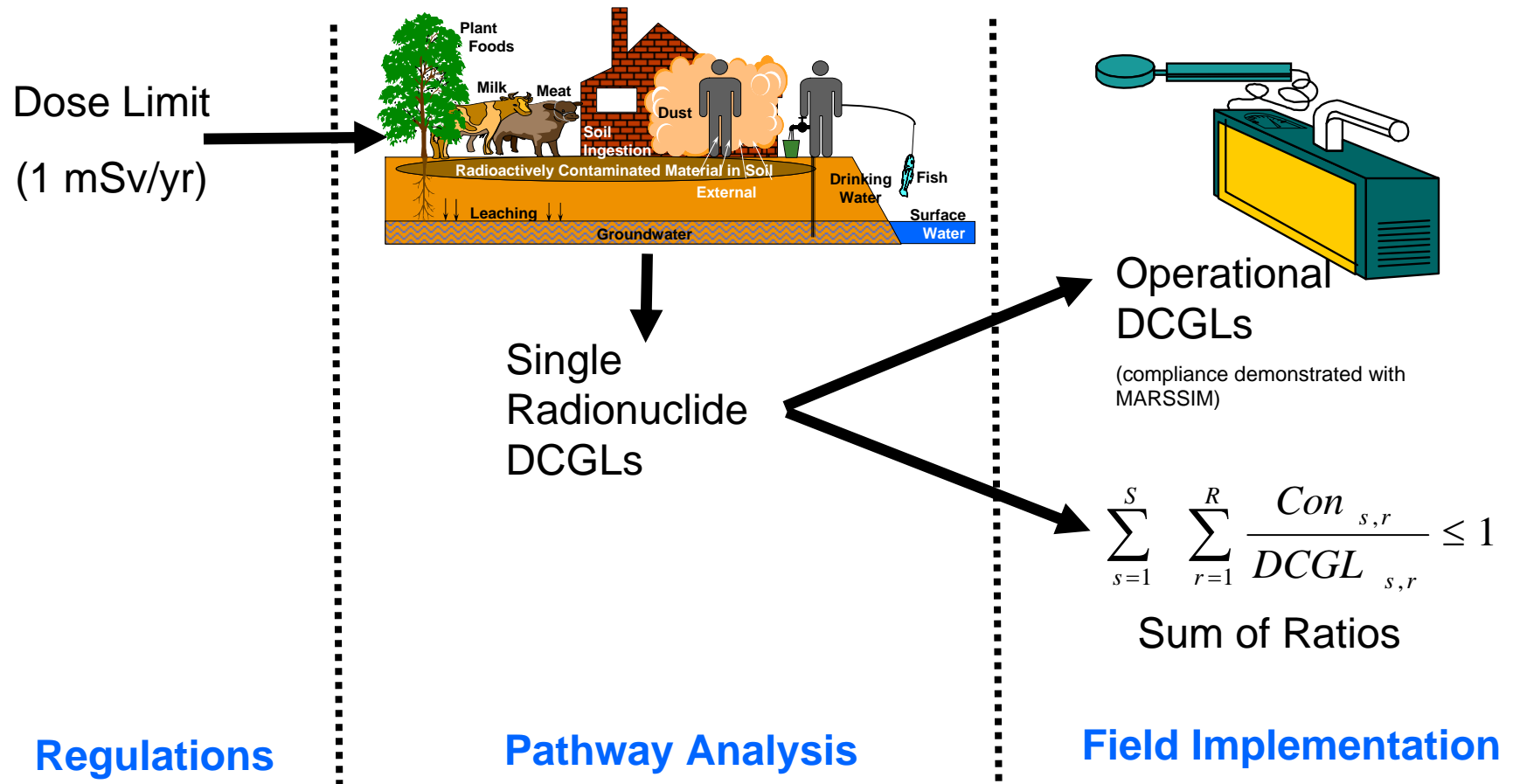
**U-238**



# Calculate Cleanup Guidelines



# Generate Operational Guidelines



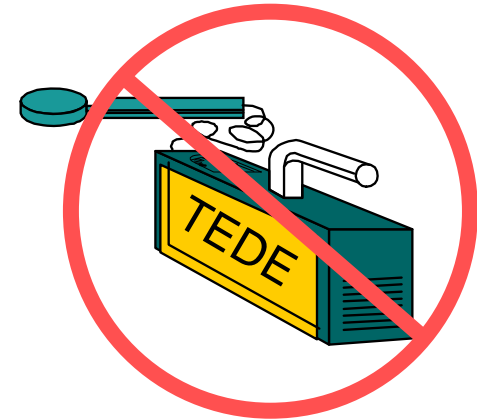


## Cleanup



## Why Perform a Dose Assessment?

- There is not a “TEDE meter” available
  - Time of decommissioning
  - 1,000 years after decommissioning
- Requires the use of mathematical models to estimate TEDE



## Why Perform a Dose Assessment?

- Example Regulation:  
10 CFR 20, Subpart E

“A site will be considered acceptable for unrestricted use if the residual radioactivity that is distinguishable from background radiation results in a TEDE to an average member of the critical group that does not exceed 25 mrem (0.25 mSv) per year, including that from groundwater sources of drinking water, and that the residual radioactivity has been reduced to levels that are as low as reasonably achievable (ALARA).”

**PART 20—STANDARDS FOR  
PROTECTION AGAINST RADIATION**

**Subpart E—Radiological Criteria for  
License Termination.**

- 20.1401 General provisions and scope.
- 20.1402 Radiological criteria for unrestricted use.
- 20.1403 Criteria for license termination under restricted conditions.
- 20.1404 Alternate criteria for license termination.
- 20.1405 Public notification and public participation.
- 20.1406 Minimization of contamination.

## Why Perform a Dose Assessment?

- Effective Dose Equivalent or Total Effective Dose Equivalent (TEDE)
  - External dose + 50-year committed effective dose equivalent
  - External + Inhalation + Ingestion
- External + Inhalation + Ingestion < 1 mSv/yr
  - 0.25 mSv /yr: NRC dose limit
- In Addition:

“When calculating TEDE to the average member of the critical group the licensee shall determine the peak annual TEDE dose expected within the first 1,000 years after decommissioning”

## Compliance Demonstration

- Soil Guideline or DCGL Development
  - Derive acceptable residual radioactive concentrations (DCGLs) for each radionuclide based on TEDE. Licensee must then prove the site meets the DCGLs. For multiple sources/radionuclides the “Sum of Fractions” must be less than 1.
- Dose Modeling
  - Uses actual or expected radionuclide concentrations to estimate TEDE. Site must be below 0.25 mSv/yr.



## What is Dose Modeling?

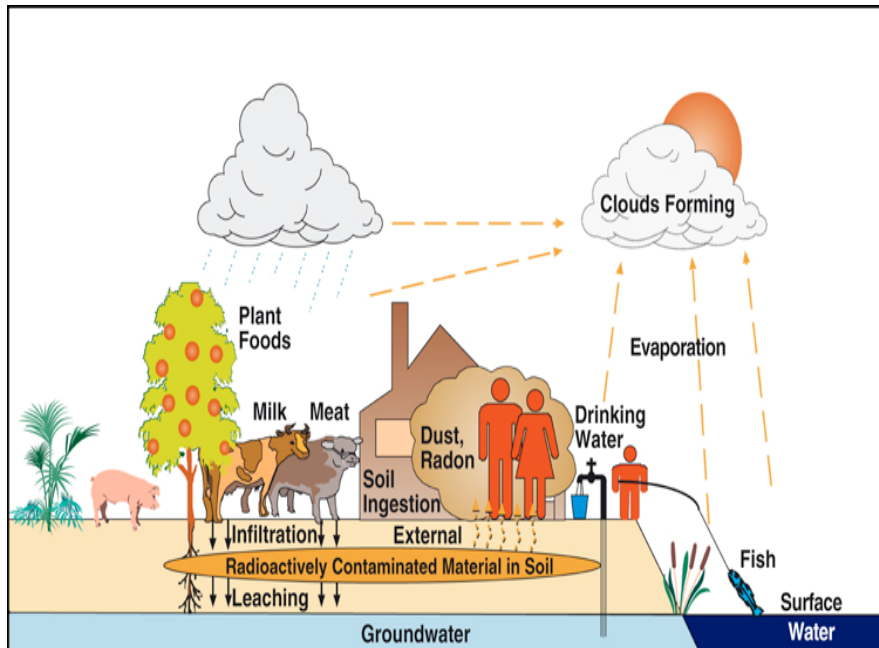
- Dose modeling involves using radiological assessment models to determine the dose to an individual from residual radioactive material
- A licensee would input final residual radioactive material concentrations into the model to predict the dose to the individual.
- Often this is called the “forward” calculation
  - Radionuclides → Environmental Pathways → Dose

## What is a Soil Guideline or DCGL?

- **Derived Concentration Guideline Level**
- Uses computer codes to estimate residual radioactive material concentrations so that the dose to an individual is below the dose limit
- Often this is called the “backward” calculation
  - Dose Limit → Environmental Pathways → Concentration
- Derived separately for each radionuclide based on its maximum dose
- Requires the use of the “Sum of Fractions” if multiple radionuclides or sources are present

## Example Software: RESRAD – A Regulatory Tool for Determining the Allowable RESidual RADioactivity in Site Cleanup

RESRAD, an internationally utilized model, successfully addresses the critical question "How clean is clean enough?"



Accepted for use by government regulatory agencies

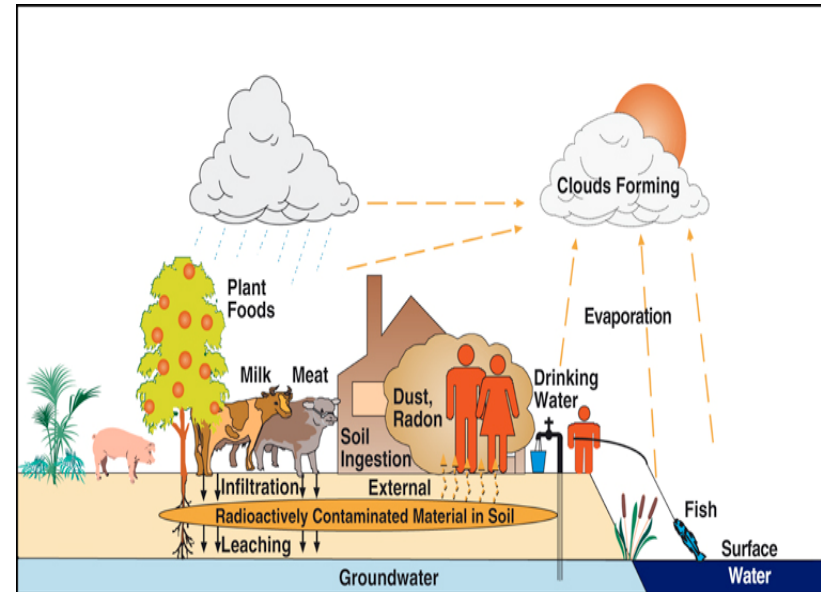
- *DOE (Designated by Order 5400.5)*
- *NRC (NUREG/CR-1757)*
- *EPA*
- *State agencies*

In use for about 20 years

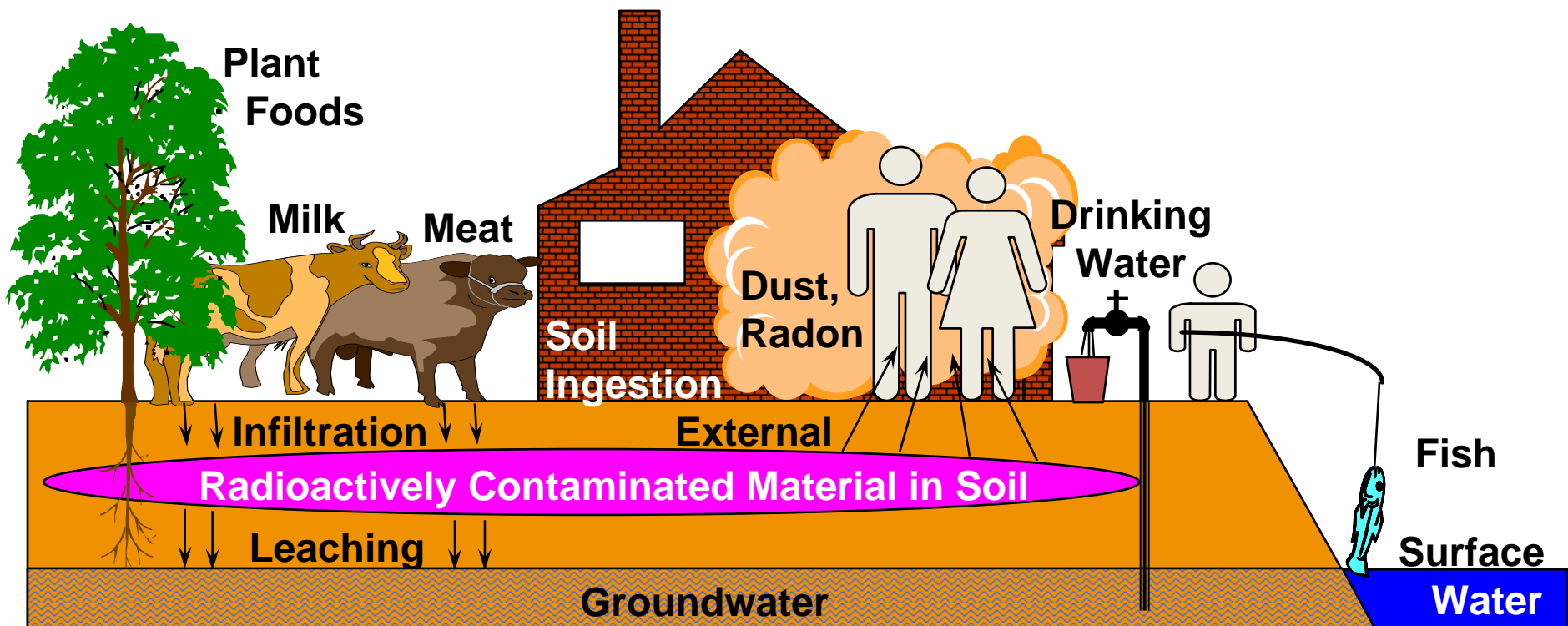
- *Evaluation of more than 300 cleanup sites*
- *More than 100 training workshops*
- *International recognition*

## The RESRAD Code

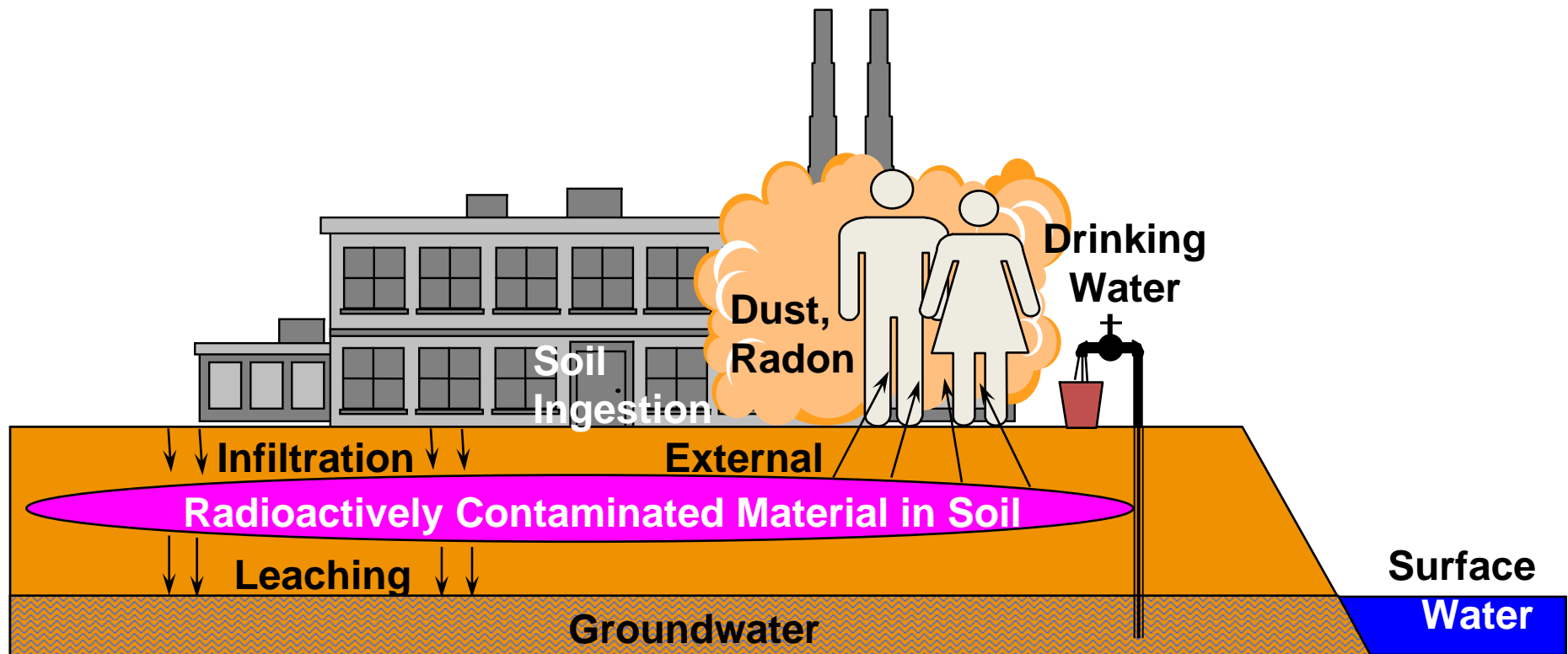
- Who: Individual
  - (not population)
- When: Peak over 1,000 years
  - (not average or lifetime)
- What: Radiological Dose or Cancer Risk Calculation
- How:
  - External, Inhalation, Ingestion Exposure Pathways
  - Water, Air, Soil, and Food Environmental Pathways
- Assumptions:
  - Contamination is originally in well characterized soil
  - Individual lives, works, or plays on top of contamination



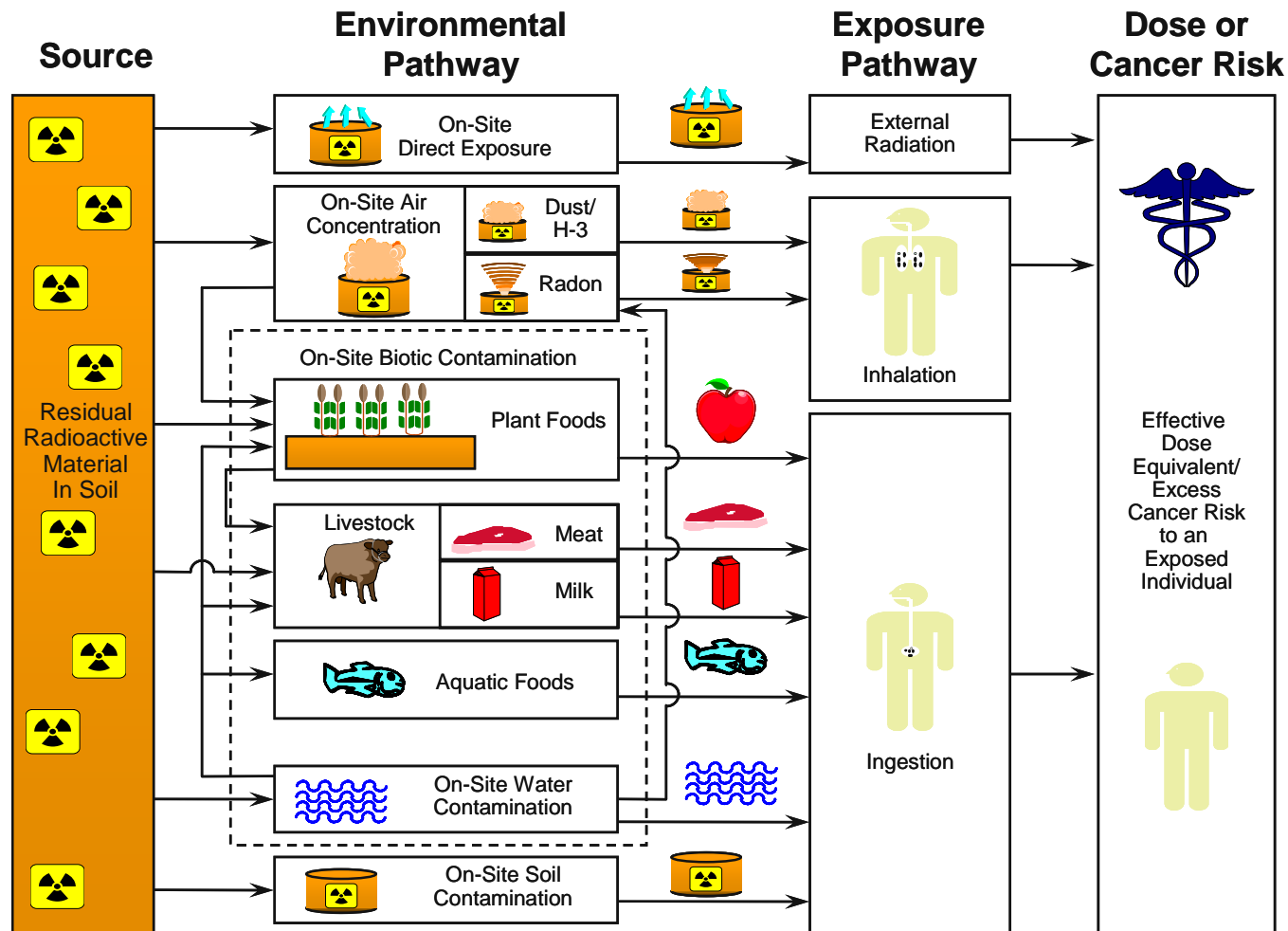
# Exposure Pathways Considered in RESRAD: (Resident Farmer Scenario)



# Exposure Pathways Considered in RESRAD: (Industrial Use Scenario)

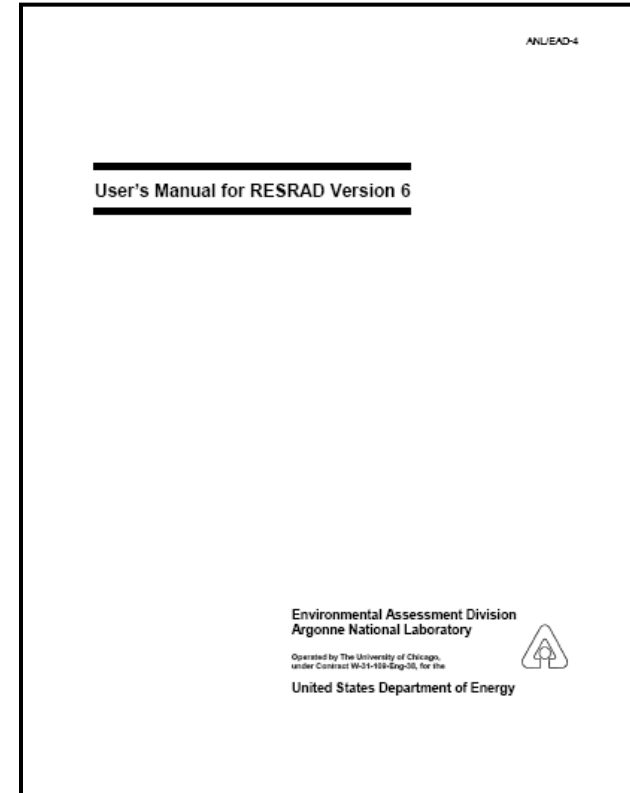


# RESRAD Contains an Array of Parameters for Pathway Analysis in Performing Comprehensive Risk Assessment



# Documentation of the RESRAD Computer Code

- Chapters:
  - Pathway analysis
  - Derivation of soil guidelines
  - User's guide for RESRAD
  - Verification & Validation
- Appendices include detailed discussions on
  - Pathway models
  - Source factors
  - Distribution coefficients
  - Estimation of off-site doses
  - Special tritium and carbon-14 models
  - Uncertainty analysis





## Supporting Documentation

- Parameters
  - Data Collection Handbook to Support Modeling the Impacts of Radioactive Material in Soil [4/93]
  - Development of Probabilistic RESRAD 6.0 and RESRAD-BUILD 3.0 Computer Codes [11/00]
  - A Compilation of Radionuclide Transfer Factors for the Plant, Meat, Milk and Aquatic Food Pathways and the Suggested Default Values for the RESRAD Code [8/93]
- Testing
  - Verification of RESRAD [6/94]
  - RESRAD Benchmarking Against Six Radiation Exposure Pathway Models [10/94]
  - Evaluation of Area Factor for Finite Area Sources for Inhalation Dose Calculations [7/98]

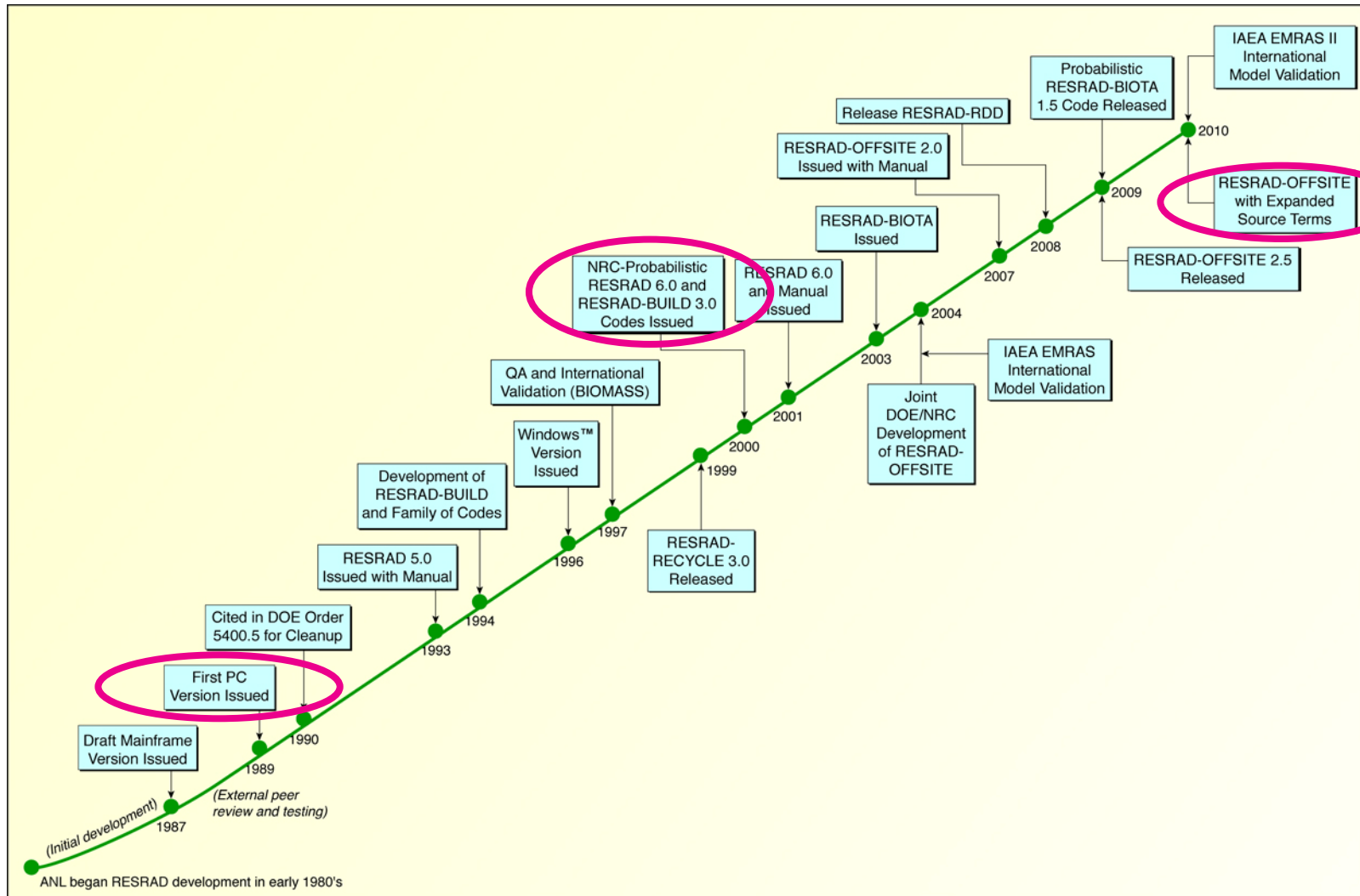
## Definitions

- **Verification**
  - Refers to the task or procedure by which a mathematical solution to an arbitrarily complex problem is tested for internal mathematical consistency and accuracy
  
- **Validation**
  - Refers to the task or procedure by which the mathematical model is tested against accurately measured, independent sets of field or laboratory observations made over the range of conditions for which application of the model is intended

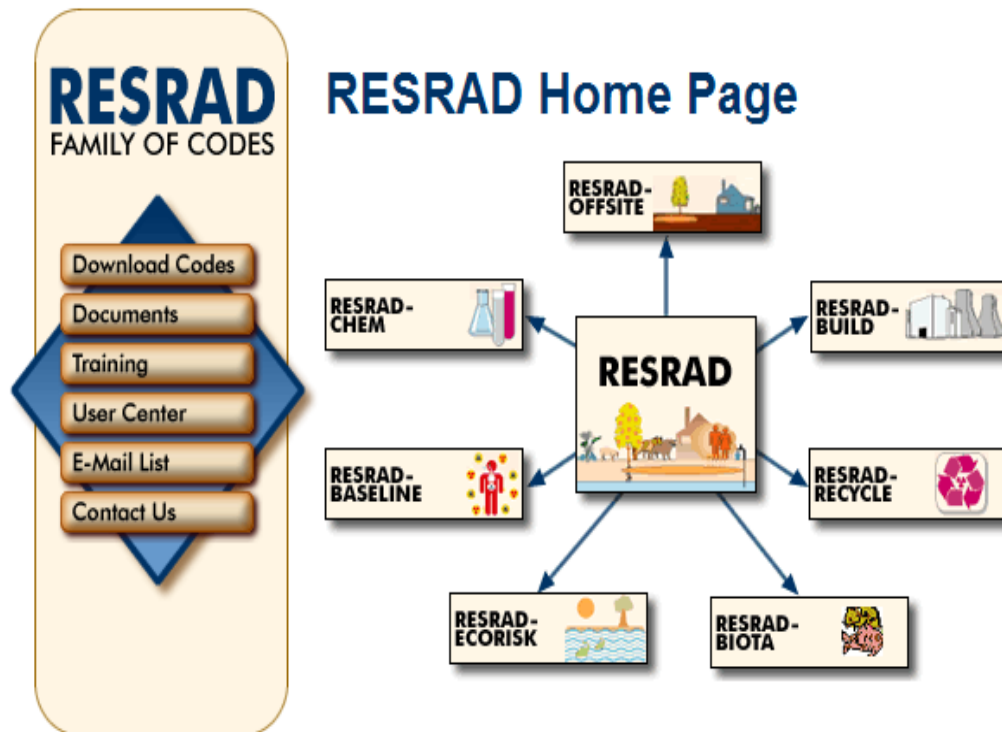
## RESRAD Validation

- Conducted leaching experiment (batch and column tests) to validate leaching model
- Participating in international code-comparison exercises – VAMP, BIOMOV5 II, BIOMASS and EMRAS – in some cases, using Chernobyl data

# Development History Since Early 1980s



# RESRAD Family of Codes Support National D&D and Cleanup Efforts



- Software Download
- Supporting Documentation
- Update News
- Training Workshops
- User Feedback

<http://www.evs.anl.gov/resrad>

# Application of RESRAD Codes – Demonstrating Compliance with NRC’s License Termination Rule (10 CFR 20, Subpart E)

- RESRAD is accepted for demonstrating compliance
  - Methodology described in NUREG 1757 on Decommissioning Guidance
  - Site-specific vs. screening dose analysis
  - Has been applied in many license termination applications


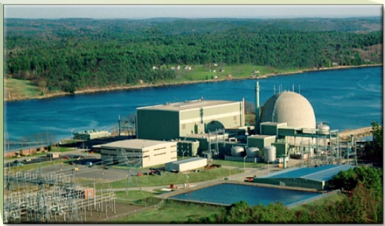
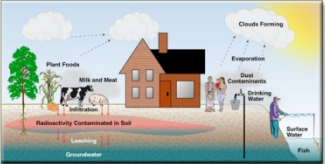
ANL/EAD/TM/04-03, Rev. 1

Training Course




## Evaluation of Dose Modeling for Compliance with Radiological Criteria for License Termination

Prepared by the  
Environmental Assessment Division  
Argonne National Laboratory

for the  
U.S. Nuclear Regulatory Commission



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# **Dose and Risk Assessment Demonstrations**

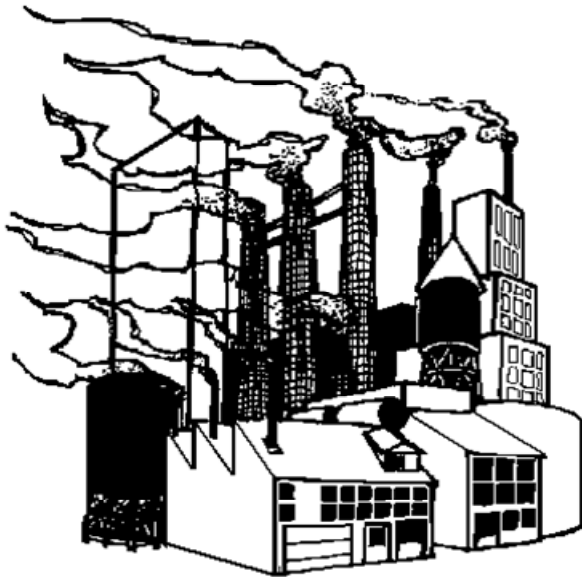
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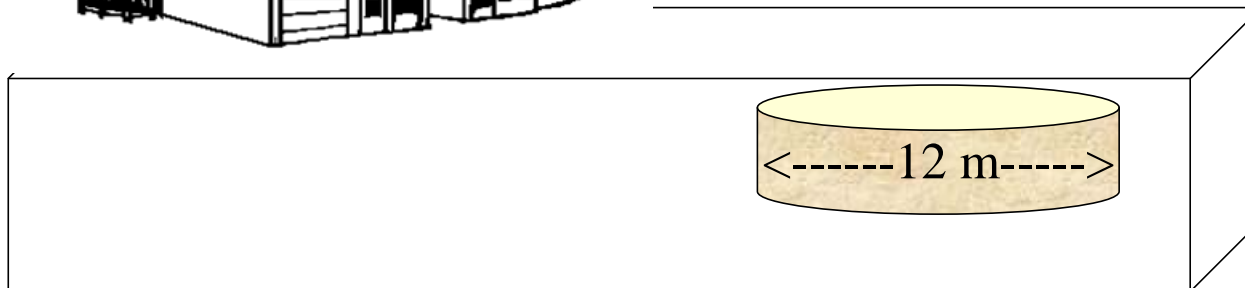
# Demonstration

Precipitation: 1.3 m/y  
Irrigation: 0 m/y



## Current Scenario/Site History

**RESonant RADiological Industries** is a former general manufacturer of small sources. Residues from plant operations were placed in a waste disposal pit until the plant ceased operations in 1969. Today, the site is vacant except for a single maintenance worker who checks the site 5 times weekly. This worker spends approximately 2 hours per visit at the site, and is indoors about 1 hour of that time. Drinking water is provided by a well located downgradient of the contaminated zone.





# Demonstration

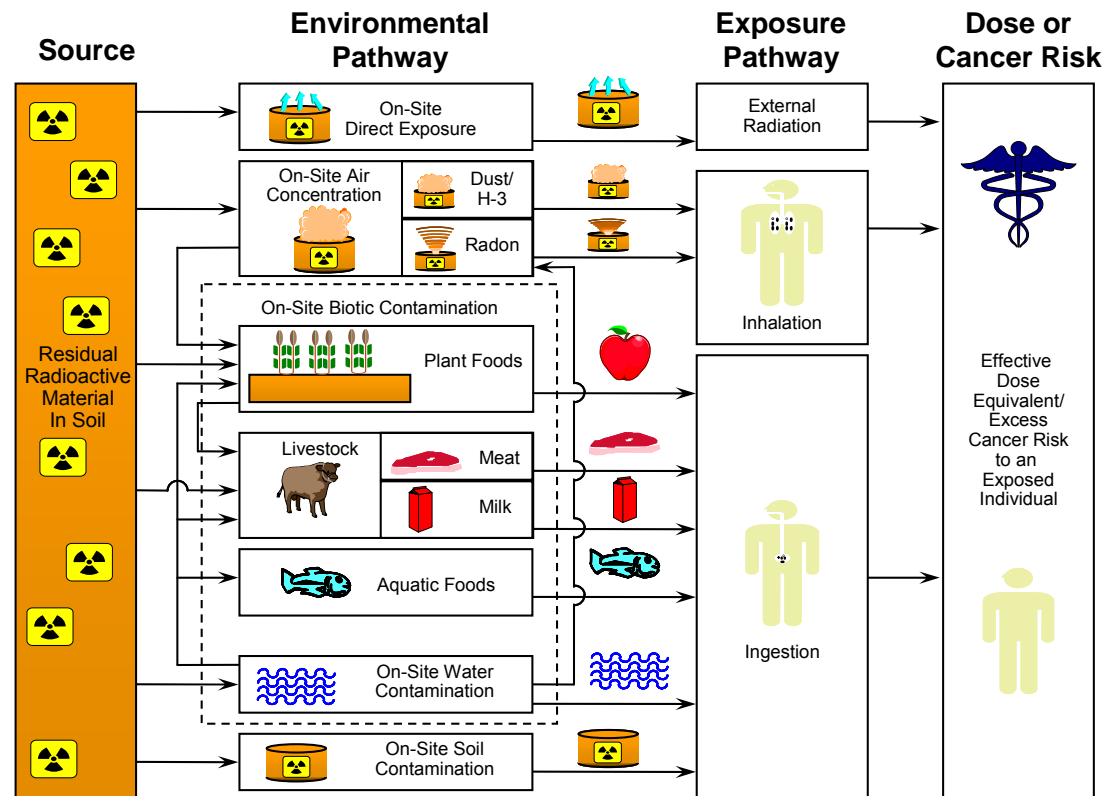
<b>Density: 1.6 g/cm<sup>3</sup></b> <b>Erosion Rate: ???</b>	<b>Cover</b>	0.5 m
<b>Total Porosity: 0.45</b> <b>Hydraulic Conductivity:</b> <b>7 m/yr</b>	<b>Contaminated Zone</b> <b>Density: 1.4 g/cm<sup>3</sup></b> <b>Am-241: 50 pCi/g</b> <b>Cs-137: 40 pCi/g</b> <b>Sr-90: 45 pCi/g</b>	5 m
	<b>Unsaturated Zone 1</b>	2 m
<b>Hydraulic Conductivity:</b> <b>90 m/yr</b> <b>Fraction of Contaminated</b> <b>Drinking Water: ???</b>	<b>Saturated Zone</b> <b>Am-241: 4 pCi/L</b> <b>Cs-137: 3 pCi/L</b> <b>Sr-90: 2 pCi/L</b>	

# RESRAD (onsite)

## Methodology

# RESRAD Pathways

- Nine major environmental pathways are available in RESRAD
  - External (Ground)
  - Inhalation
    - Particulates
    - Radon
  - Ingestion
    - Soil
    - Water
    - Plant
    - Meat
    - Milk
    - Aquatic Foods



## Demonstrating Compliance Using Derived Concentration Guideline Levels (DCGLs)

- RESRAD derives single radionuclide soil concentration limits (guidelines)

- Contamination Limit (t)[Bq/g] = 
$$\frac{\text{Dose Limit [mSv/yr]}}{\text{Dose per Unit Contamination(t)}\left[\frac{\text{mSv/yr}}{\text{Bq/g}}\right]}$$

- Look at the times of :
  - maximum total dose over all contamination
  - maximum dose for each individual radionuclide
- Example:
  - Given Dose Limit = 0.25 mSv/yr
  - Calculate Dose per Unit Contamination for given scenario = 0.0025 mSv/yr for a 1 Bq/g contamination
  - Derive Contamination Limit =  $0.25 / 0.0025 = 100$  Bq/g

## Data Requirements

- Contamination:
  - Size, contaminants, shielding, release
- Environment:
  - Precipitation, groundwater, wind
  - Nuclide specific:
    - How does the nuclide travel relative to water?
    - How is the nuclide incorporated into food relative to soil?
- Scenario:
  - Land use, diet
- Dose Conversion Factors
  - Hazard per unit of exposure
- Radionuclide Information
  - Decay rates, decay products

## Calculation of the Dose to Source Ratio

- Dose =
  - Sum over exposure pathways (ingestion, inhalation, external)
  - Sum over environmental pathways (water, soil, air, food)
  - Sum over potential radionuclides accounting for decay and ingrowth of original contaminant

## Dose Conversion Factors (DCFs)

- External exposure pathway:
  - Infinite depth volume factors (mSv/yr per Bq/g)
  
- Inhalation pathway:
  - Inhalation factors (mSv/Bq)
  
- Ingestion pathways:
  - Ingestion factors (mSv/Bq)

FGR 11 based dose conversion factors and FGR 13 Morbidity based slope factors

Library Name: **FGR 13 Morbidity** Dose Factors Help

Selected Nuclide: **U-238**

Library Name	Slope Factors	Radon	Transfer Factors
<b>Ingestion Dose Conversion Factors</b>			
Reference (mrem/pCi)			
<input type="radio"/> FGR 11 L_1 -0.002			0.0000238
<input checked="" type="radio"/> FGR 11 L_1 -0.05			0.000255
<b>Inhalation Dose Conversion Factors</b>			
Reference (mrem/pCi)			
<input type="radio"/> FGR 11 Class = D			0.00245
<input type="radio"/> FGR 11 Class = W			0.00703
<input checked="" type="radio"/> FGR 11 Class = Y			0.110

Another Library

Exit Program

- References: External DCFs - Federal Guidance Report No.12 (1993)
  - Inhalation/Ingestion DCFs - FGR No.11 (1988)

# Dose Conversion Factor/Risk Factor Libraries

- Using the Dose Conversion Factor Editor
  - Users can modify DCFs
    - Select a more appropriate DCF
    - Create a new DCF
  - Users can modify slope factors
    - HEAST 2001
    - FGR 13 Morbidity
    - FGR 13 Mortality
    - User Specified

FGR 11 based dose conversion factors and FGR 13 Morbidity based slope factors

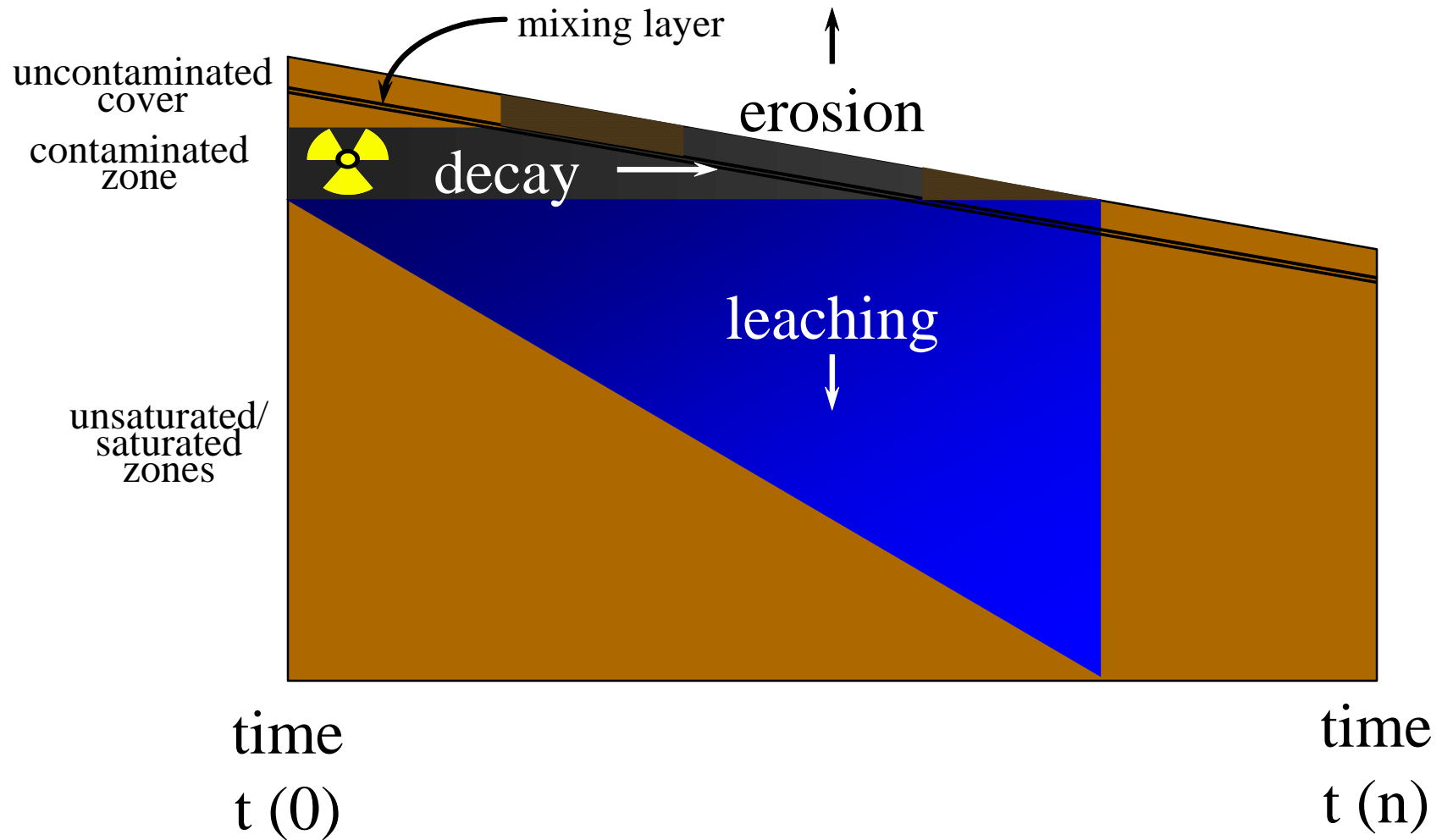
Library Name: **FGR 13 Morbidity** Dose Factors Help

Selected Nuclide: **U-238**

Dose Conversion Factors	Slope Factors	Radon	Transfer Factors
<p><b>Ingestion Dose Conversion Factors</b></p> <p>Reference (mrem/pCi)</p> <p><input type="radio"/> FGR 11 f<sub>1</sub> = 0.002      0.0000238</p> <p><input checked="" type="radio"/> FGR 11 f<sub>1</sub> = 0.05      0.000255</p>			
<p><b>Inhalation Dose Conversion Factors</b></p> <p>Reference (mrem/pCi)</p> <p><input type="radio"/> FGR 11 Class = D      0.00245</p> <p><input type="radio"/> FGR 11 Class = W      0.00703</p> <p><input checked="" type="radio"/> FGR 11 Class = Y      0.118</p>			

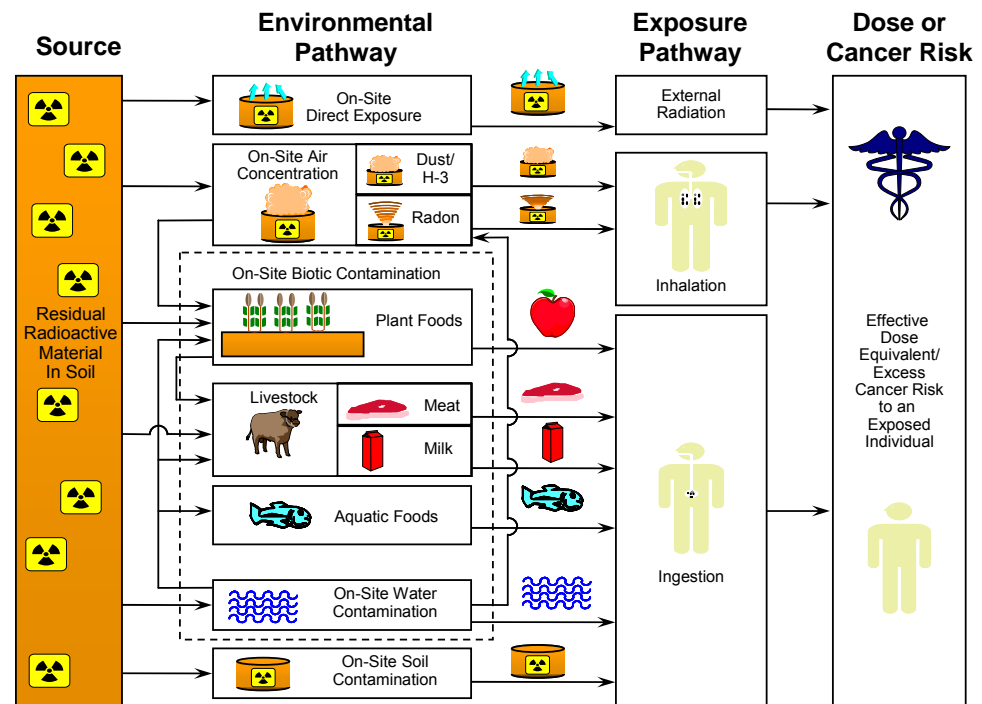


# Factors Affecting Source Loss



# Environmental Transport Factors (ETFs)

- Mathematical representation of the environmental pathways
- ETFs for
  - External
  - Inhalation
  - Ingestion
    - Water
    - Soil
    - Plant
    - Meat
    - Milk
    - Aquatic Organisms

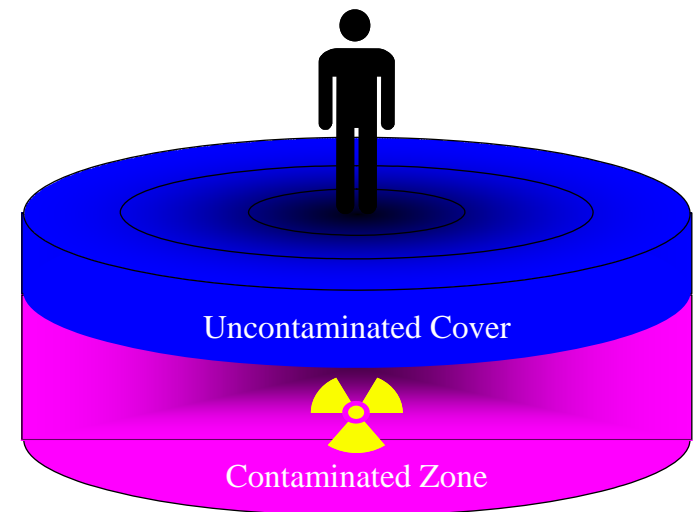


# Environmental Transport Factors: External Ground

- Appendix A: RESRAD Users Manual

- $ETF_{i1}(t) = FO_1 \times FS_{i1} \times FA_{i1} \times FCD_{i1}(t)$

- $FO_1$  = occupancy and shielding factor
- $FS_{i1}$  = shape factor
- $FA_{i1}(t)$  = nuclide-specific area factor
- $FCD_{i1}(t)$  = depth and cover factor



# Occupancy and Shielding Factor

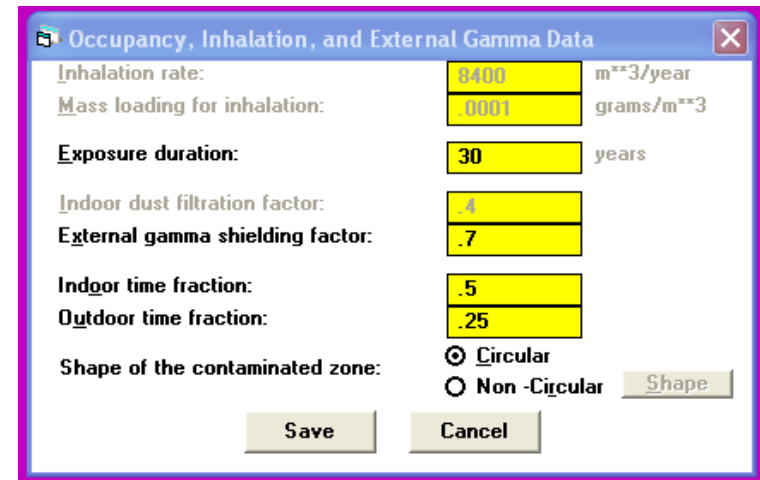
- Comprised of
  - $f_{otd}$ : Fraction of time spent outdoors
  - $f_{ind}$ : Fraction of time spent indoors
  - $F_{sh}$ : External gamma shielding factor

$$FO_1 = f_{otd} + (f_{ind} \times F_{sh})$$

- Default Case

$$FO_1 = 0.25 + (0.5 \times 0.7) = 0.6$$

- Important Note!
  - $F_{sh} = 0$ ; completely shielded
  - $F_{sh} = 1$ ; no shielding

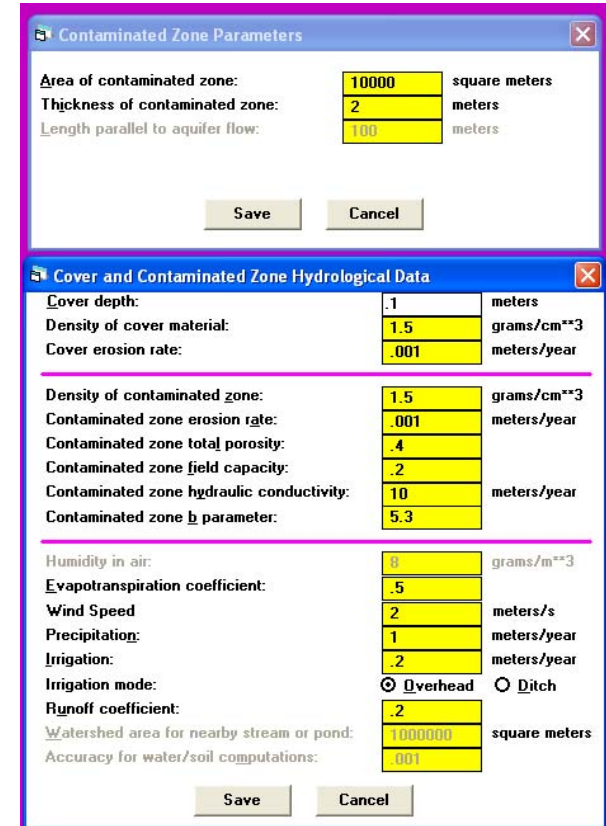


Parameter	Value	Units
Inhalation rate:	8400	m <sup>3</sup> /year
Mass loading for inhalation:	.0001	grams/m <sup>3</sup>
Exposure duration:	30	years
Indoor dust filtration factor:	.4	
External gamma shielding factor:	.7	
Indoor time fraction:	.5	
Outdoor time fraction:	.25	
Shape of the contaminated zone:	<input checked="" type="radio"/> Circular <input type="radio"/> Non-Circular	Shape

# Depth and Cover Factor

- Allows users to enter
  - Any contaminated zone thickness, and density
  - Any one cover thickness and density
- Based on a regression analysis of FGR 12 DCFs
- FCDs are radionuclide-dependent

$$FCD_{i1} = \frac{DCF_i^{FGR} [T_c = C_d, T_s = T(t)]}{DCF_i^{FGR} [T_c = 0, T_s = \infty]}$$



**Contaminated Zone Parameters**

Area of contaminated zone:	10000	square meters
Thickness of contaminated zone:	2	meters
Length parallel to aquifer flow:	100	meters

Buttons: Save, Cancel

---

**Cover and Contaminated Zone Hydrological Data**

Cover depth:	.1	meters
Density of cover material:	1.5	grams/cm**3
Cover erosion rate:	.001	meters/year

---

Density of contaminated zone:	1.5	grams/cm**3
Contaminated zone erosion rate:	.001	meters/year
Contaminated zone total porosity:	.4	
Contaminated zone field capacity:	.2	
Contaminated zone hydraulic conductivity:	10	meters/year
Contaminated zone b parameter:	5.3	

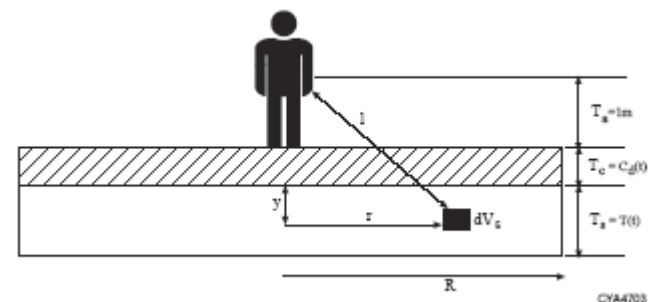
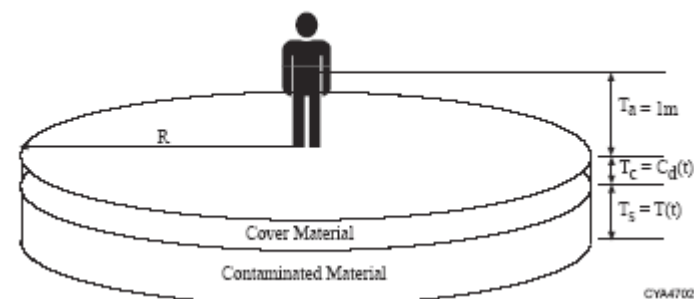
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Humidity in air:	8	grams/m**3
Evapotranspiration coefficient:	.5	
Wind Speed:	2	meters/s
Precipitation:	1	meters/year
Irrigation:	.2	meters/year
Irrigation mode:	<input checked="" type="radio"/> Overhead <input type="radio"/> Ditch	
Runoff coefficient:	.2	
Watershed area for nearby stream or pond:	1000000	square meters
Accuracy for water/soil computations:	.001	

Buttons: Save, Cancel

# Area Factor

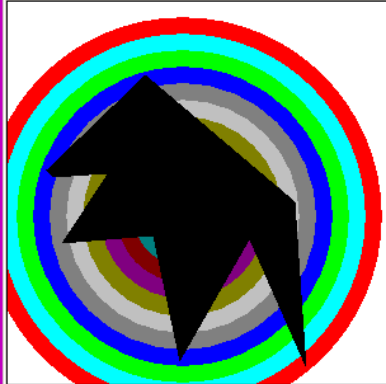
- Radionuclide-specific factor to correct an infinite geometry (FGR 12) to finite geometry (site-specific)
- Performs point-kernel integration on the dose
- Uses ICRP-38 photon spectra
- Benchmarked against MCNP



## Shape Factor: Non-Circular Shapes

- RESRAD allows users to construct non-circular shaped sources
- Allows users to place receptors anywhere on the source
- Biggest Impact on long rectangular sources
  - Roads
  - Railroad right-of-way
- Primarily affects the External Pathway

External Radiation Area Factors



Area: 2300 m<sup>2</sup>

Radius:	Fraction:
1 4.333333333	1
2 8.666666666	1
3 13	1
4 17.33333333	.88
5 21.66666666	.77
6 26	.56
7 30.33333333	.39
8 34.66666666	.25
9 39	.071
10 43.33333333	.019
11 47.66666666	.0089
12 52	.0019

Current X: 40    Receptor Location: 46  
 Current Y: 24                                   56  
 Starting X: 26    Line Length: 14.035 meters  
 Starting Y: 45    Scale: 100 meters

Instructions  
 Use the left mouse button to change the receptor location.  
 Press the Calculate button to complete the calculations.

# Environmental Transport Factors: Inhalation Pathway

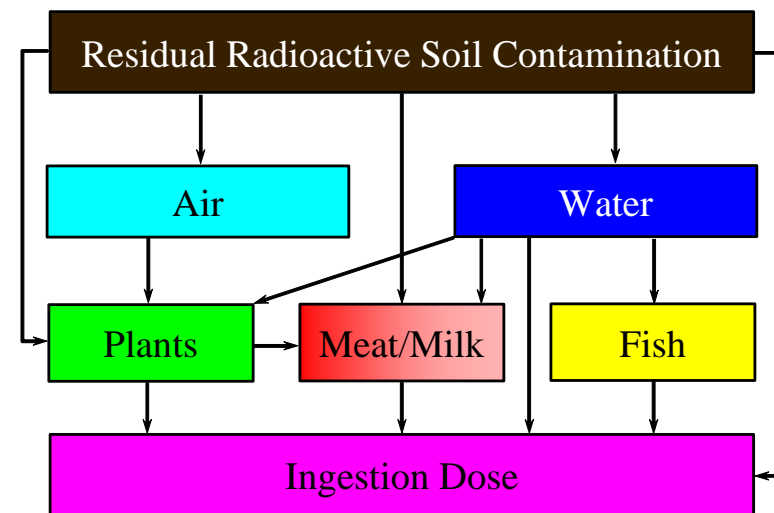
- Appendix B: RESRAD Users Manual
- $ETF_{i2} = ASR_2 \times FA_2 \times FCD_2(t) \times FO_2 \times FI_2$
- $ASR_2$  = mass loading factor  
(air/soil concentration ratio)
- $FA_2$  = area factor
- $FCD_2(t)$  = cover and depth factor
- $FO_2$  = occupancy factor
- $FI_2$  = annual intake of air (breathing rate)





# Ingestion Pathways

- Water independent
  - Excludes dose derived from contaminated well or surface water
  - Leaching from the contaminated zone still applies
  - For plant, meat and milk; does not include the contribution from radionuclides in water used for
    - irrigation
    - livestock feed
  
- Water dependent
  - Dose derived from contaminated well or surface water
  - For plant, meat and milk; the contribution from nuclides in water used for irrigation and for livestock feed



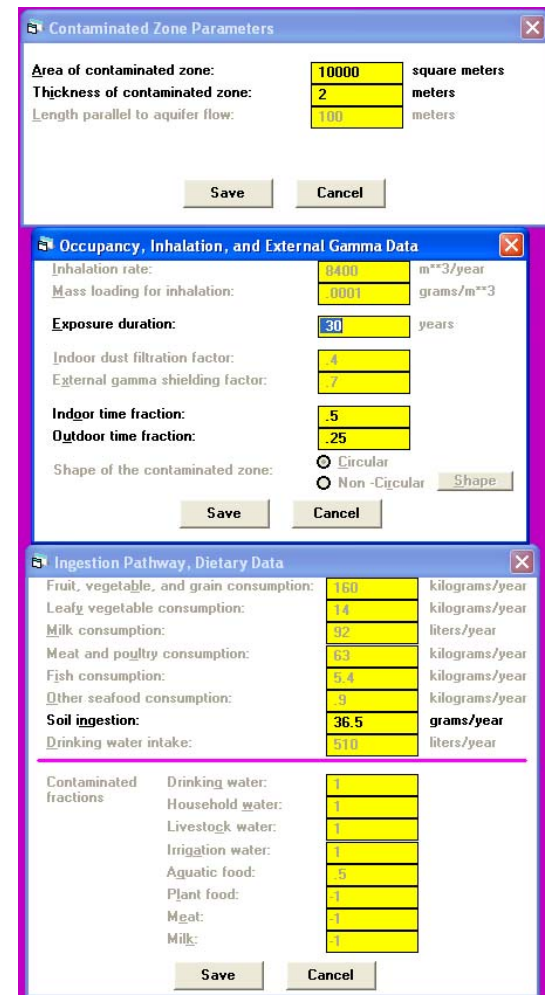
# Environmental Transport Factors: Soil Ingestion

- Appendix F: RESRAD Users Manual

- Models the incidental ingestion of soil

$$ETF_{j8} = FSI \times FA_8 \times FCD_8(t) \times FO_8$$

- FSI = annual intake of soil
- $FA_8$  = area factor
- $FCD_8(t)$  = cover and depth factor
  - Same model as inhalation pathway
- $FO_8$  = occupancy factor
  - Total time spent on the site
  - Indoor time fraction + outdoor time fraction



**Contaminated Zone Parameters**

Area of contaminated zone:	10000	square meters
Thickness of contaminated zone:	2	meters
Length parallel to aquifer flow:	100	meters

Save Cancel

---

**Occupancy, Inhalation, and External Gamma Data**

Inhalation rate:	0.0001	m <sup>3</sup> /year
Mass loading for inhalation:	0.0001	grams/m <sup>3</sup>
Exposure duration:	30	years
Indoor dust filtration factor:	4	
External gamma shielding factor:	7	
Indoor time fraction:	.5	
Outdoor time fraction:	.25	
Shape of the contaminated zone:	<input type="radio"/> Circular <input checked="" type="radio"/> Non-Circular <span>Shape</span>	

Save Cancel

---

**Ingestion Pathway, Dietary Data**

Fruit, vegetable, and grain consumption:	160	kilograms/year
Leafy vegetable consumption:	14	kilograms/year
Milk consumption:	92	liters/year
Meat and poultry consumption:	63	kilograms/year
Fish consumption:	5.4	kilograms/year
Other seafood consumption:	9	kilograms/year
Soil ingestion:	36.5	grams/year
Drinking water intake:	510	liters/year

Contaminated fractions	Drinking water:	1
	Household water:	1
	Livestock water:	1
	Irrigation water:	1
	Aquatic food:	5
	Plant food:	1
	Meat:	1
	Milk:	1

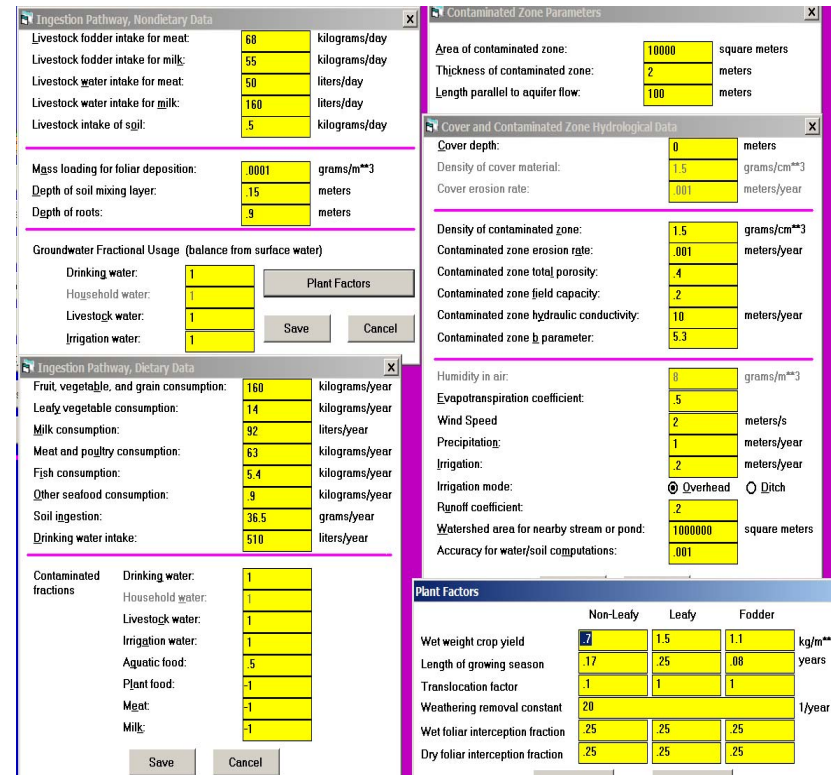
Save Cancel

# Environmental Transport Factors: Plant, Meat, and Milk Pathways

- Appendix D: RESRAD Users Manual

$$ETF_{ij,pq} = FA_p \times FCD_{pq}(t) \times \sum_k DF_{pk} \times FSR_{ij,pqk}(t)$$

- Where
  - DF = dietary factor (annual consumption rate)
  - FSR(t) = food/soil concentration ratio
  - FA = area factor
  - FCD(t) = cover and depth factor

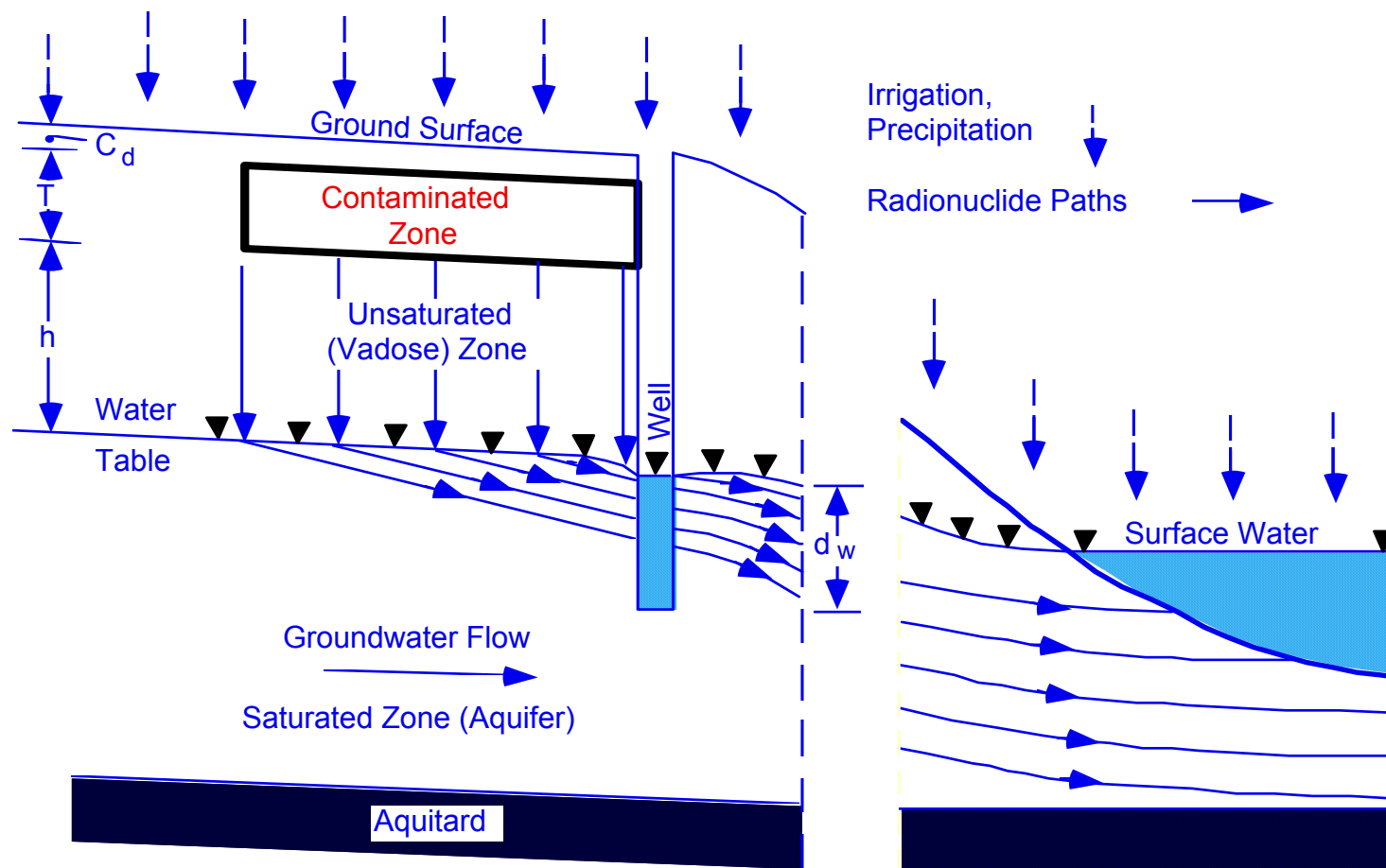


The screenshot displays several windows from the ENVIRONET software interface, including:

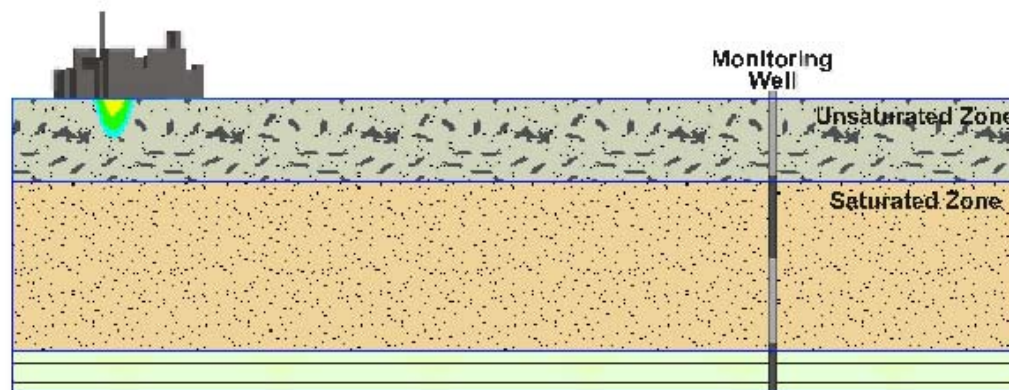
- Ingestion Pathway, Nondietary Data:**
  - Livestock fodder intake for meat: 68 kilograms/day
  - Livestock fodder intake for milk: 55 kilograms/day
  - Livestock water intake for meat: 50 liters/day
  - Livestock water intake for milk: 160 kilograms/day
  - Livestock intake of soil: 5 kilograms/day
  - Mass loading for foliar deposition: 0001 grams/m\*\*3
  - Depth of soil mixing layer: 15 meters
  - Depth of roots: 9 meters
- Groundwater Fractional Usage (balance from surface water):**
  - Drinking water: 1
  - Household water: 1
  - Livestock water: 1
  - Irrigation water: 1
- Ingestion Pathway, Dietary Data:**
  - Fruit, vegetable, and grain consumption: 160 kilograms/year
  - Leafy vegetable consumption: 14 kilograms/year
  - Milk consumption: 92 liters/year
  - Meat and poultry consumption: 63 kilograms/year
  - Fish consumption: 5.4 kilograms/year
  - Other seafood consumption: 9 kilograms/year
  - Soil ingestion: 36.5 grams/year
  - Drinking water intake: 510 liters/year
- Contaminated Zone Parameters:**
  - Area of contaminated zone: 10000 square meters
  - Thickness of contaminated zone: 2 meters
  - Length parallel to aquifer flow: 100 meters
  - Cover depth: 0 meters
  - Density of cover material: 1.5 grams/cm\*\*3
  - Cover erosion rate: .001 meters/year
  - Density of contaminated zone: 1.5 grams/cm\*\*3
  - Contaminated zone erosion rate: .001 meters/year
  - Contaminated zone total porosity: .4
  - Contaminated zone field capacity: .2
  - Contaminated zone hydraulic conductivity: 10 meters/year
  - Contaminated zone lg parameter: 5.3
  - Humidity in air: 0 grams/m\*\*3
  - Evapotranspiration coefficient: 5
  - Wind Speed: 2 meters/s
  - Precipitation: 1 meters/year
  - Irrigation: 2 meters/year
  - Irrigation mode:  Overhead  Ditch
  - Runoff coefficient: .2
  - Watershed area for nearby stream or pond: 1000000 square meters
  - Accuracy for water/soil computations: .001
- Plant Factors:**

	Non-Leafy	Leafy	Fodder	
Wet weight crop yield	7	1.5	1.1	kg/m**2
Length of growing season	17	25	08	years
Translocation factor	1	1	1	
Weathering removal constant	20			1/year
Wet foliar interception fraction	.25	.25	.25	
Dry foliar interception fraction	.25	.25	.25	

# Water Pathway Factors



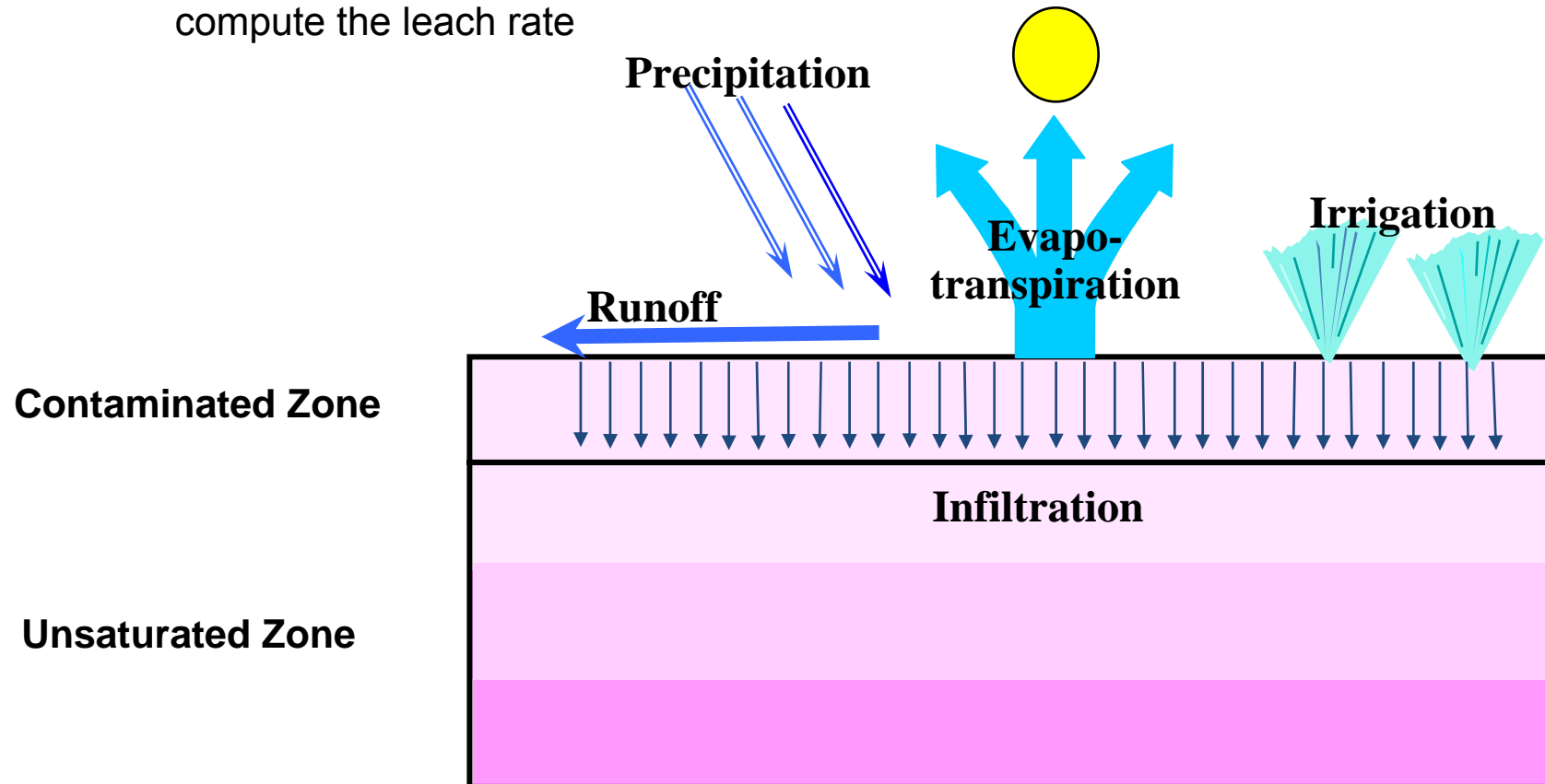
# Computer Model of Contaminant Transport



Concentration about 400 pCi/L at 1/15/1990

# Leaching Model

- Rate-controlled leaching model
  - If not specified, the leach rate will be computed using a sorption-desorption ion-exchange model
    - The distribution coefficient in the contaminated zone will be used to compute the leach rate



# Leaching Model

$$L_i = \frac{I}{\theta^{(cz)} T R_{d_i}^{(cz)}}$$

$$I = (1 - C_e) [(1 - C_r) P_r + I_{rr}]$$

$$\theta = P_t R_s$$

$$R_{d_i} = 1 + \frac{\rho_b K_{d_i}}{\theta}$$

$$R_s = \left( \frac{I}{K_{sat}} \right)^{\frac{1}{2b+3}}$$

- Note:  $R_s \leq 1$   
 -  $K_{sat} \geq I$

**Cover and Contaminated Zone Hydrological Data**

Cover depth:	0	meters
Density of cover material:	1.5	grams/cm**3
Cover erosion rate:	.001	meters/year

---

Density of contaminated zone:	1.5	grams/cm**3
Contaminated zone erosion rate:	.001	meters/year
Contaminated zone total porosity:	.4	
Contaminated zone field capacity:	.2	
Contaminated zone hydraulic conductivity:	10	meters/year
Contaminated zone b parameter:	5.3	

---

Humidity in air:	8	grams/m**3
Evapotranspiration coefficient:	.5	
Wind Speed:	2	meters/s
Precipitation:	1	meters/year
Irrigation:	.2	meters/year
Irrigation mode:	<input checked="" type="radio"/> Overhead <input type="radio"/> Ditch	
Runoff coefficient:	.2	
Watershed area for nearby stream or pond:	1000000	square meters
Accuracy for water/soil computations:	.001	

Save Cancel

**Transport Factors**

Radionuclide: U-238

Distribution Coefficients:	cm <sup>3</sup> /g	
Contaminated Zone:	50	
Unsaturated Zone 1:	50	

---

Saturated Zone:	50	
Unsaturated Zones:	1	◀ ▶

**Options:**

Water Concentration

Time since material placement: 0 year

Groundwater Concentration: 0 pCi/L

Solubility Limit: 0 mol/L

Leach Rate: 0 /year

Use Plant/Soil ratio

Save Cancel

# Leaching Model

- Radionuclide-specific  $K_d$
- Hydraulic conductivity (m/yr)
  - 33 [Silty Clay]
  - to 5,500 [Sand]
- Soil-specific “b” parameter
  - 4.0 [Sand]
  - to 11.4 [Clay]
- Field capacity
  - Lower limit of volumetric water content
- See Data Collection Handbook for more information

**TABLE E.2 Representative Values of Saturated Hydraulic Conductivity, Saturated Water Content, and the Soil-Specific Exponential Parameter**

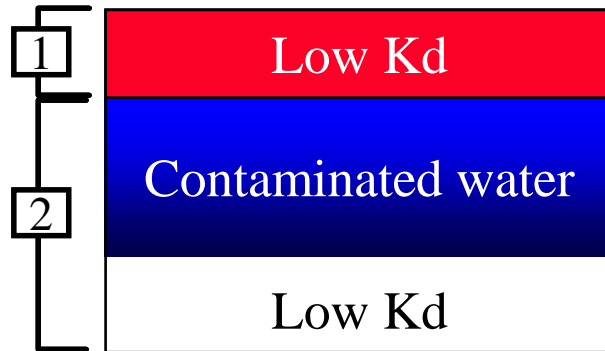
Texture	Hydraulic Conductivity, $K_{sat}$ (m/yr)	Saturated Water Content, $\theta_{sat}$	Soil-Specific Exponential Parameter, b
Clay	$4.05 \times 10^1$	0.482	11.40
Clay loam	$7.73 \times 10^1$	0.476	8.52
Loam	$2.19 \times 10^2$	0.451	5.39
Loamy sand	$4.93 \times 10^3$	0.410	4.38
Sand	$5.55 \times 10^3$	0.395	4.05
Sandy clay	$6.84 \times 10^1$	0.426	10.40
Sandy clay loam	$1.99 \times 10^2$	0.420	7.12
Sandy loam	$1.09 \times 10^3$	0.435	4.90
Silty clay	$3.26 \times 10^1$	0.492	10.40
Silty clay loam	$5.36 \times 10^1$	0.477	7.75
Silty loam	$2.27 \times 10^2$	0.485	5.30

Source: Data from Clapp and Hornberger (1978).

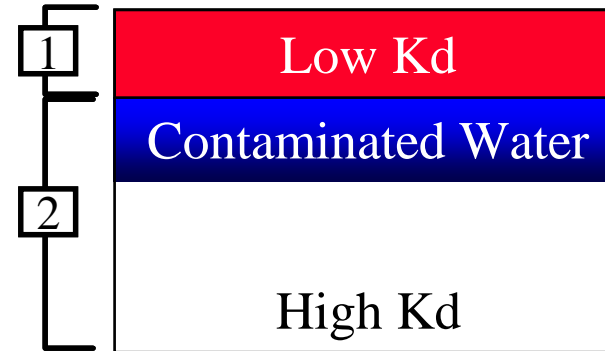


# Effect of $K_d$ on Leaching and Transport

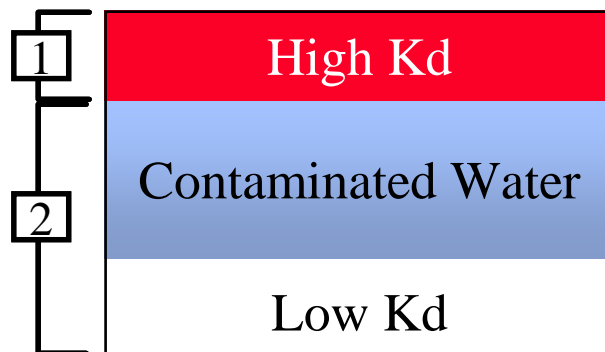
Case A



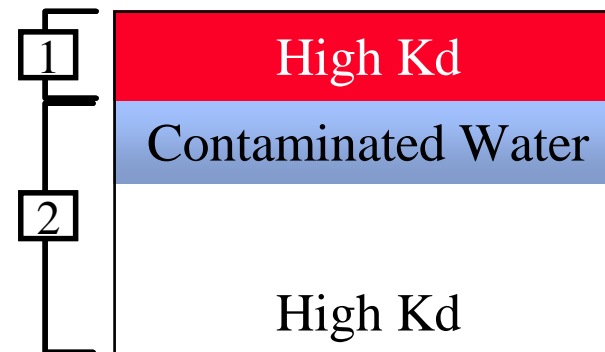
Case B



Case C



Case D



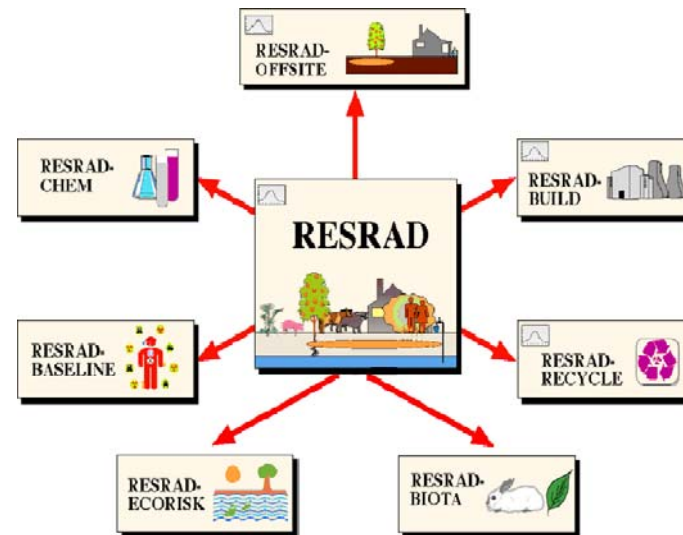
1 Contaminated Zone

2 Unsaturated Zone

## Thank You!!

- For questions on the RESRAD Family of Codes, please contact:

Dr. Charley Yu, CHP  
Argonne National Laboratory  
RESRAD Program Manager  
Phone: 630-252-5589  
FAX: (630) 252-5880  
E-mail: [RESRAD@anl.gov](mailto:RESRAD@anl.gov)  
<http://www.evs.anl.gov/RESRAD>





**IAEA**

International Atomic Energy Agency

# Dose and Risk Assessment Extensions

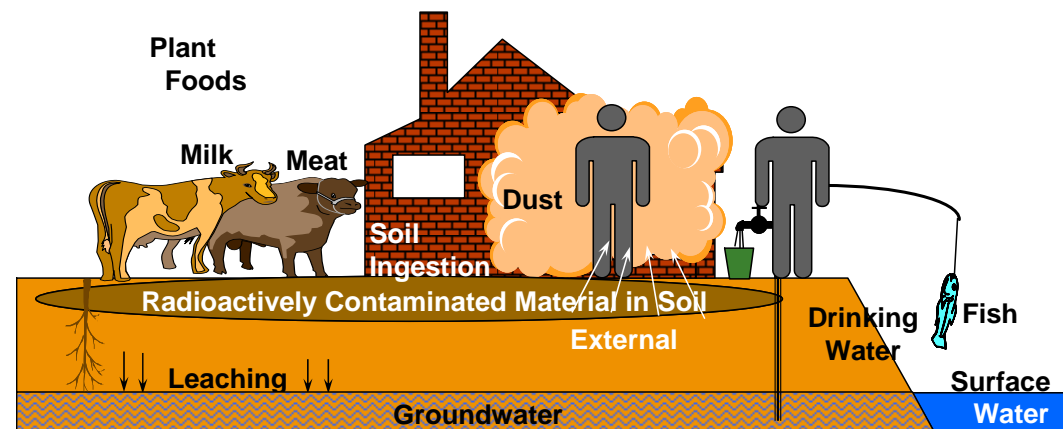
Sensitivity Analysis & Expectations  
Offsite Assumptions  
Probabilistic Analysis  
Demonstration of Extensions

## Levels of Conservatism Pile Up

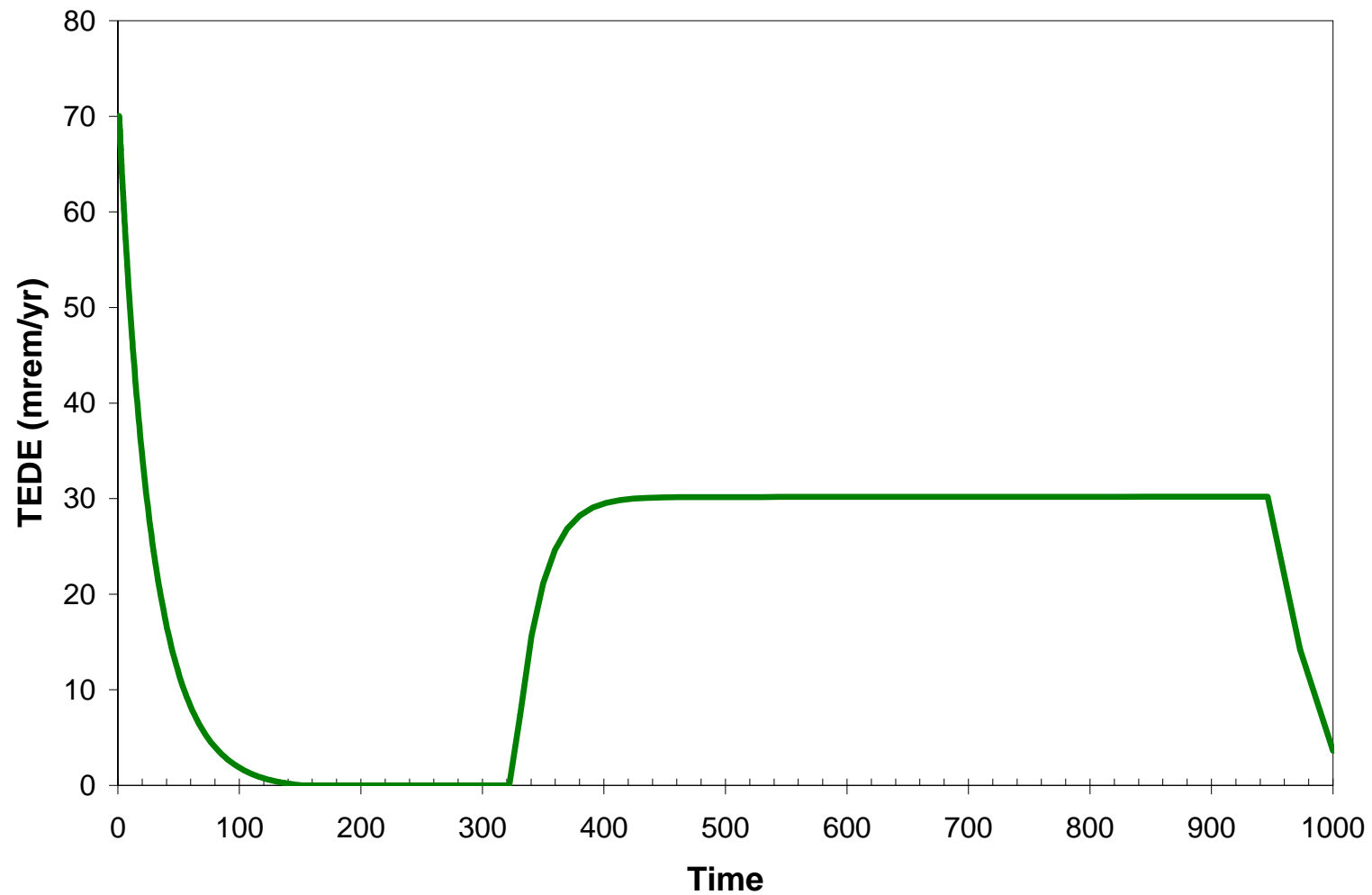
- Determine exposure scenario
- Determine exposure pathway(s)
- Gather data
- Understand calculations
- Calculate guidelines
- Clean up
  
- Solution:
  - Use more realism in
    - Data (Sensitivity and Uncertainty) and
    - Scenario (Offsite)
  - But requires additional justification

## An Example

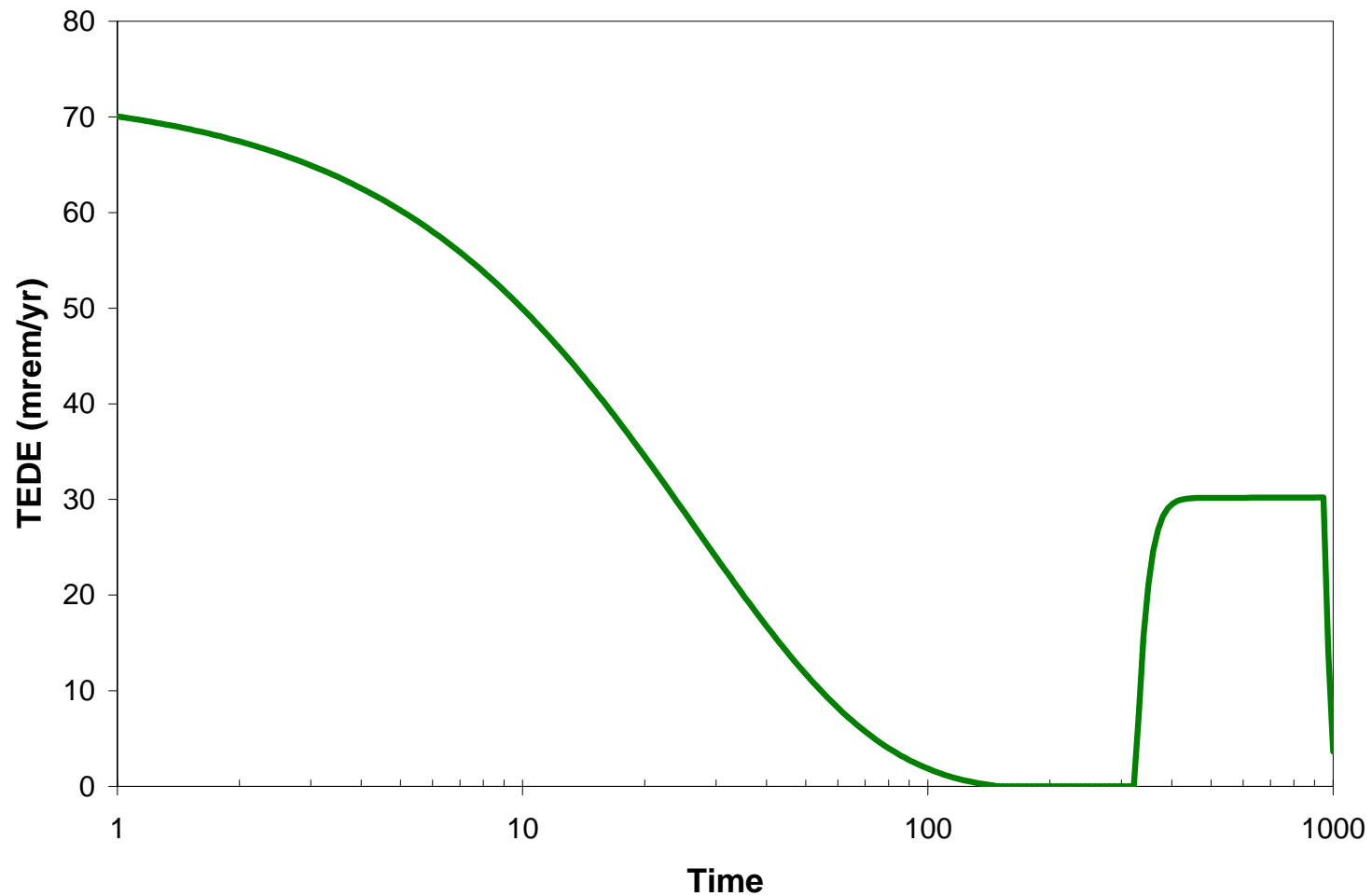
- An industrial site is contaminated with U-238 at 400 pCi/g and Cs-137 at 20 pCi/g. The contamination extends down to the first 15 cm of soil.
- Assuming unrestricted use, estimate the total effective dose equivalent to an individual for up to 1,000 years after license termination. Assume a residential farming scenario.



# Total Effective Dose Equivalent (TEDE)

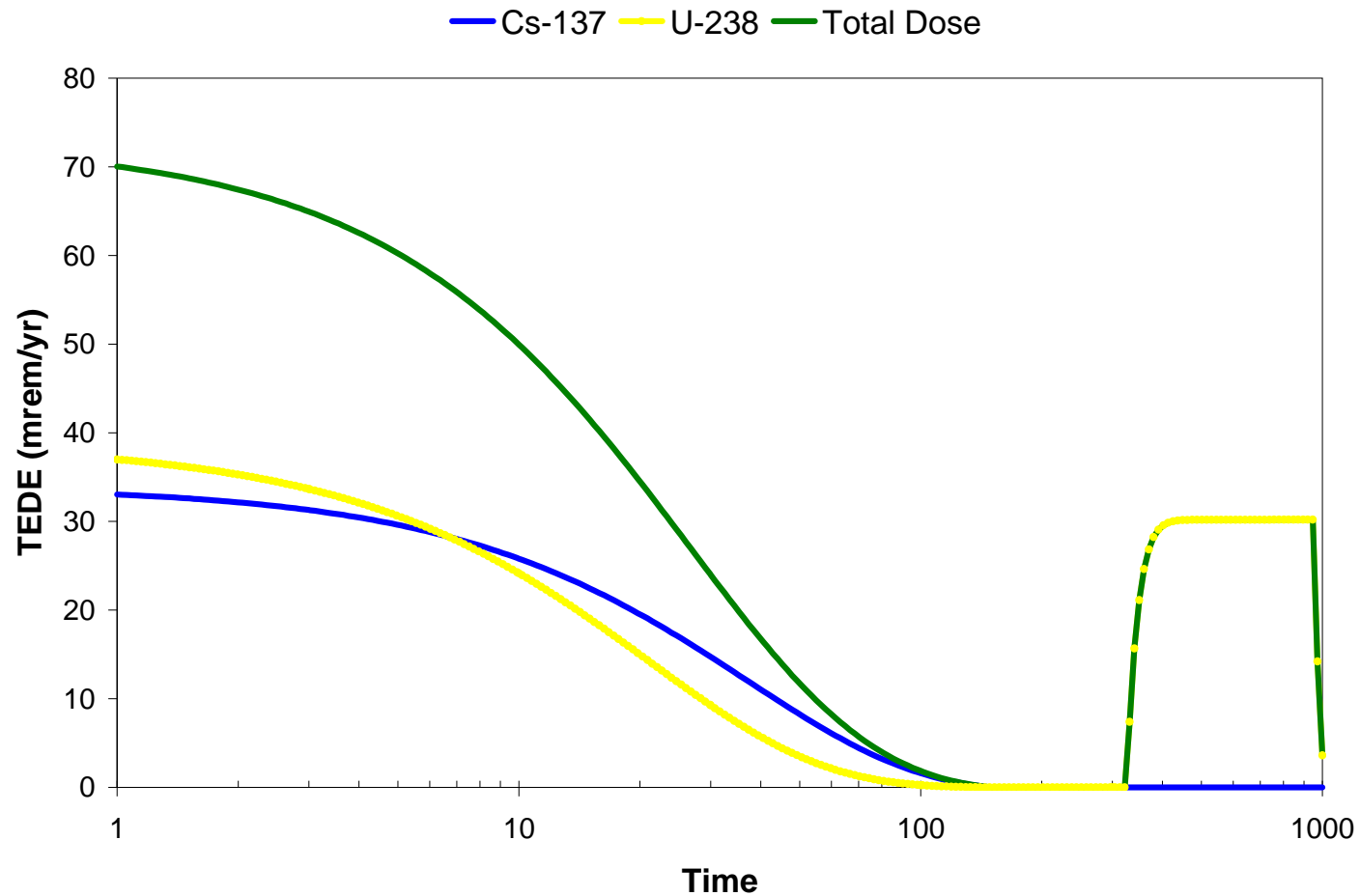


# Total Effective Dose Equivalent (TEDE)



# Which Radionuclides Contribute to the Dose

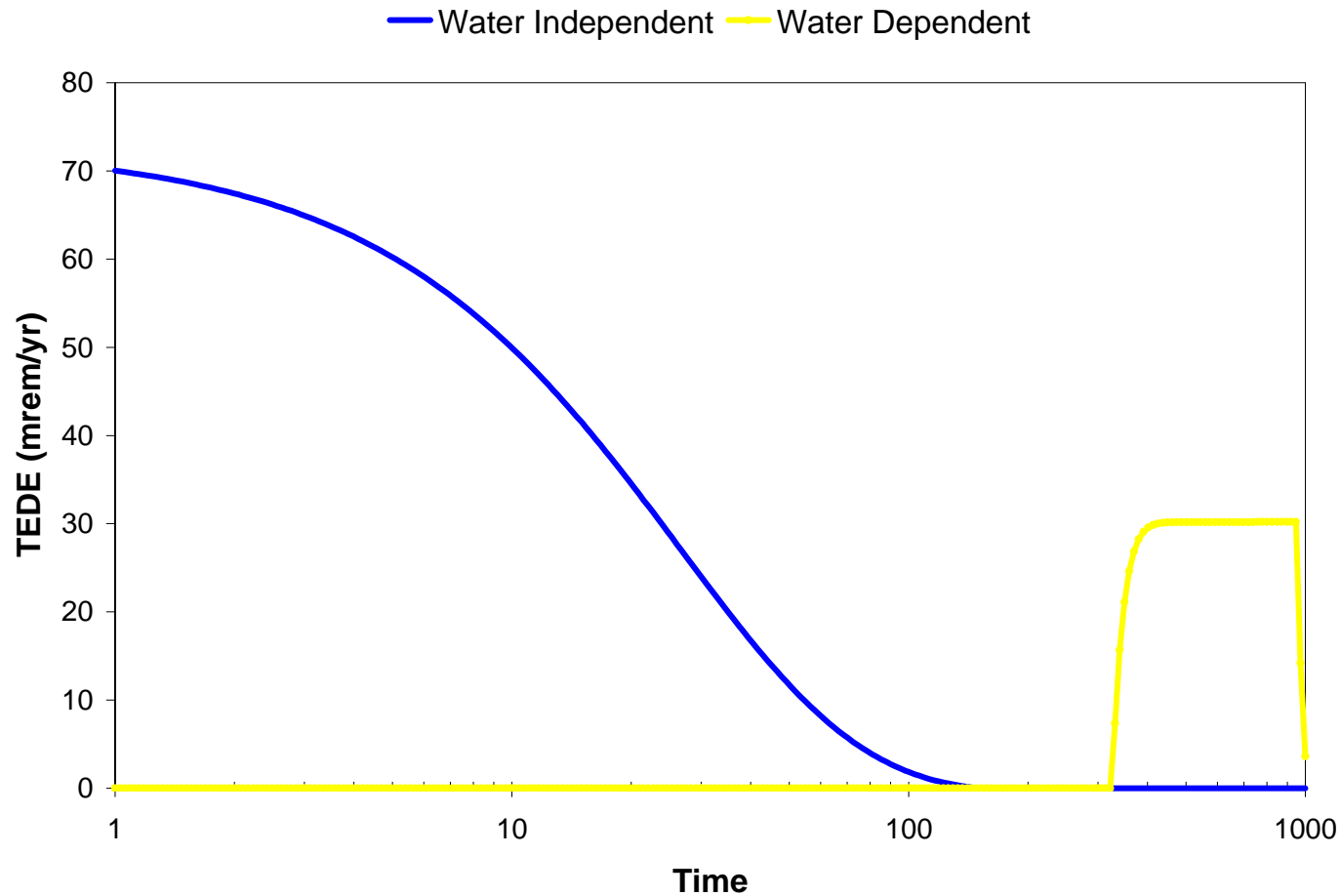
- Cs-137, U-238 or both?





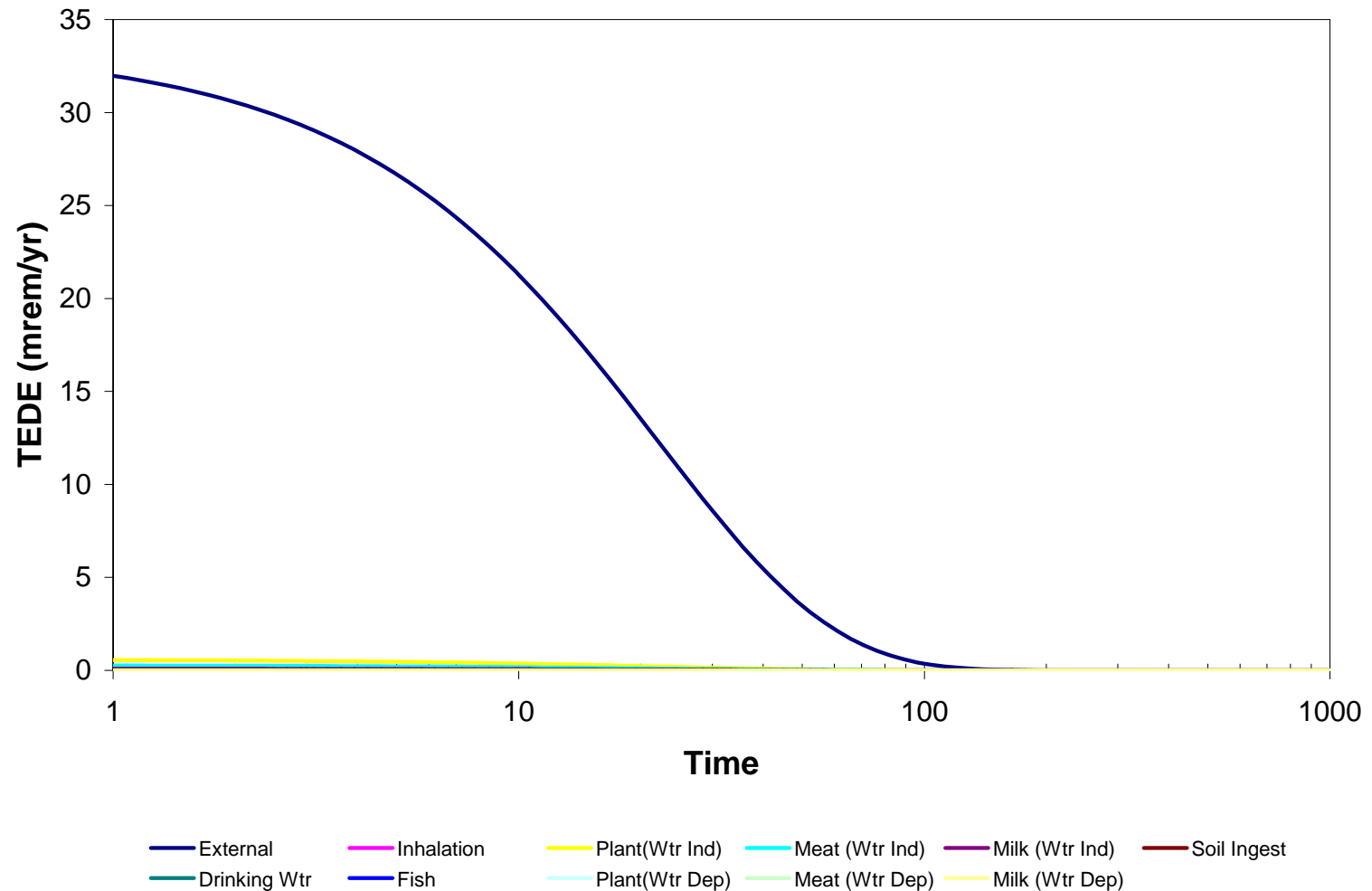
# Which Pathways Contribute to the Dose?

- Water dependent or water independent?



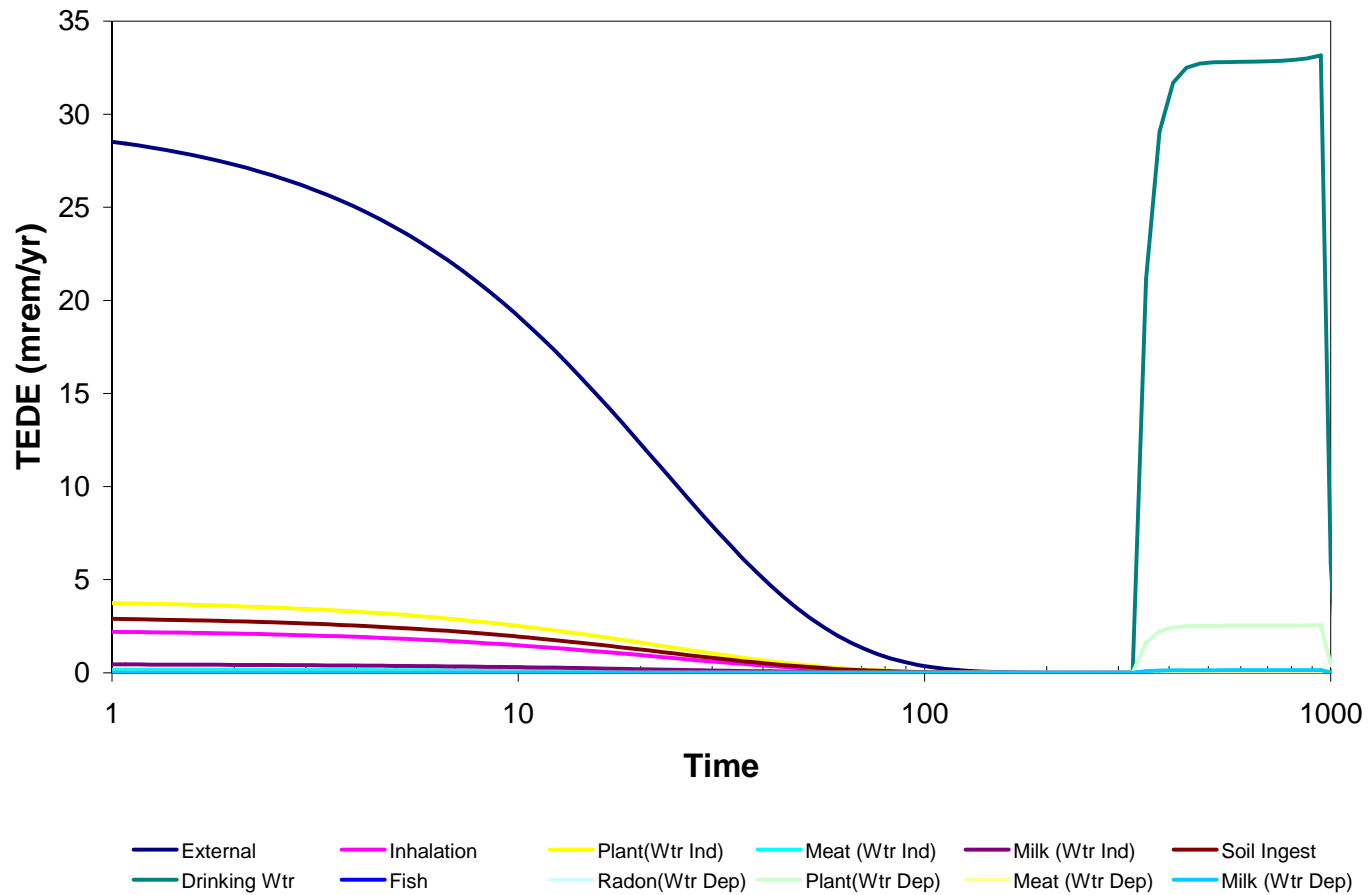
# Components of TEDE

- Cs-137

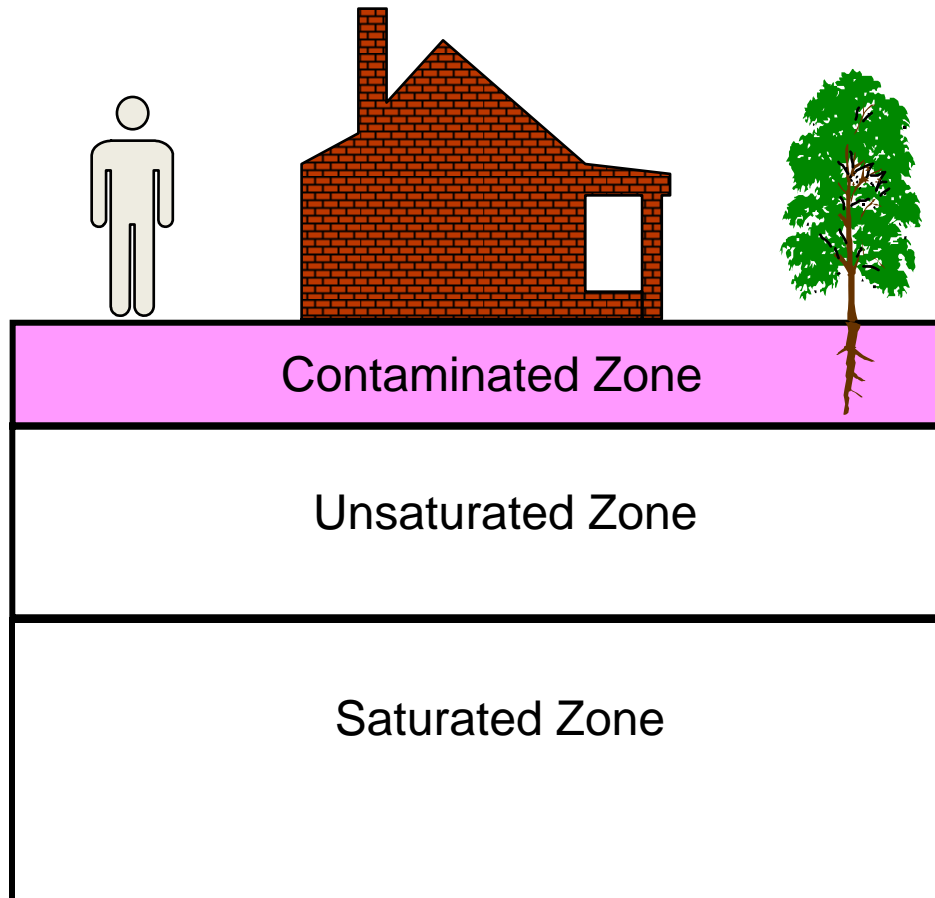


# Components of TEDE

- U-238

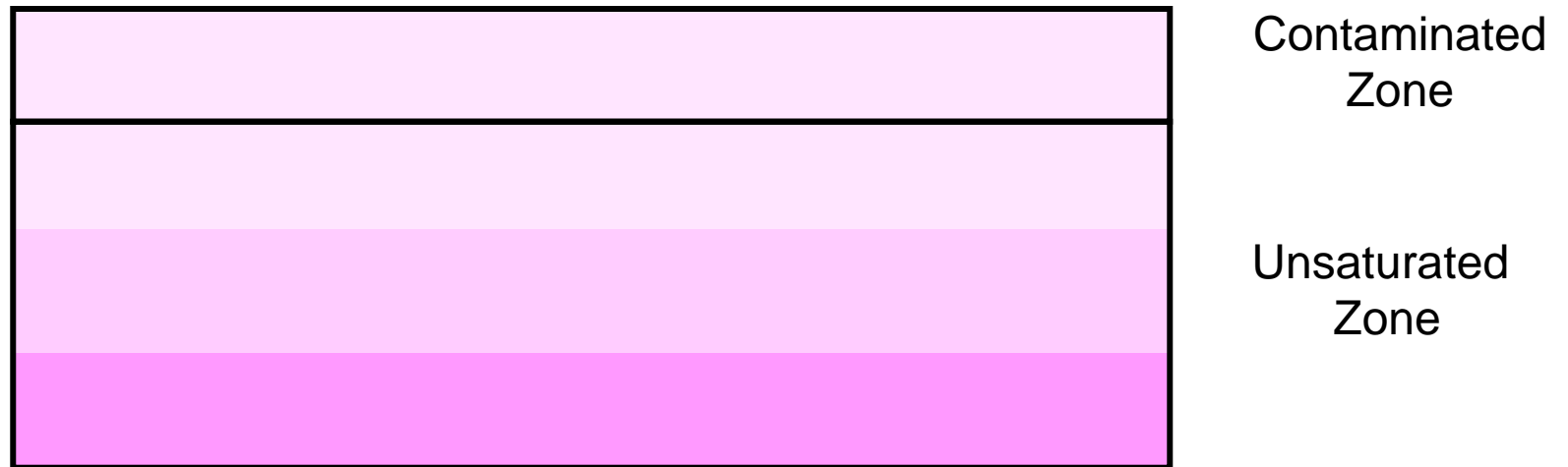


# Water-Independent Components



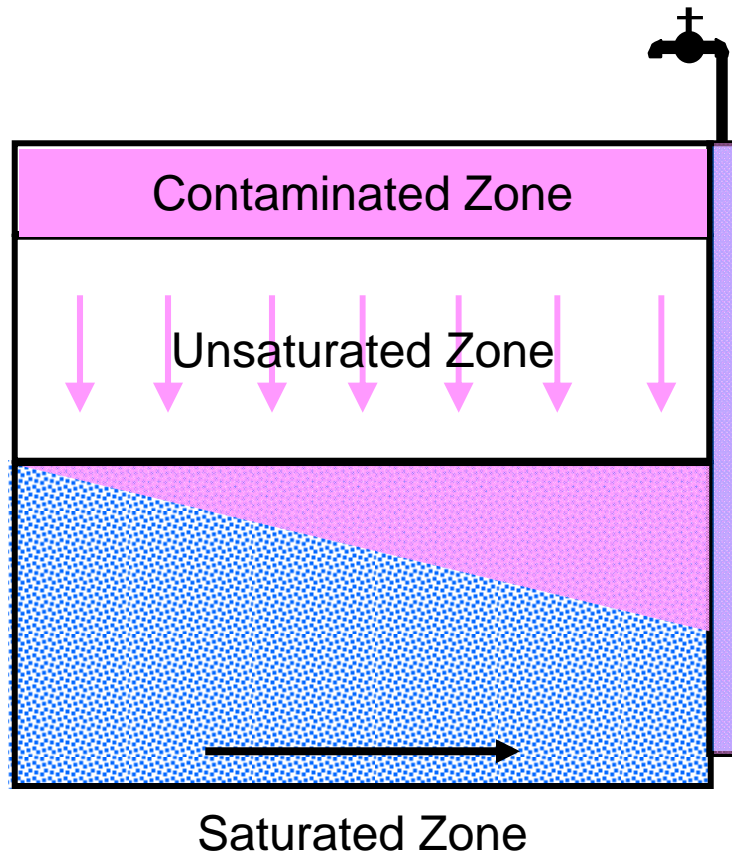
- External Pathway
  - Cover thickness
  - Contamination thickness
  - Erosion rates
  - Leach rates
  - Shielding factors
  - Time factors
- Ingestion Pathways
  - Cover thickness
  - Contamination thickness
  - Erosion rates
  - Leach rates
  - Ingestion rates
  - Depth of roots

# RESRAD Leaching/Unsaturated Zone Transport Model



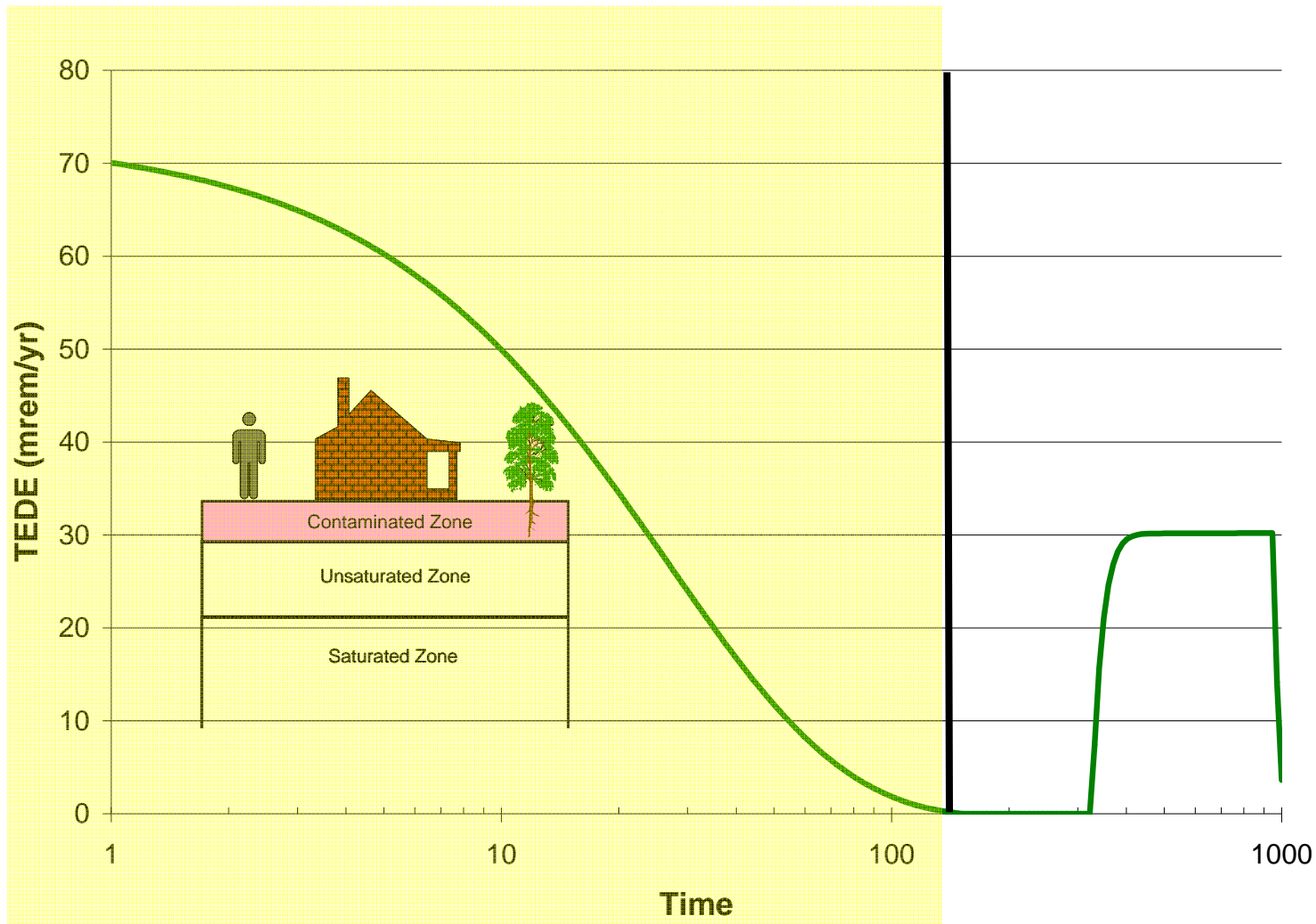
- Transport time in the “unsaturated zone” is a function of
  - $K_d$  (distribution coefficient)
  - Saturation ratio
  - Thickness of unsaturated zone(s)
  - Infiltration rate
  - Effective porosity
- Leach-rate is a function of
  - $K_d$  (distribution coefficient)
  - Total porosity
  - Saturation ratio
  - Infiltration rate

# Radionuclide Transport

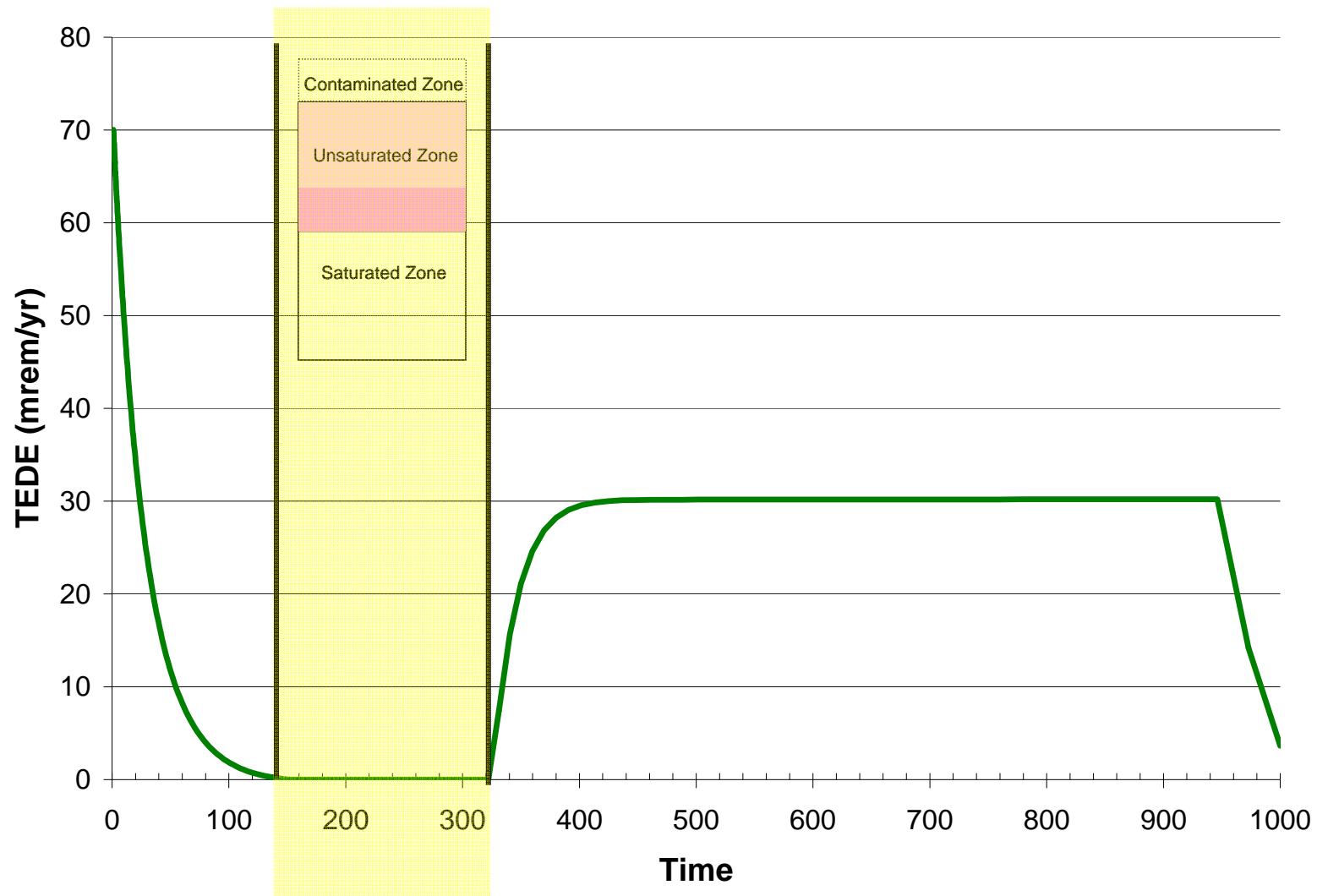


- Water-Dependent Pathway Parameters
  - Distribution coefficients
  - Area of contaminated zone
  - Well depth
  - Well pumping rate
  - Infiltration rate
  - Length parallel to aquifer flow
  - Ingestion rates

# Characteristics of TEDE versus Time

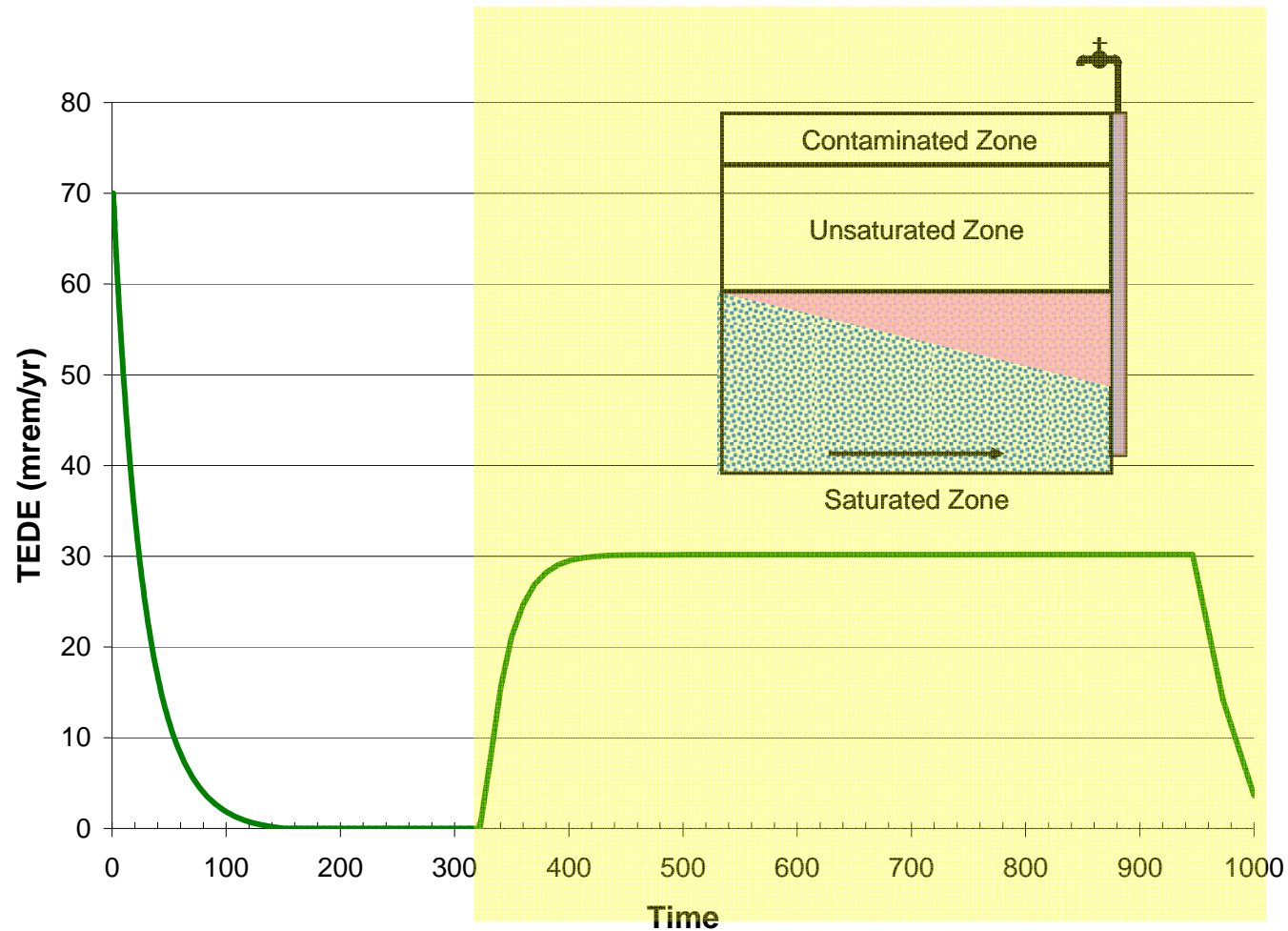


## Characteristics of TEDE versus Time



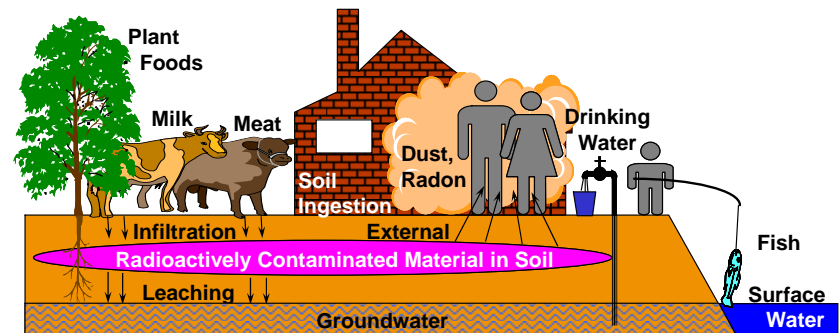


# Characteristics of TEDE versus Time

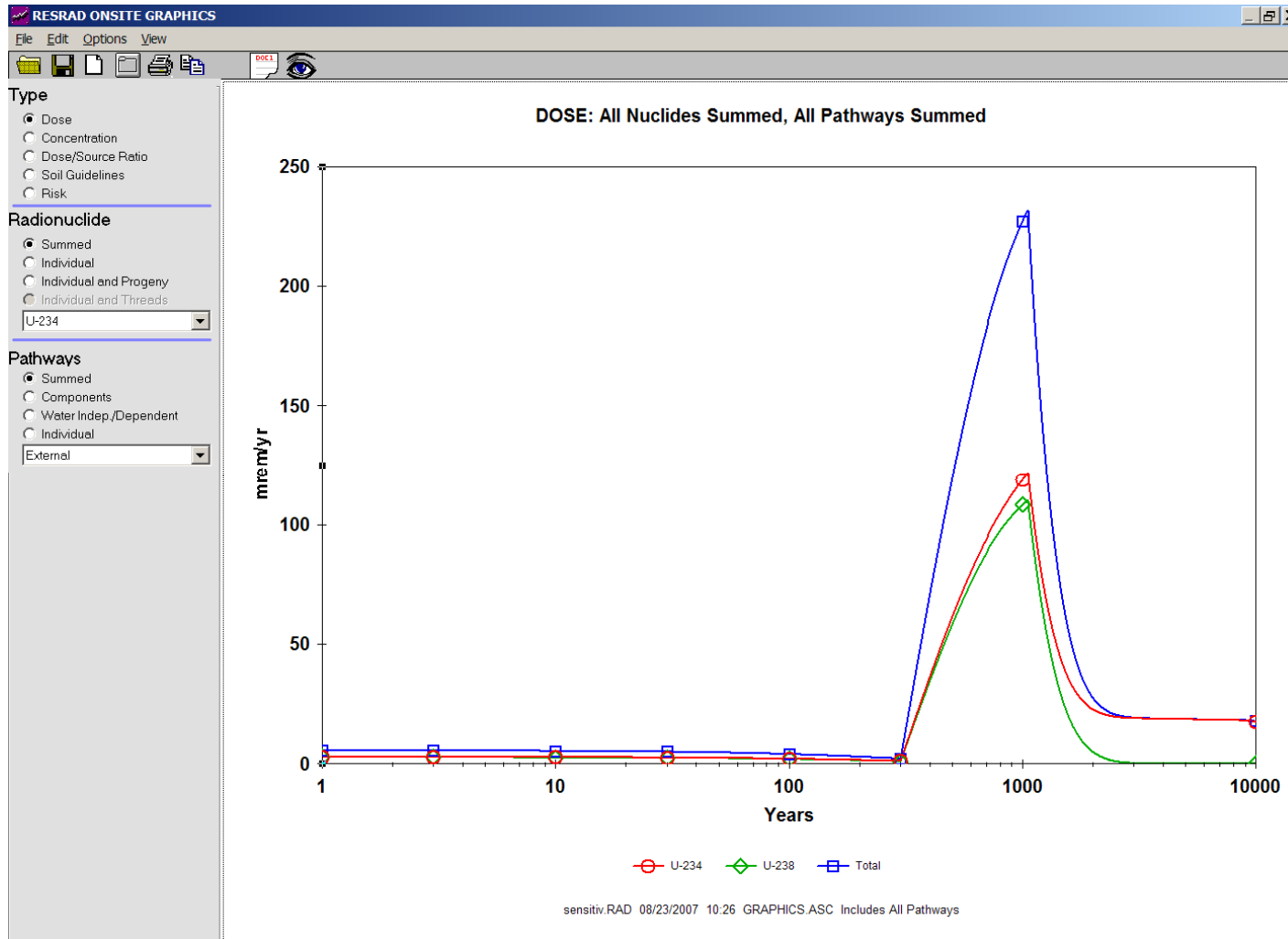


# Sensitivity Analysis: An Example

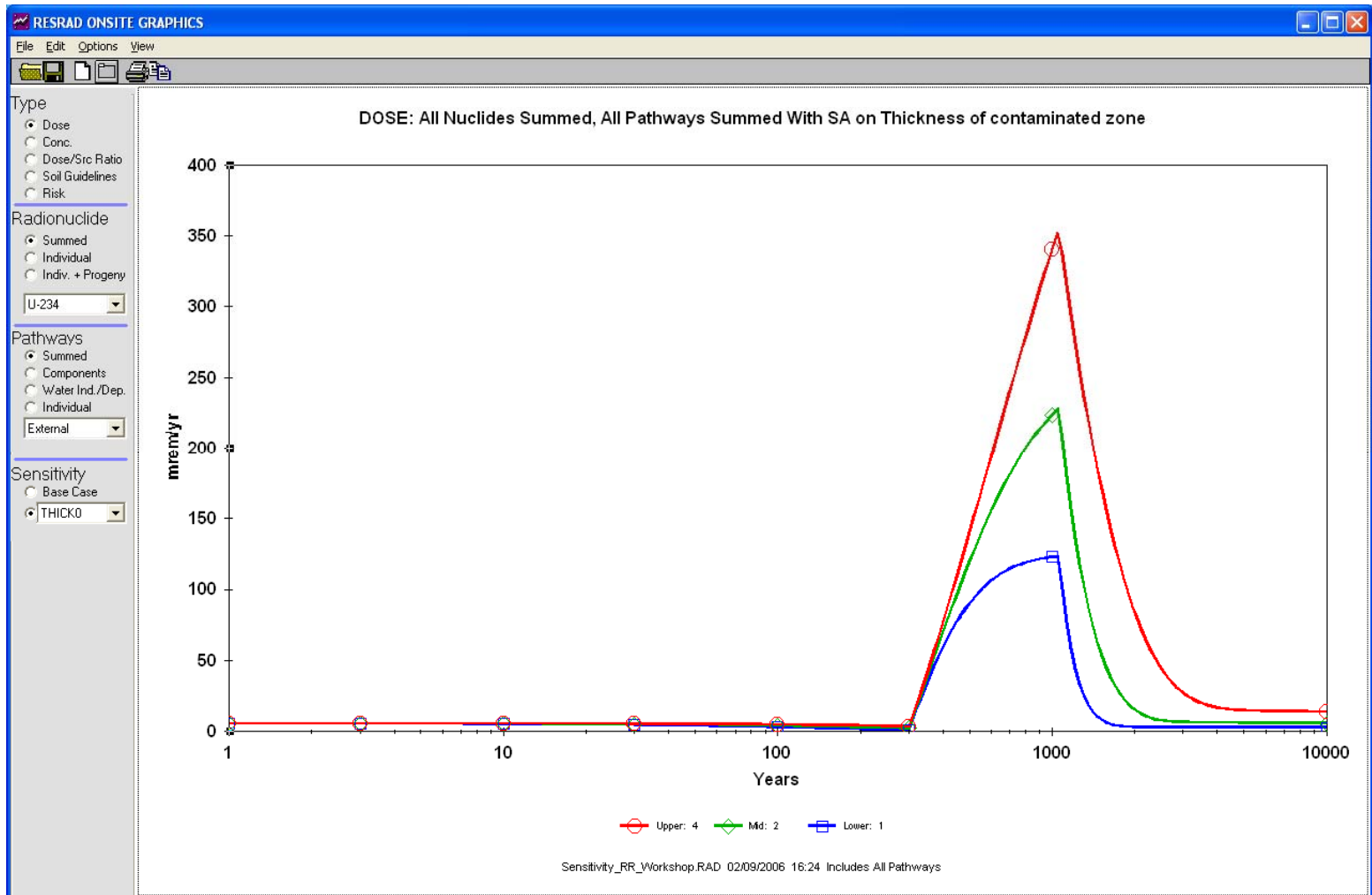
- Residential Farmer Scenario
  - U-238 and U-234 @ 100 pCi/g each
  - 0.5 m cover
  - No erosion of cover material
  - Water table drop rate set to 0
  - All other parameters set to default
  - Sensitivity analysis performed on
    - Thickness of contaminated zone
    - Precipitation rate
    - Distribution coefficient in the unsaturated zone



# Base Case

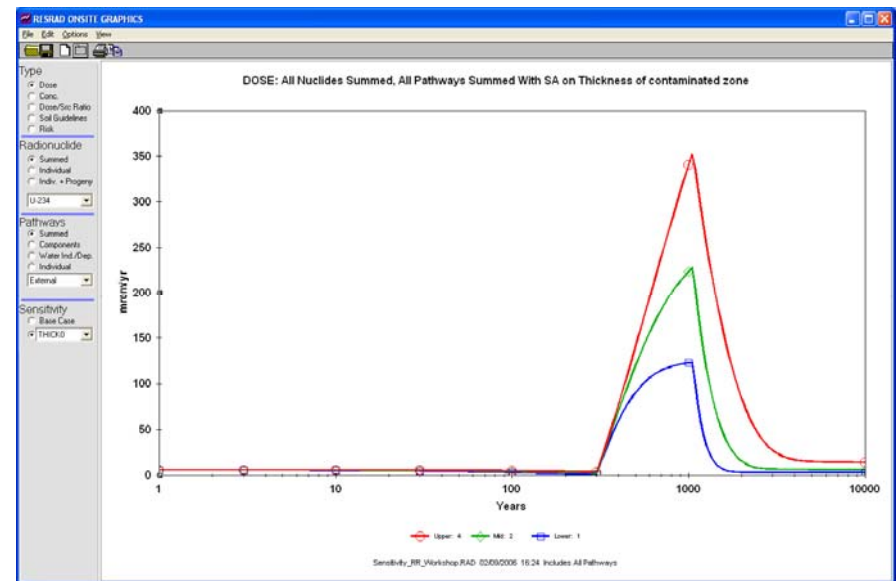


# Sensitivity on the Thickness of the Contaminated Zone

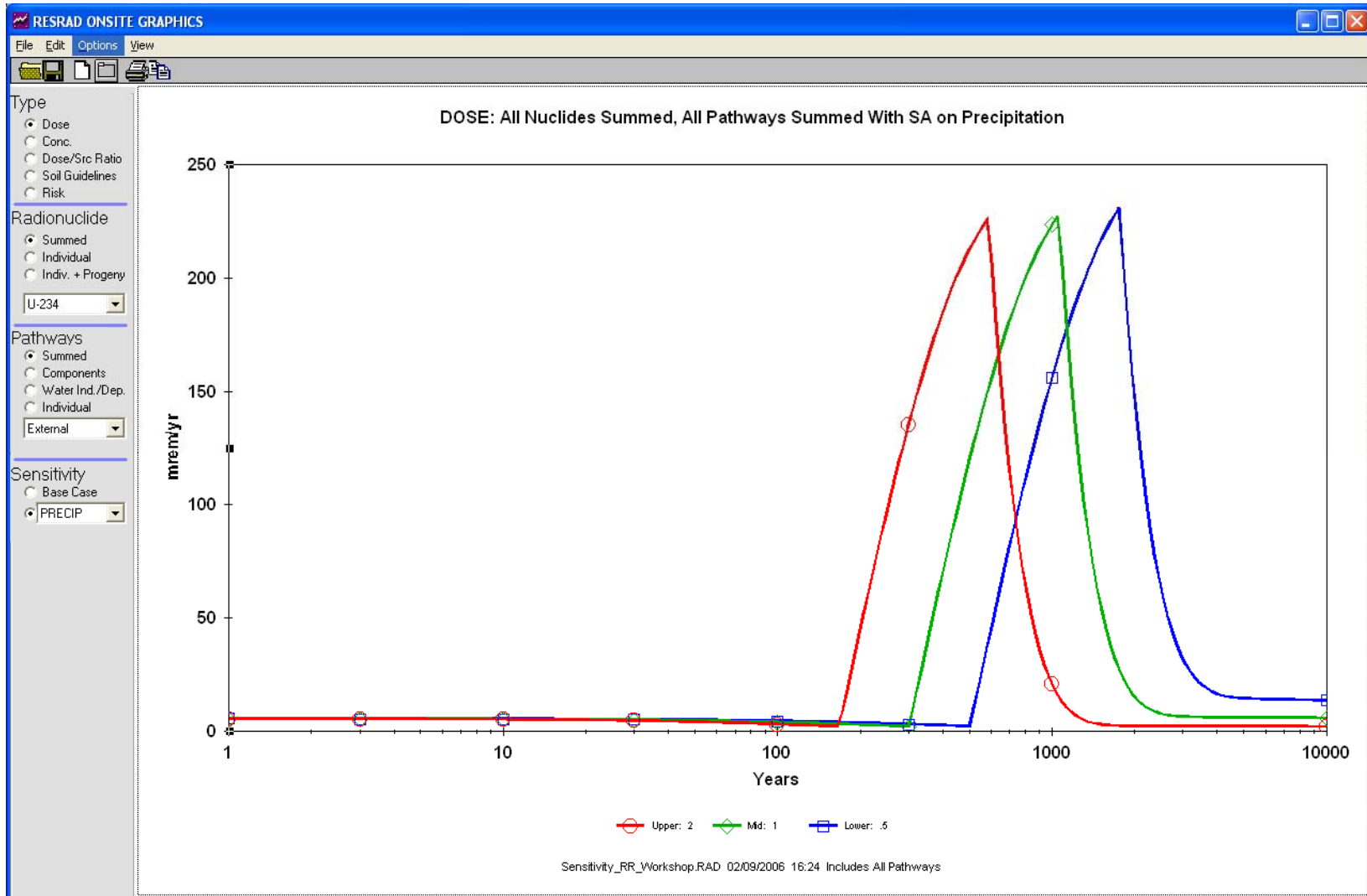


# Summary of Results

- Thickness of Contaminated Zone
  - Peak dose occurs at the same point in time
  - Breakthrough time occurs at the same place
  - Dose is insensitive to the thickness at early times
  - The overall magnitude of the dose increases with increasing thickness
  - Longer tail with increased thickness
  - What other parameters may change when the thickness of the contaminated zone changes
  - Does the dose change “significantly?”

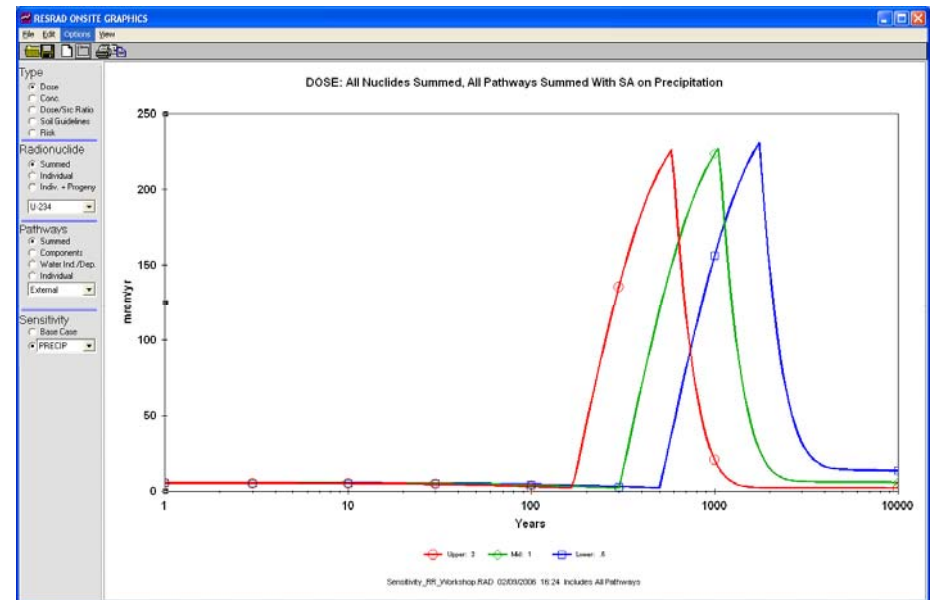


# Sensitivity on the Precipitation Rate

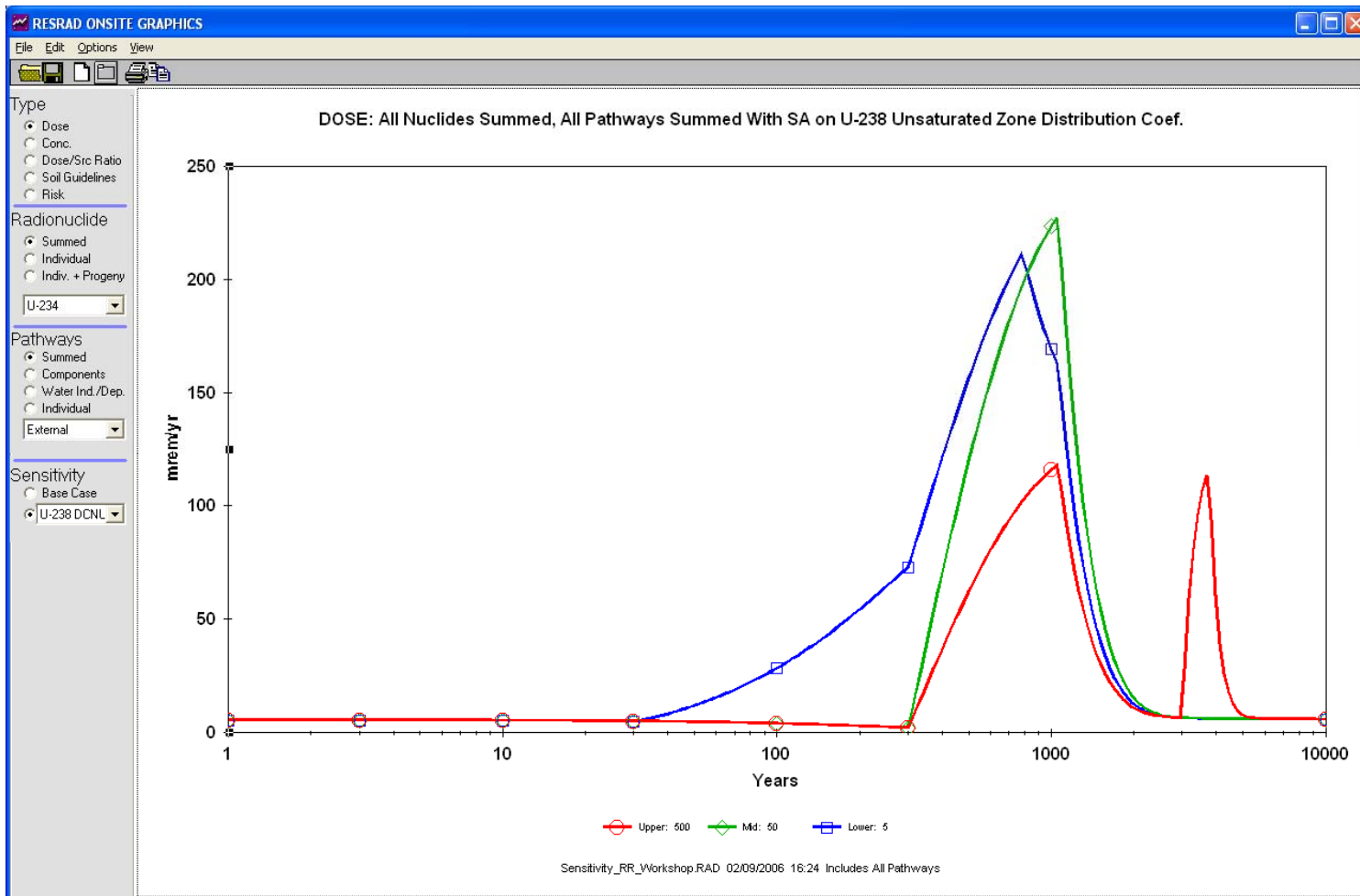


# Summary of Results

- Precipitation Rate
  - Time of peak dose changes
  - Breakthrough time changes
  - Overall magnitude of the dose is about the same
  - Dose is insensitive to the precipitation rate in early times
  - Decreasing the precipitation rate pushes the peak past 1000 years
  - Would this be a cause for concern?
  - What other parameters may affect the precipitation rate?
  - Would the precipitation rate be considered “significant”?



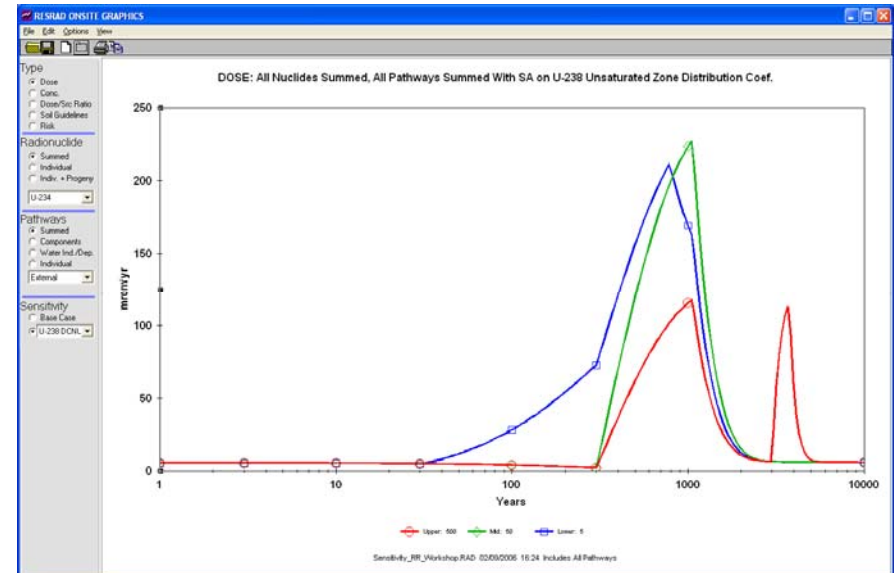
# Sensitivity Analysis on the $K_d$ of U-238 in the Unsaturated Zone



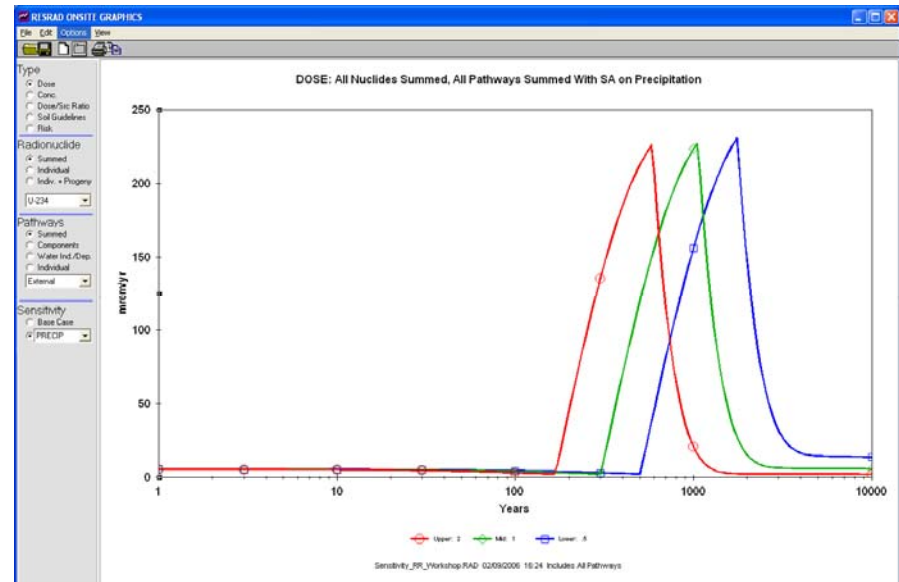
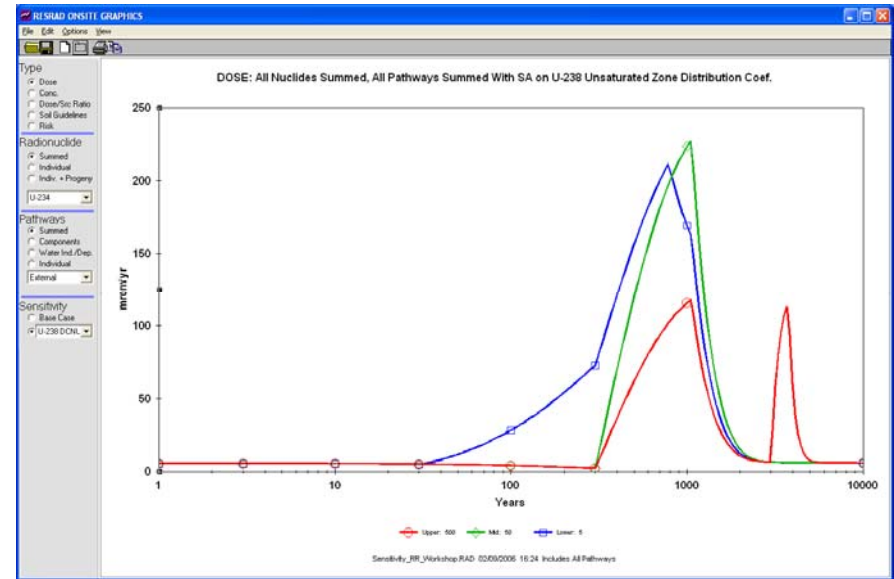
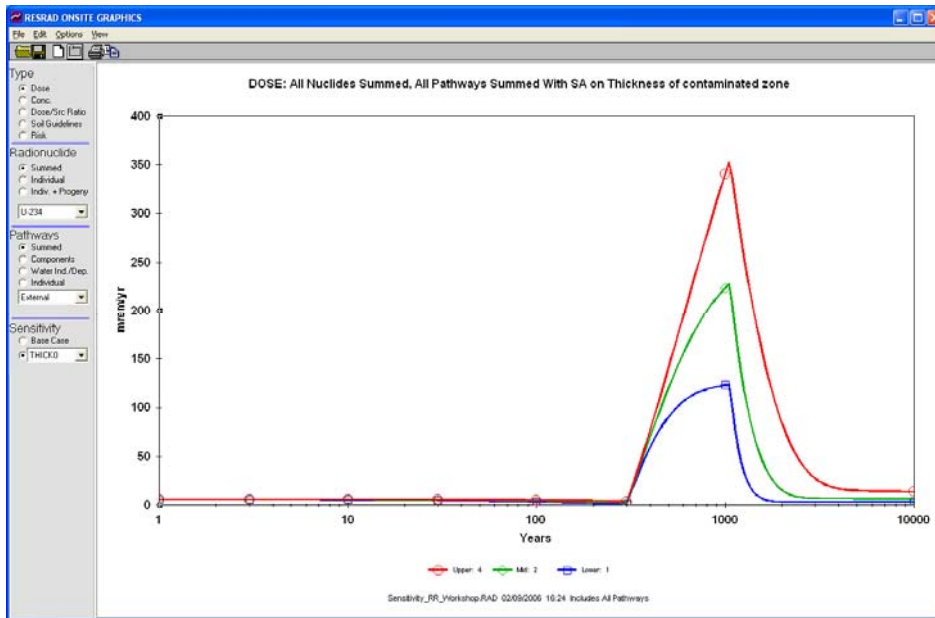


# Summary of Results

- Overall magnitude of the dose decreases for 1 out of 3 simulations and remains the same for the other 2 simulations
- Multiple breakthrough times and peak doses for one simulation
- One peak past the 1,000-year timeframe
- Are there other parameters that may be correlated to the  $K_d$  of U-238 in the unsaturated zone?
- How might they affect the results?
- Does changing the  $K_d$  in the unsaturated zone change the dose significantly?

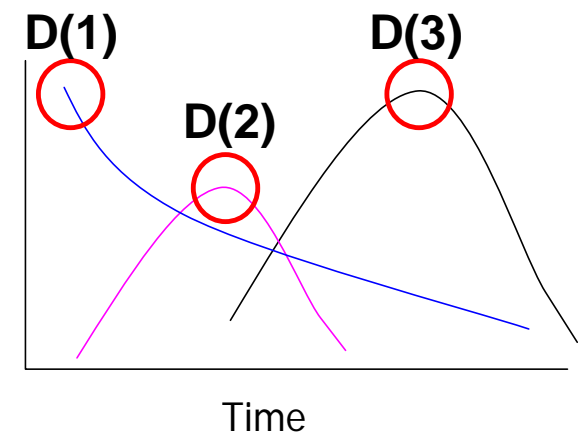
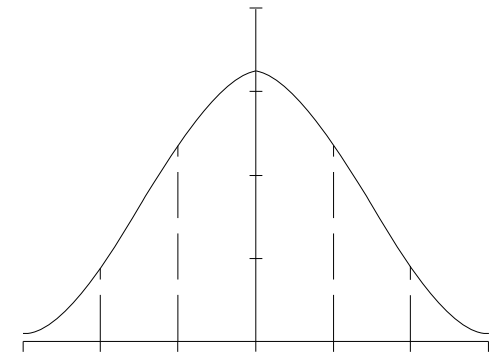


# What is Significant?



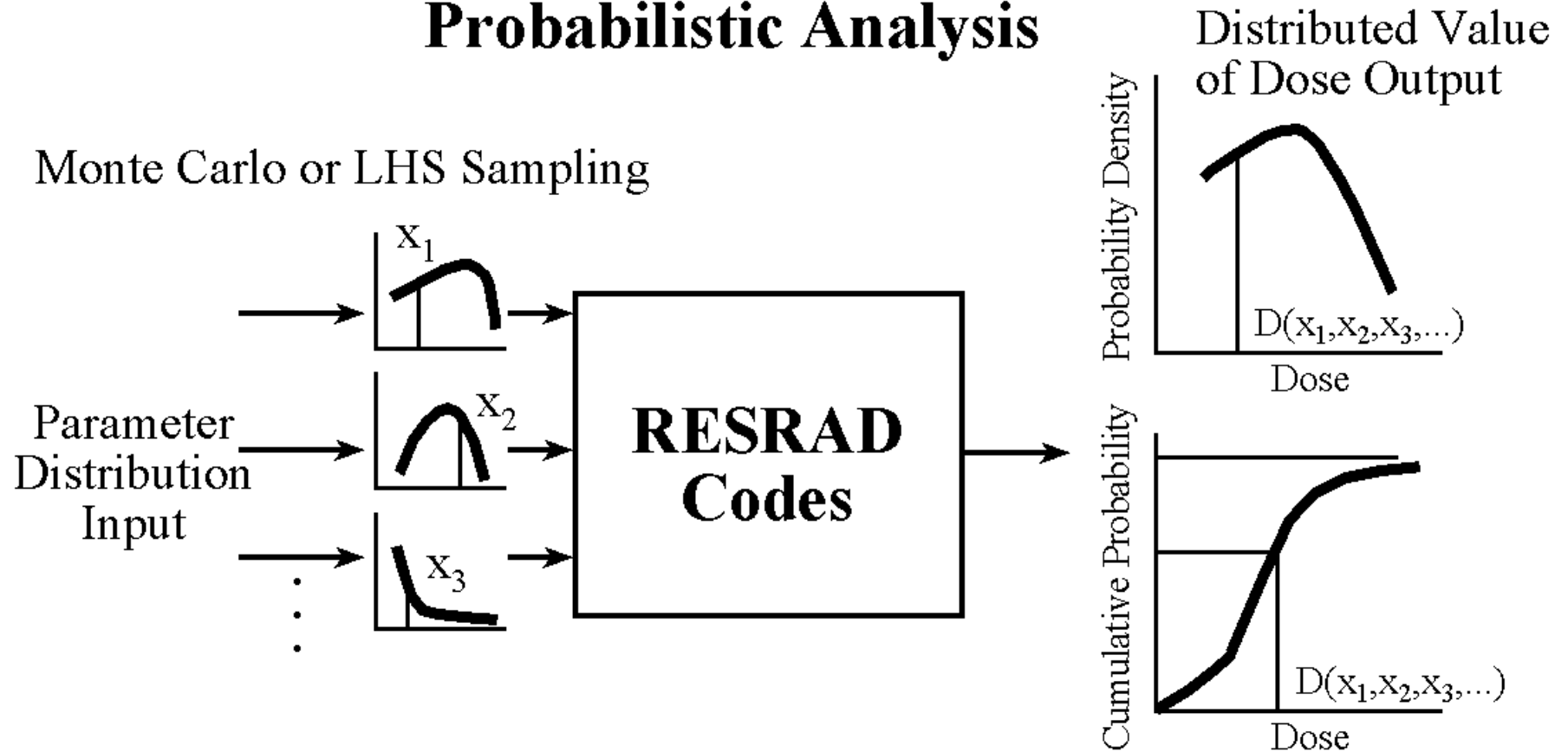
## Probabilistic Methodology Overview

- Comparison of deterministic and probabilistic inputs and calculations
- Specifying distribution for inputs
- Inputs
  - Parameter sampling
  - Parameter correlations
- Outputs
  - Distribution of the peaks
    - Distribution free fractiles (quantiles)
    - Mean (“mean of the peaks”)
  - “Peak of the means”
- Parameter sensitivity
  - Probabilistic
  - Deterministic



# Probabilistic Analysis

## Probabilistic Analysis



# Comparison of Deterministic and Probabilistic Inputs

## ■ Deterministic

- Single value for each input parameter
- One set of inputs

## ■ Probabilistic

- A distribution for one or more parameters
  - A range of values with some way of specifying the probability of various parts of that range
- Sample the distribution many times
  - Number of observations
  - Creates many sets of inputs

## Comparison of Deterministic and Probabilistic Calculations

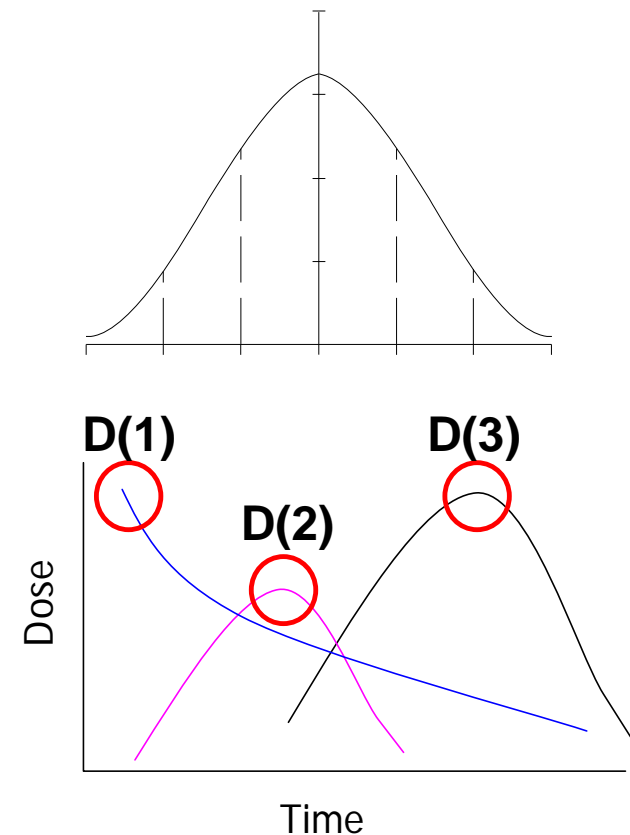
- Calculations are performed one time in the main code
- The number of calculations performed by the main code are equal to the number of observations
  - 100 observations = 100 RESRAD calculations
  - 1,000 observations = 1,000 RESRAD calculations

## Comparison of Deterministic and Probabilistic Calculations

- One result, whether it be the peak dose, the peak risk, or a temporal plot of dose (or risk)
- As many results as there are observations
- A distribution of the result, whether it be of the peak dose, of the peak risk, or distribution of dose (or risk) over time

## Probabilistic Terminology

- Parameter sampling
- Parameter correlations
- “Peak of the mean”
- “Mean of the peaks”
- Parameter sensitivity
  - Deterministic
  - Probabilistic





## Probabilistic Dose Assessment

- Parameter distributions cannot be entered into the dose assessment model directly
- Parameter distributions are “sampled” to reflect the “true” distributions
- Many sampling routines have been developed

# Probabilistic Specifications

**Uncertainty Analysis Input Summary**

Sample specifications	Parameter distributions	Input Rank Correlations	Output specifications
-----------------------	-------------------------	-------------------------	-----------------------

**Sampling parameters**

Random Seed:

Number of Observations:

Number of Repetitions:

**Sampling Technique**

Latin Hypercube  
 Monte Carlo

**Grouping of observations**

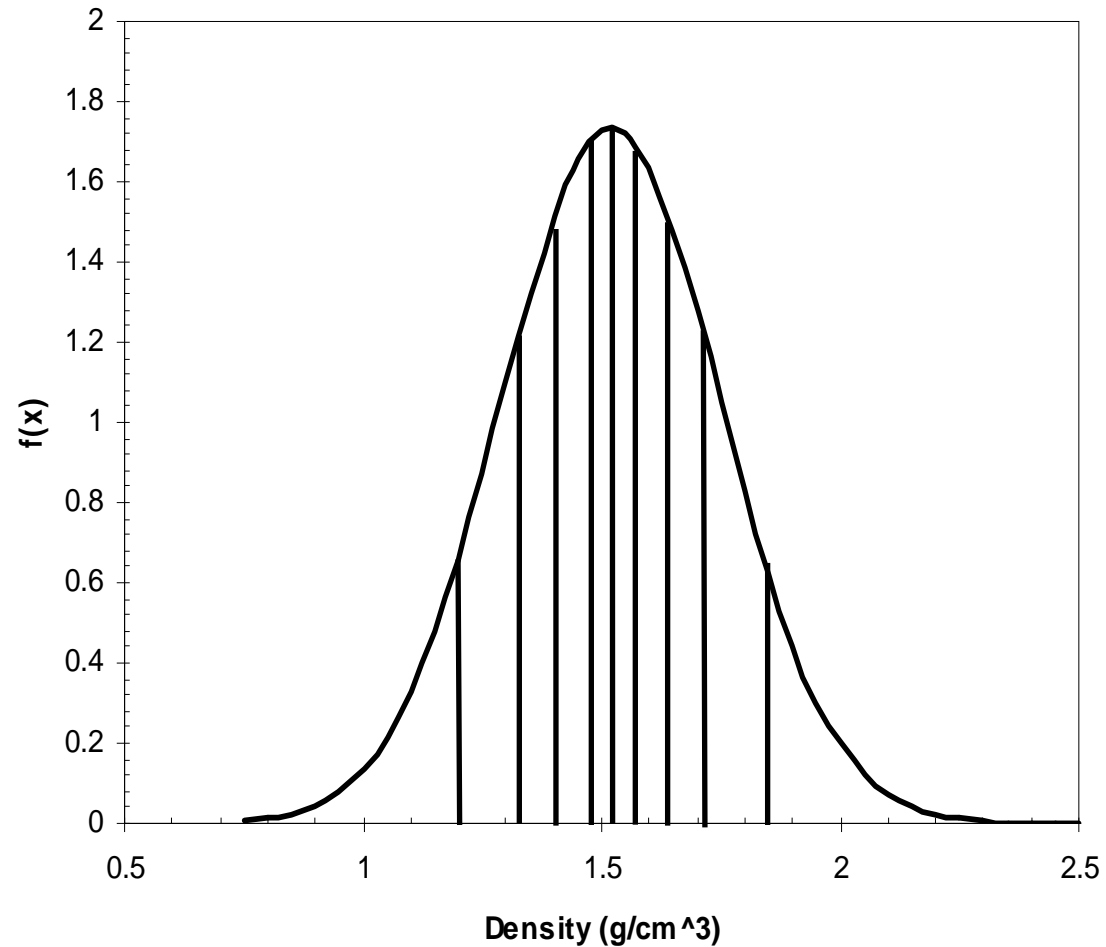
Correlated or Uncorrelated  
 Random

**Information about current selection**

The random seed determines the series of random numbers that are generated. Specification of a random seed will permit the same set of input parameters to be generated if the simulation needs to be rerun.

Perform uncertainty analysis     Suppress uncertainty analysis this session

# Latin Hypercube Sampling

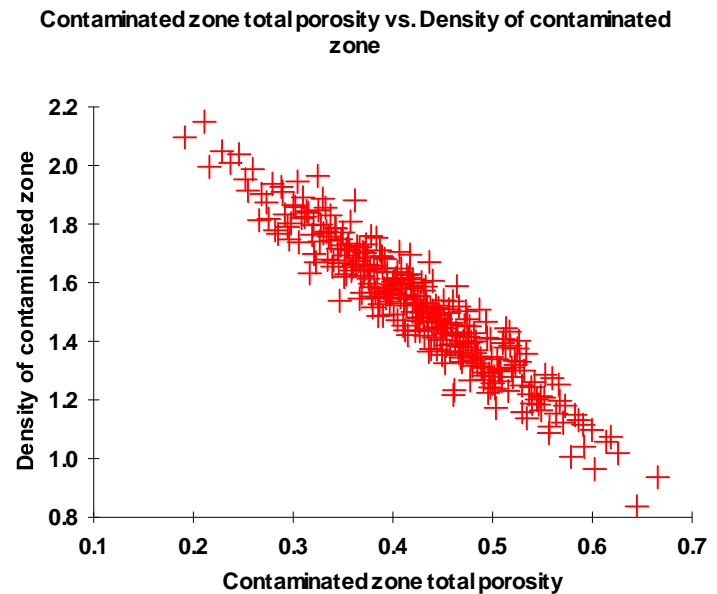


## LHS Values

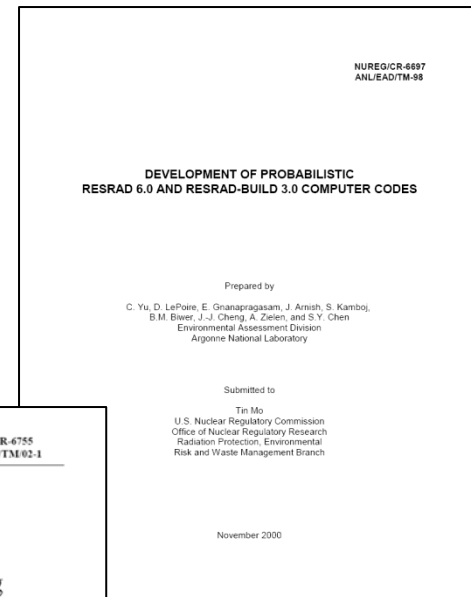
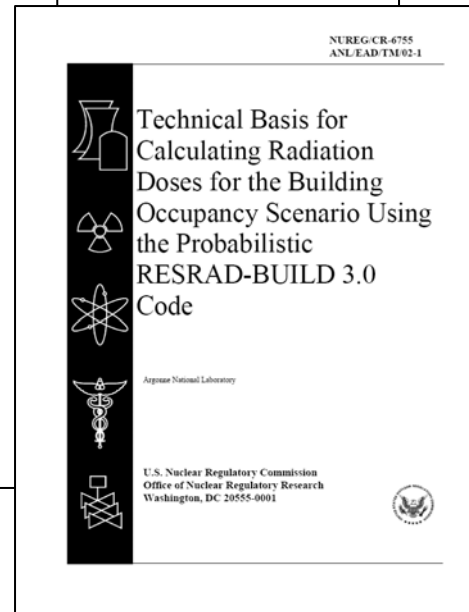
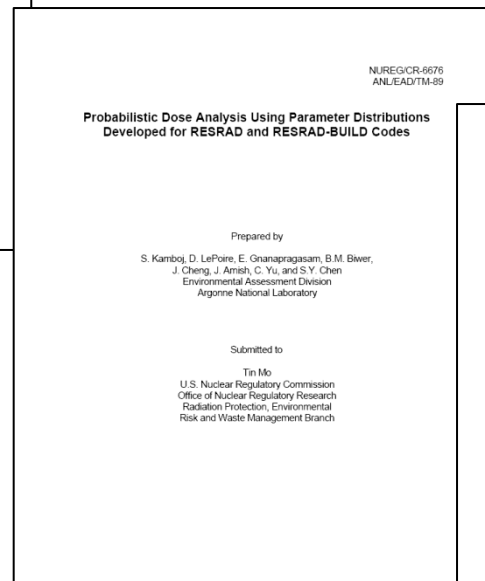
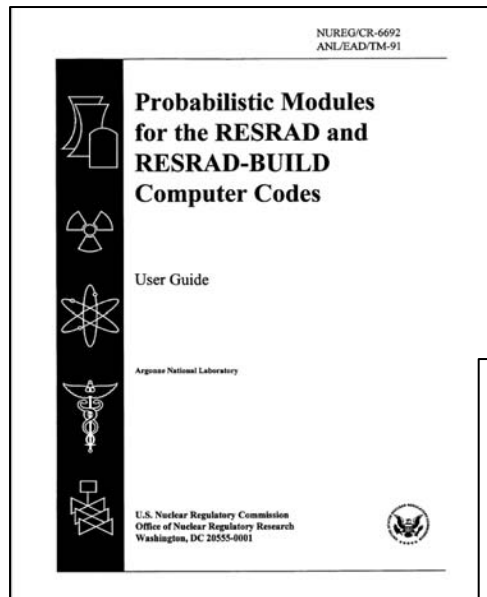
1.1923
1.30491
1.33396
1.41412
1.47631
1.5496
1.61538
1.64943
1.72338
1.95171

# Parameter Correlations

- Some input parameters may be related to one another
  - Examples
    - As the density of the contaminated zone increases, the total amount of pore space in the soil decreases (negative correlation)
    - As the distribution coefficient of U-238 increases in the contaminated zone, the distribution coefficients of U-234 and U-235 increase as well (positive correlation)



# Reports Available to Assist Analysts



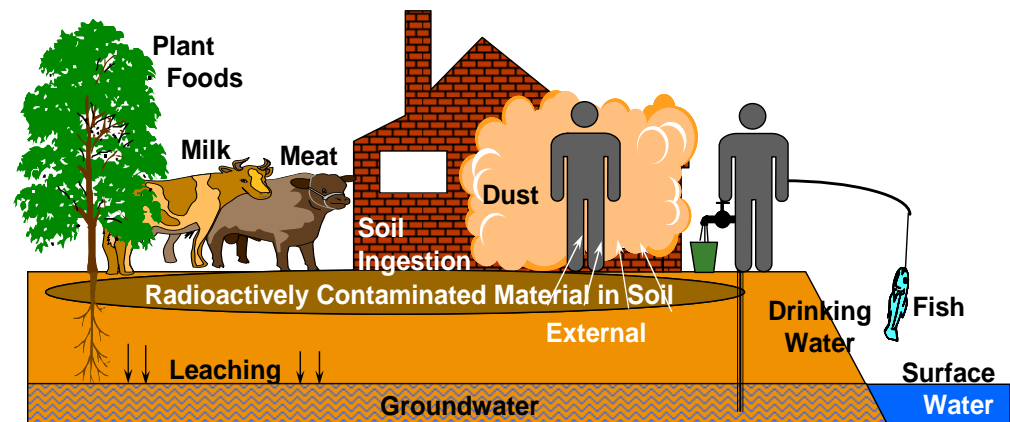
## Caution on Interpreting Probabilistic Results and Blindly Using Output Correlations

- “He uses statistics like a drunk uses a lamp post....for support rather than illumination”



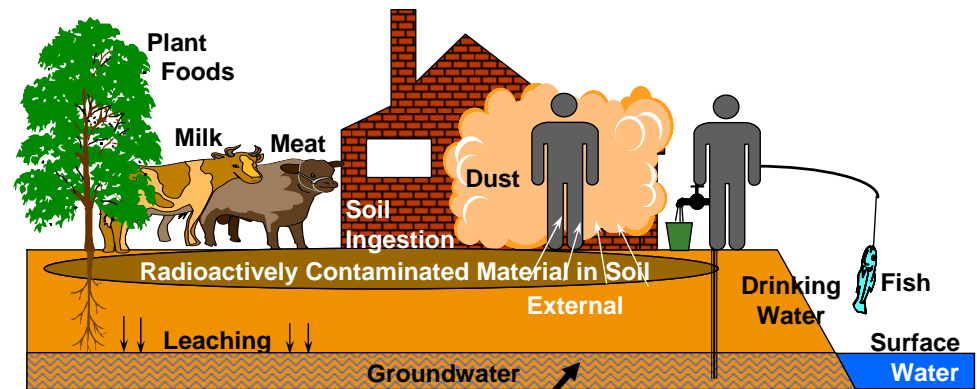
# Probabilistic Dose Modeling Example

- Describe nuances of probabilistic dose modeling with a simple example
  - “Peak of the Mean”
  - “Mean of the Peaks”
- All pathways active
- One radionuclide



# Probabilistic Dose Modeling Example

- Consider case of soil contaminated with U-238
- Perform uncertainty analysis on saturated zone  $K_D$
- For other parameters use RESRAD defaults
- Walk through simple case with few (11) samples

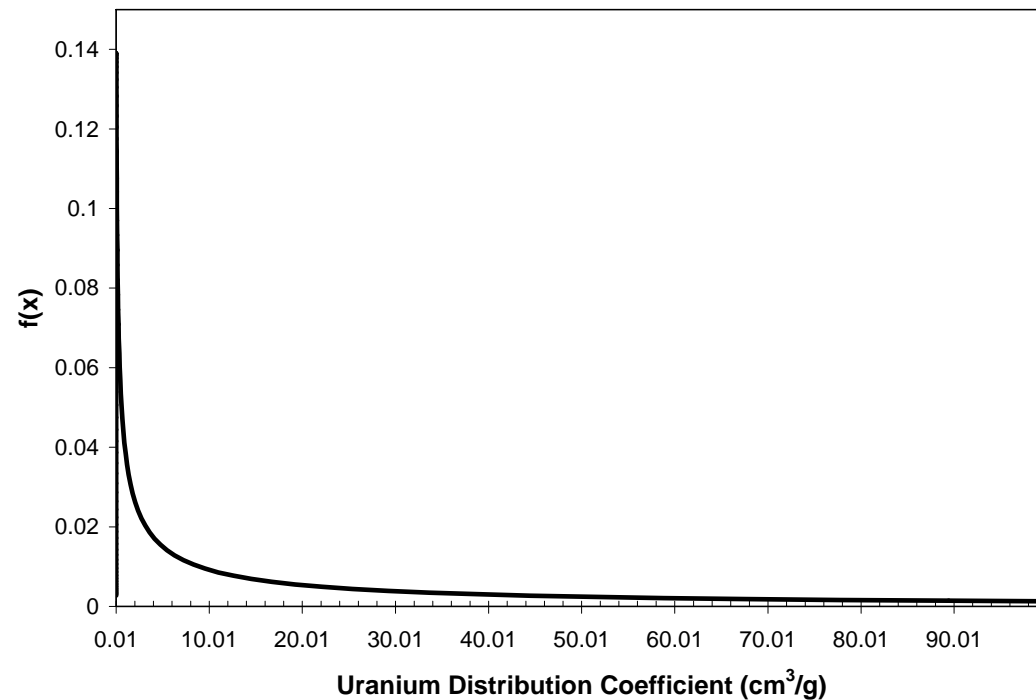


U-238  $K_D$  ?

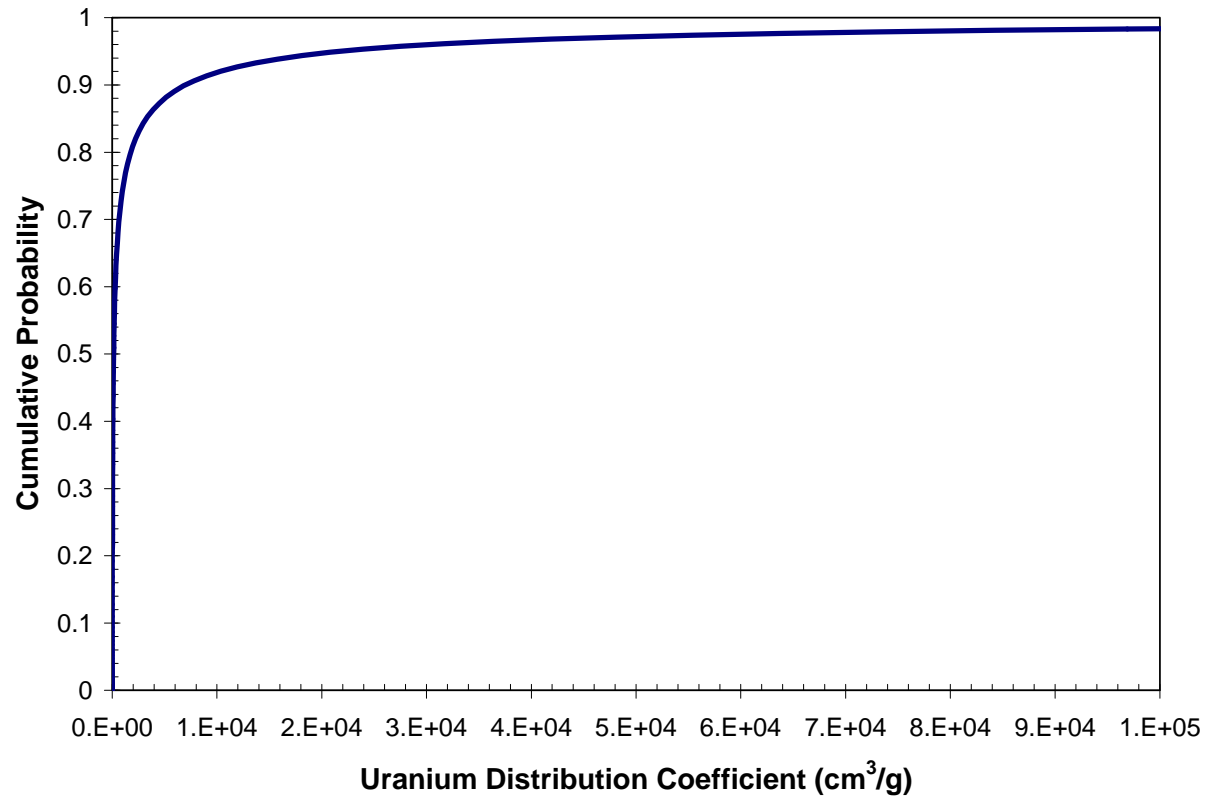


# Probability Distributions for the $K_D$ of U-238 in the Saturated Zone

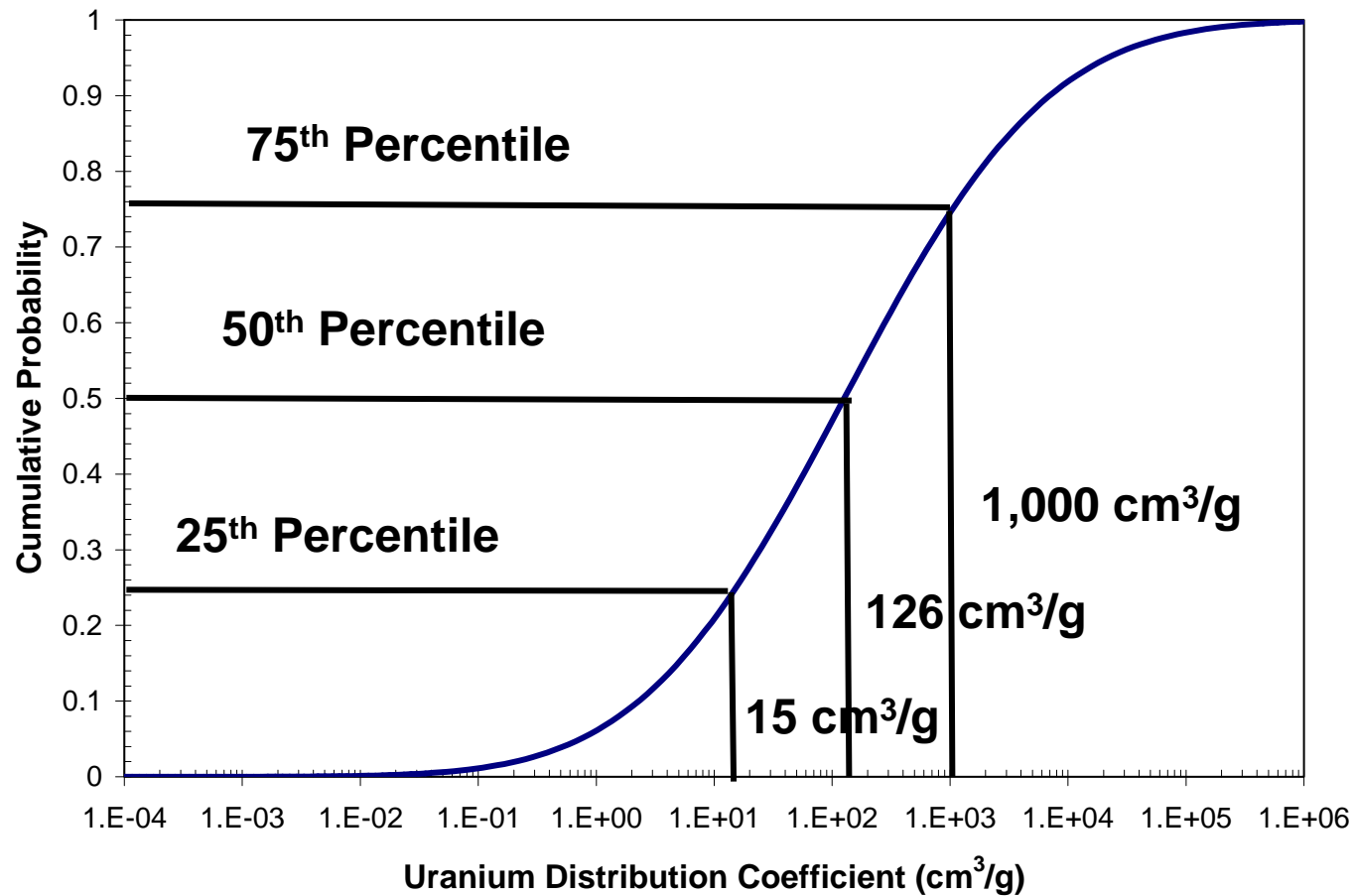
- $K_D$  of U-238
  - Lognormal distribution
    - $\mu=4.84$
    - $\sigma=3.13$



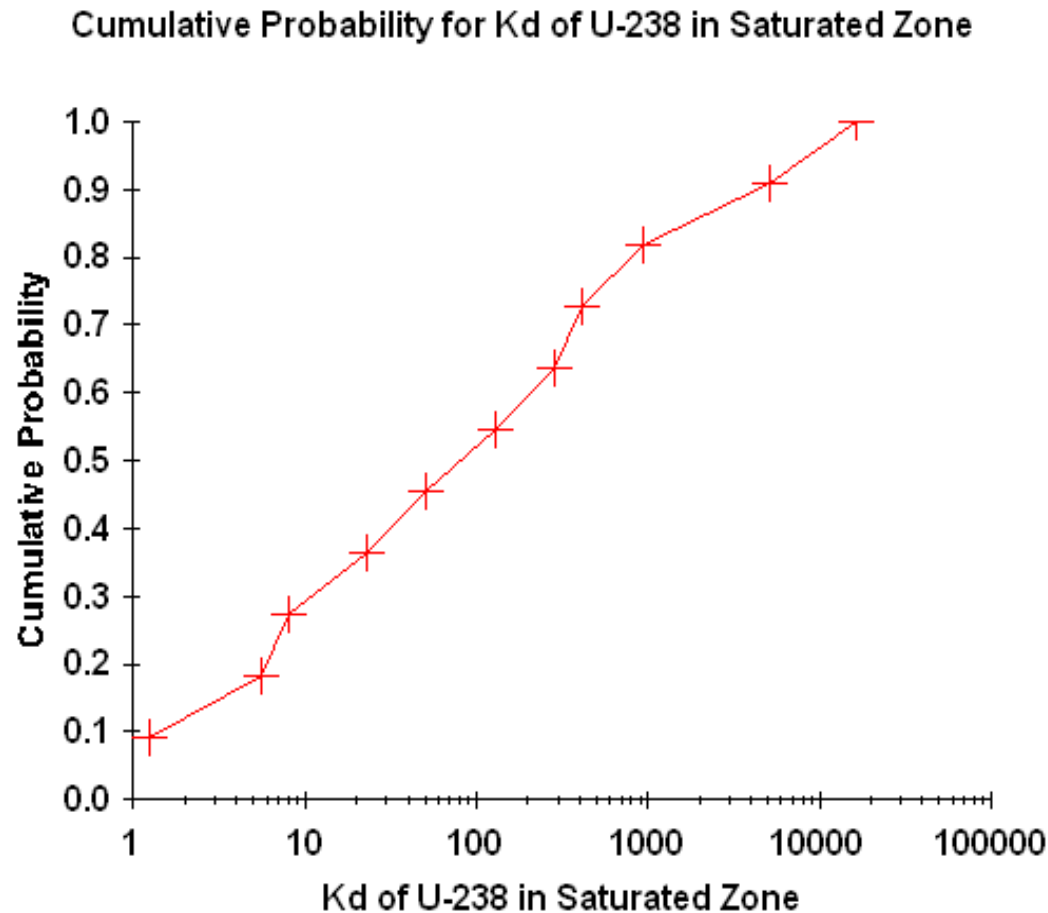
## Cumulative Probability Distributions of the $K_D$ in the Unsaturated Zone



# Cumulative Probability Distributions of the $K_D$ in the Unsaturated Zone



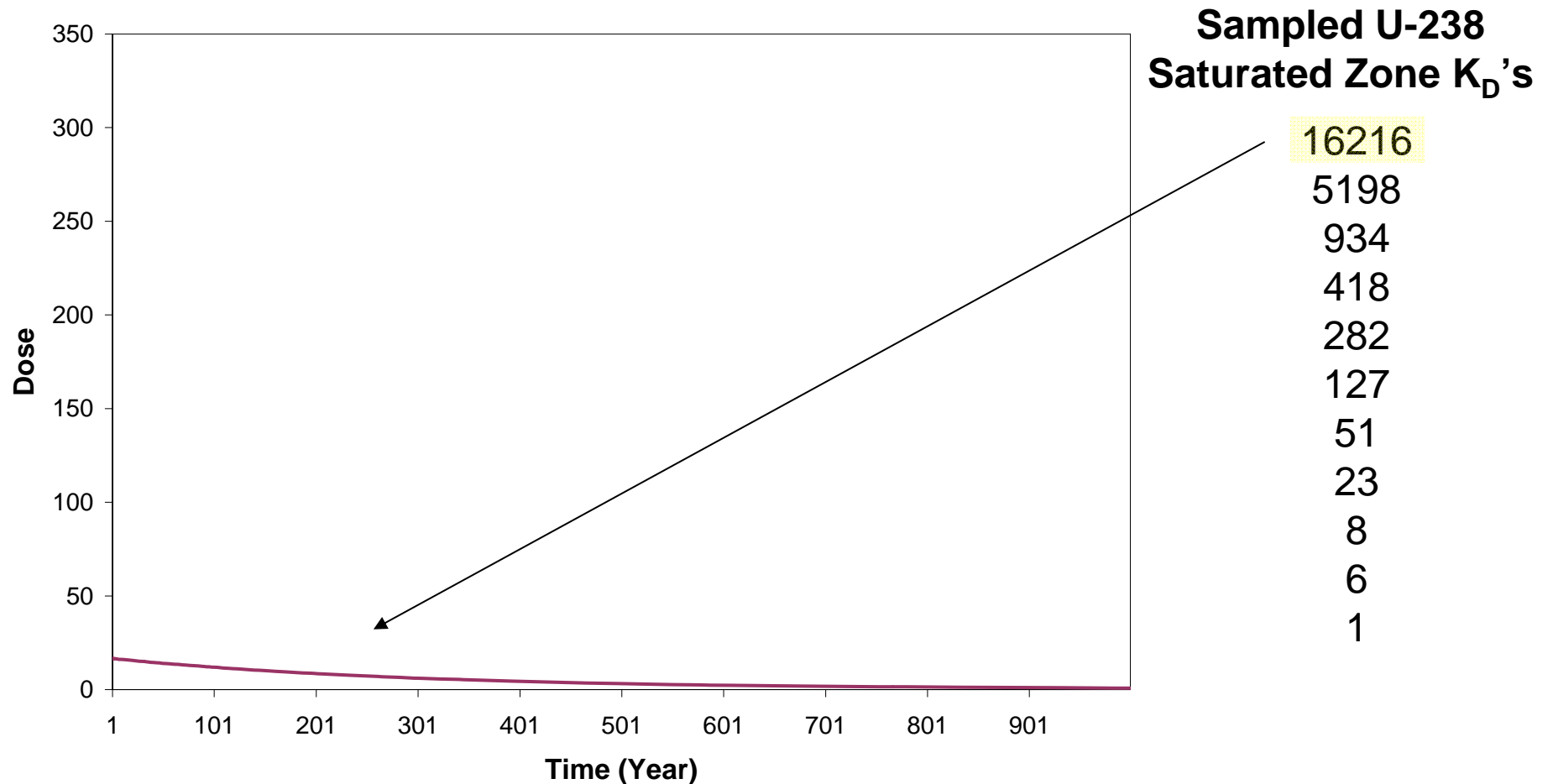
# LHS Sampling of the U-238 $K_D$ : 11 Observations



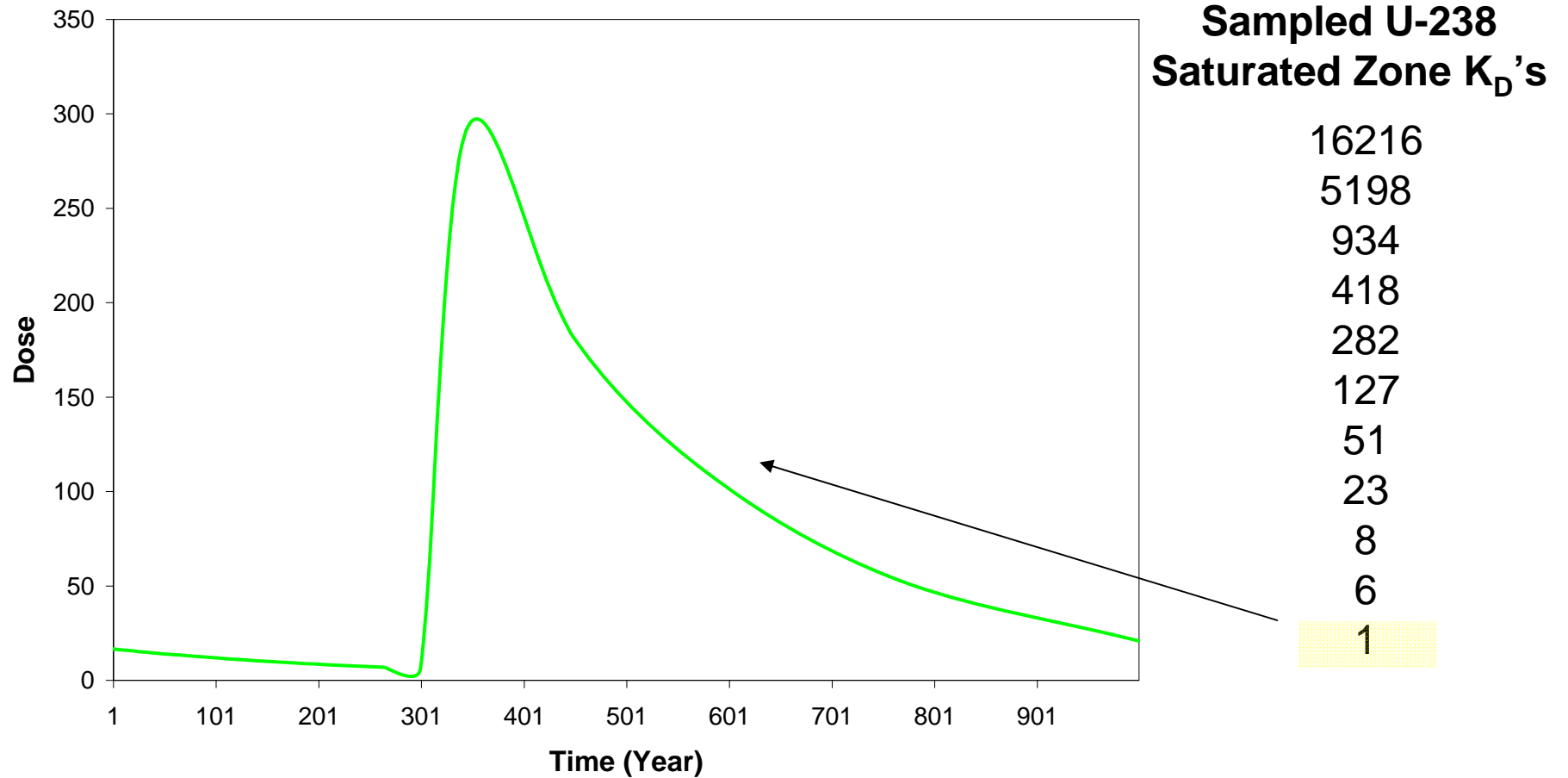
## Sampled U-238 Saturated Zone $K_D$ 's

16216	
5198	
934	<b>81<sup>st</sup> Percentile</b>
418	
282	
127	<b>54<sup>th</sup> Percentile</b>
51	
23	
8	<b>27<sup>th</sup> Percentile</b>
6	
1	

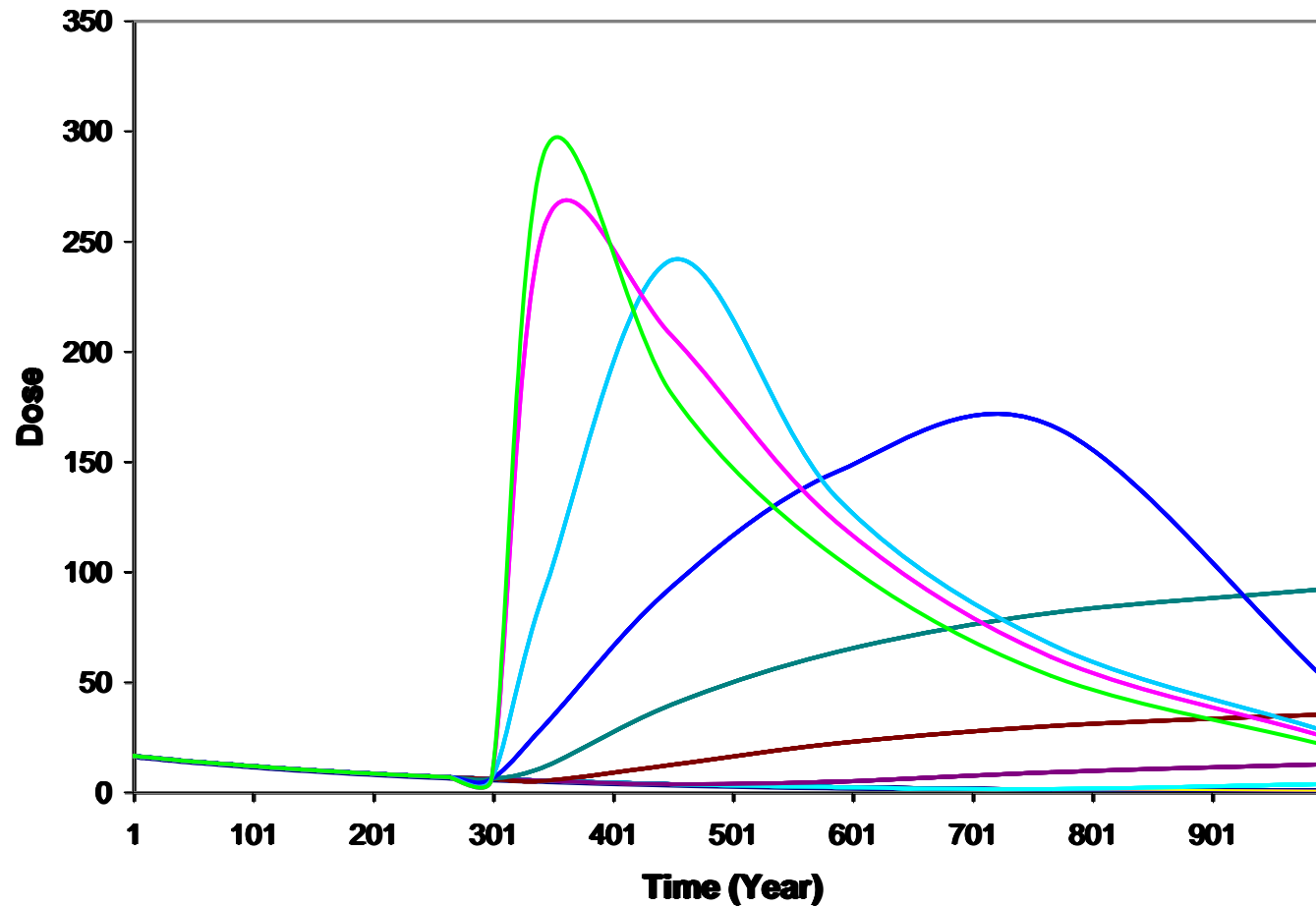
# Temporal Plot of Dose with a Largest Sampled $K_D$ in the Saturated Zone



# Temporal Plot of Dose with a Smallest Sampled $K_D$ in the Saturated Zone



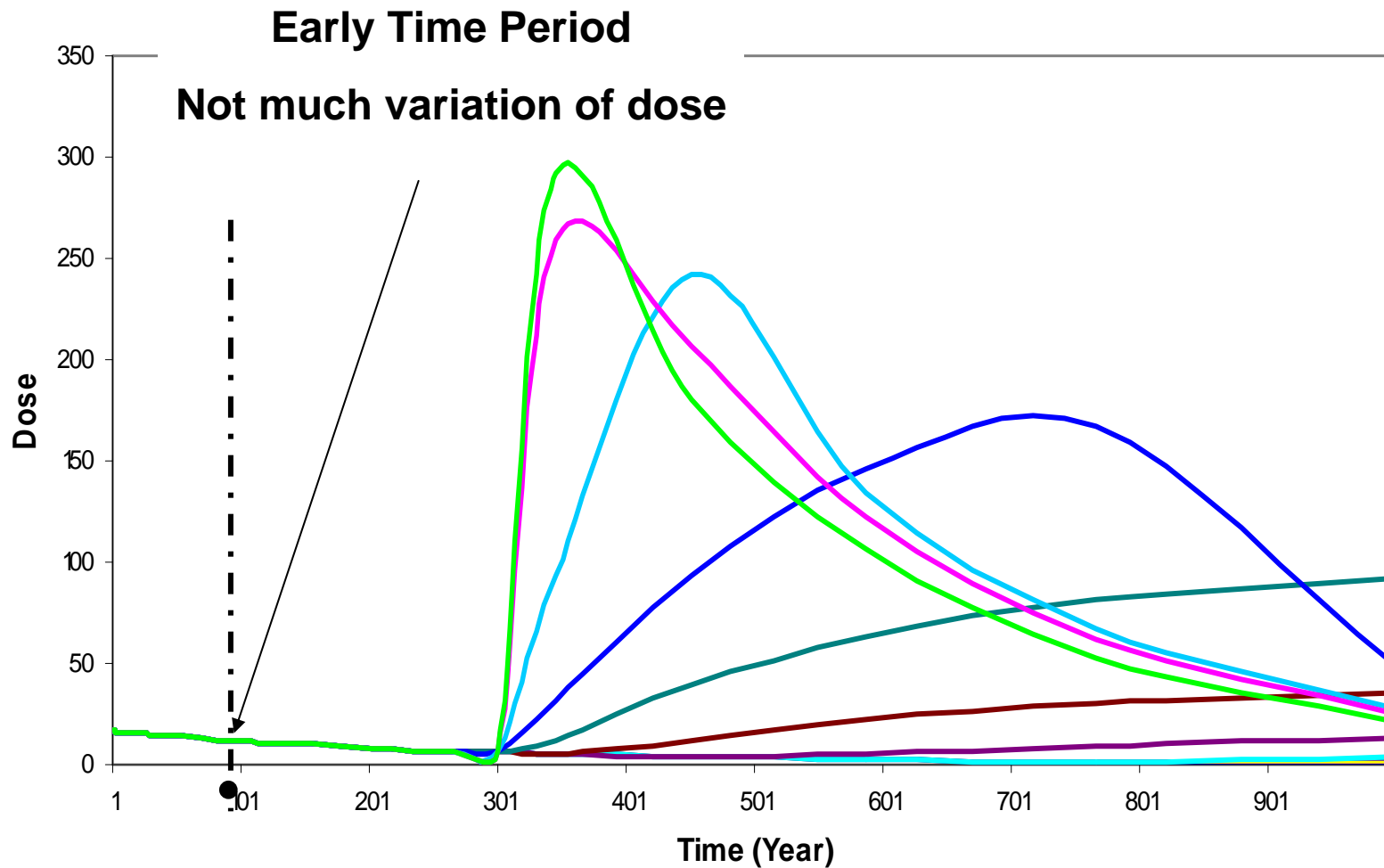
# Change in the Temporal Dose Profile as the $K_D$ in the Saturated Zone Changes



**Sampled U-238  
Saturated Zone  $K_D$ 's**

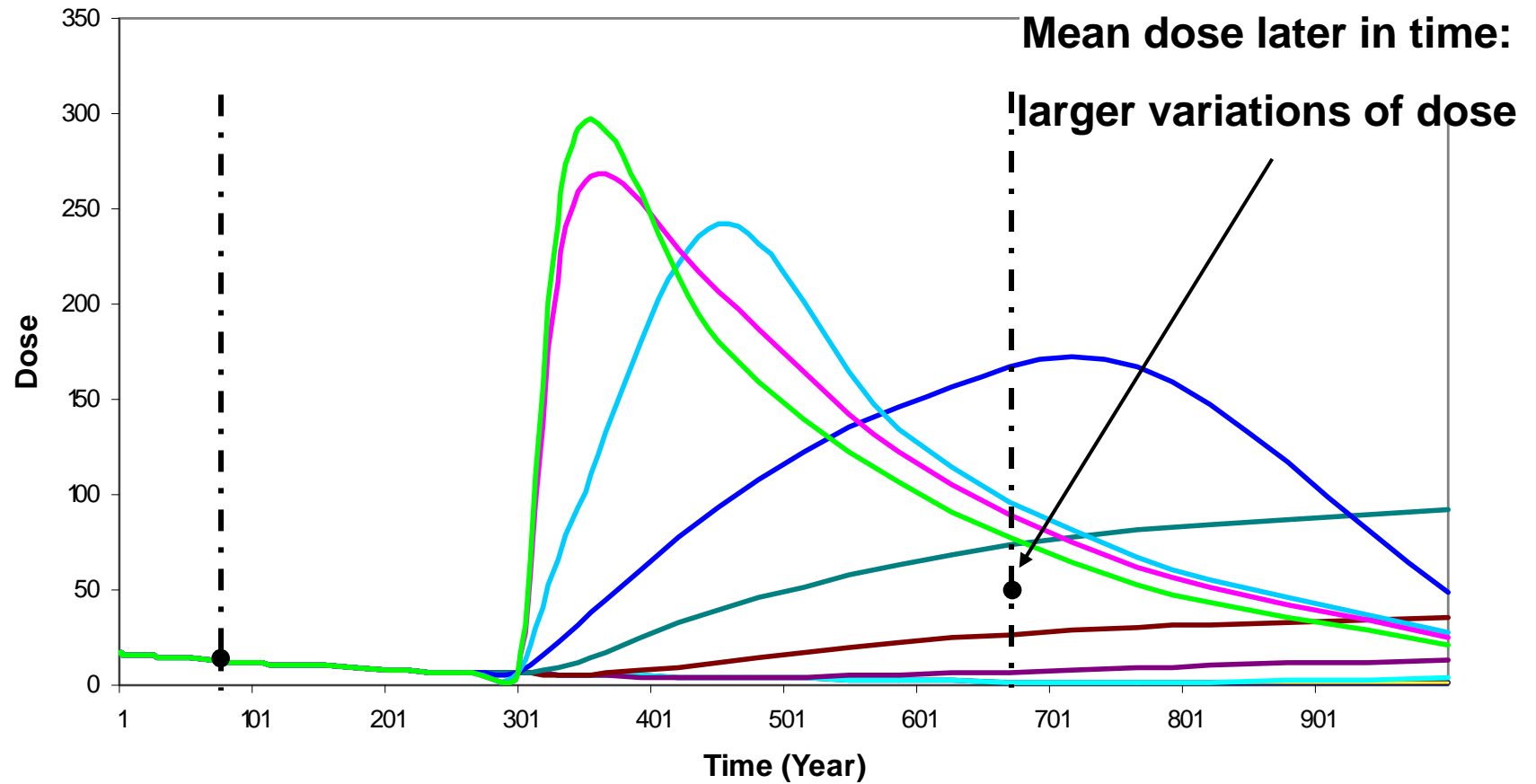
16216
5198
934
418
282
127
51
23
8
6
1

# Peak of the Means Approach

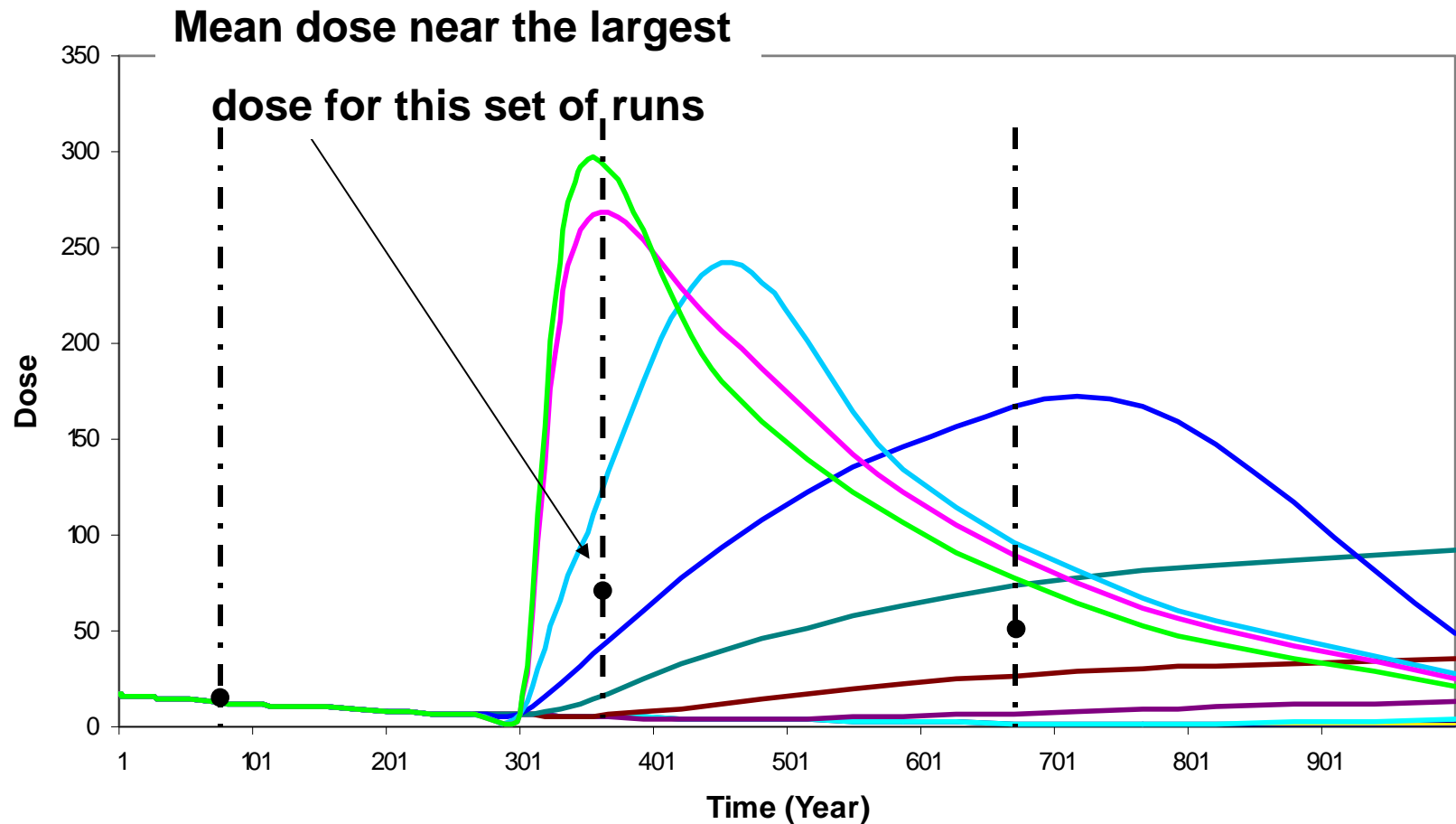




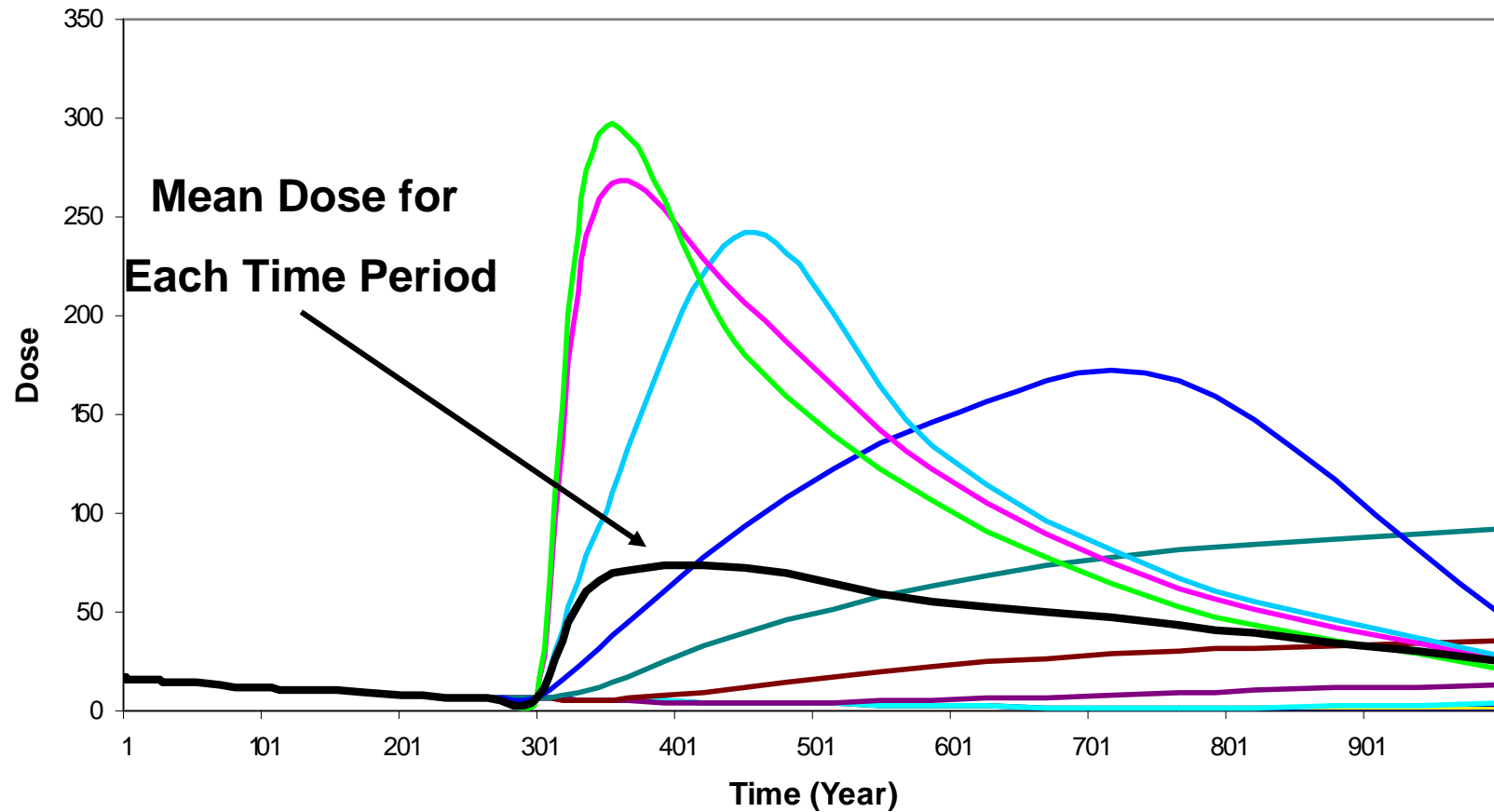
# Peak of the Means Approach



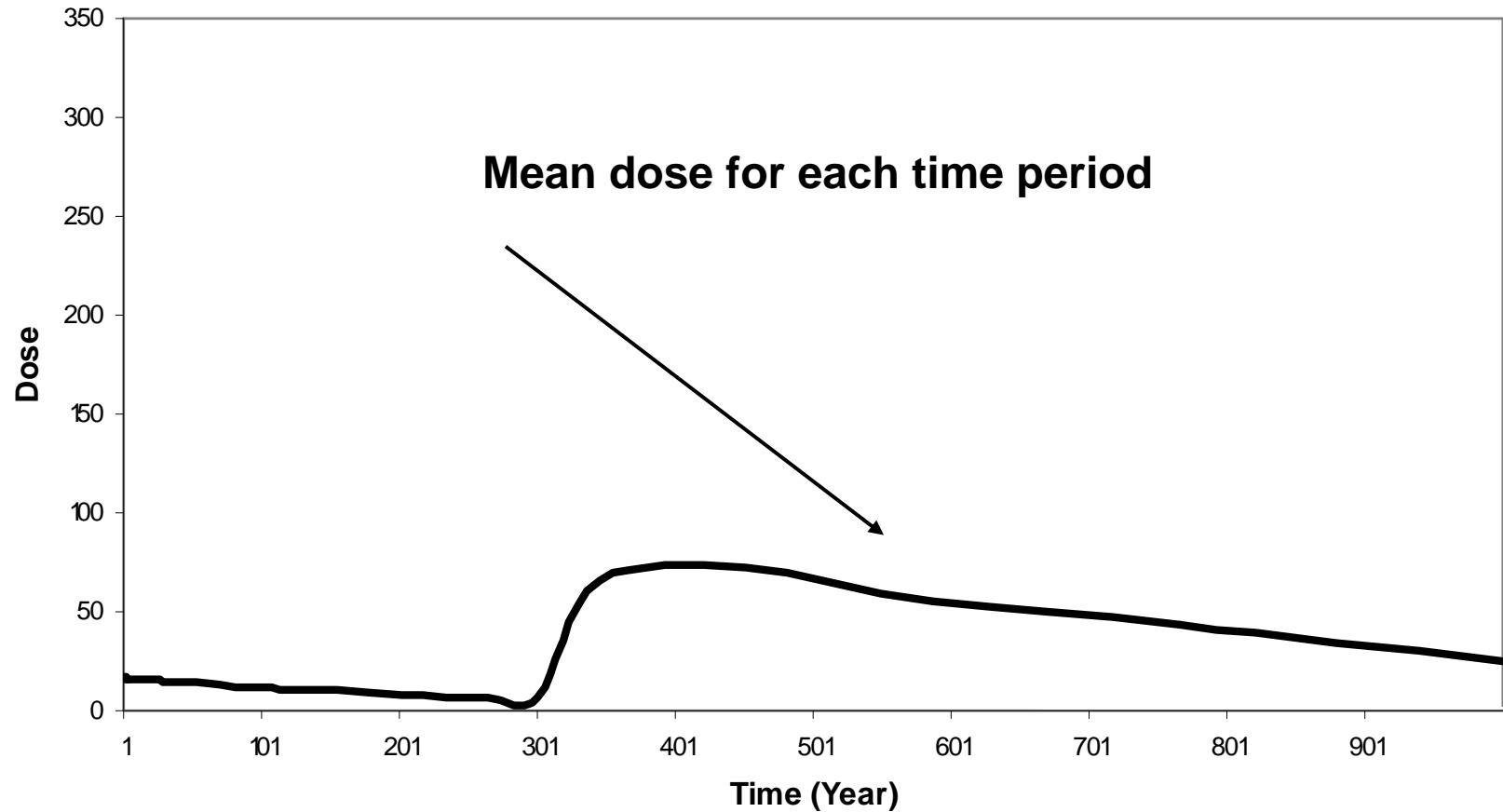
# Peak of the Mean Approach



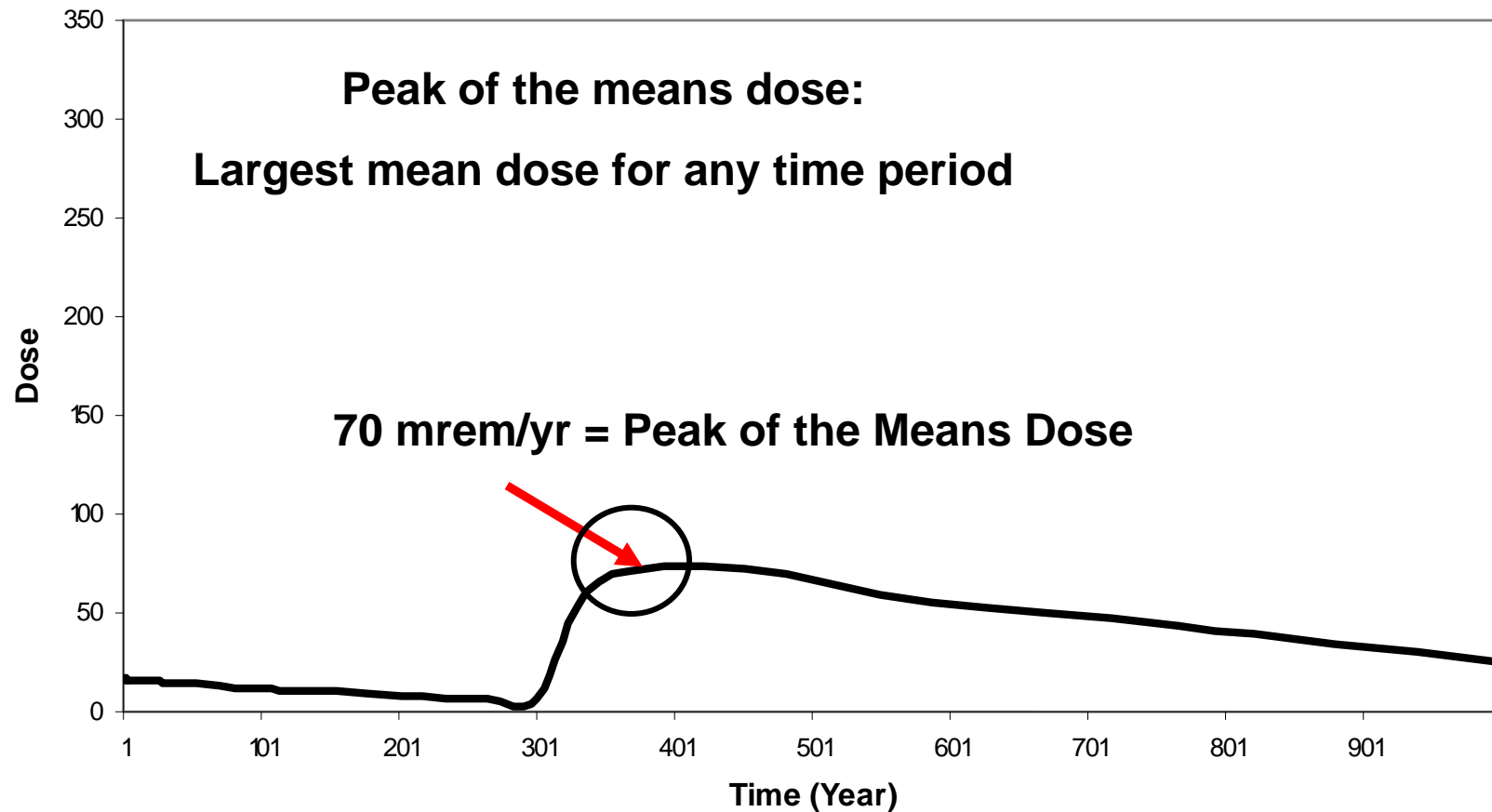
# Peak of the Means Approach



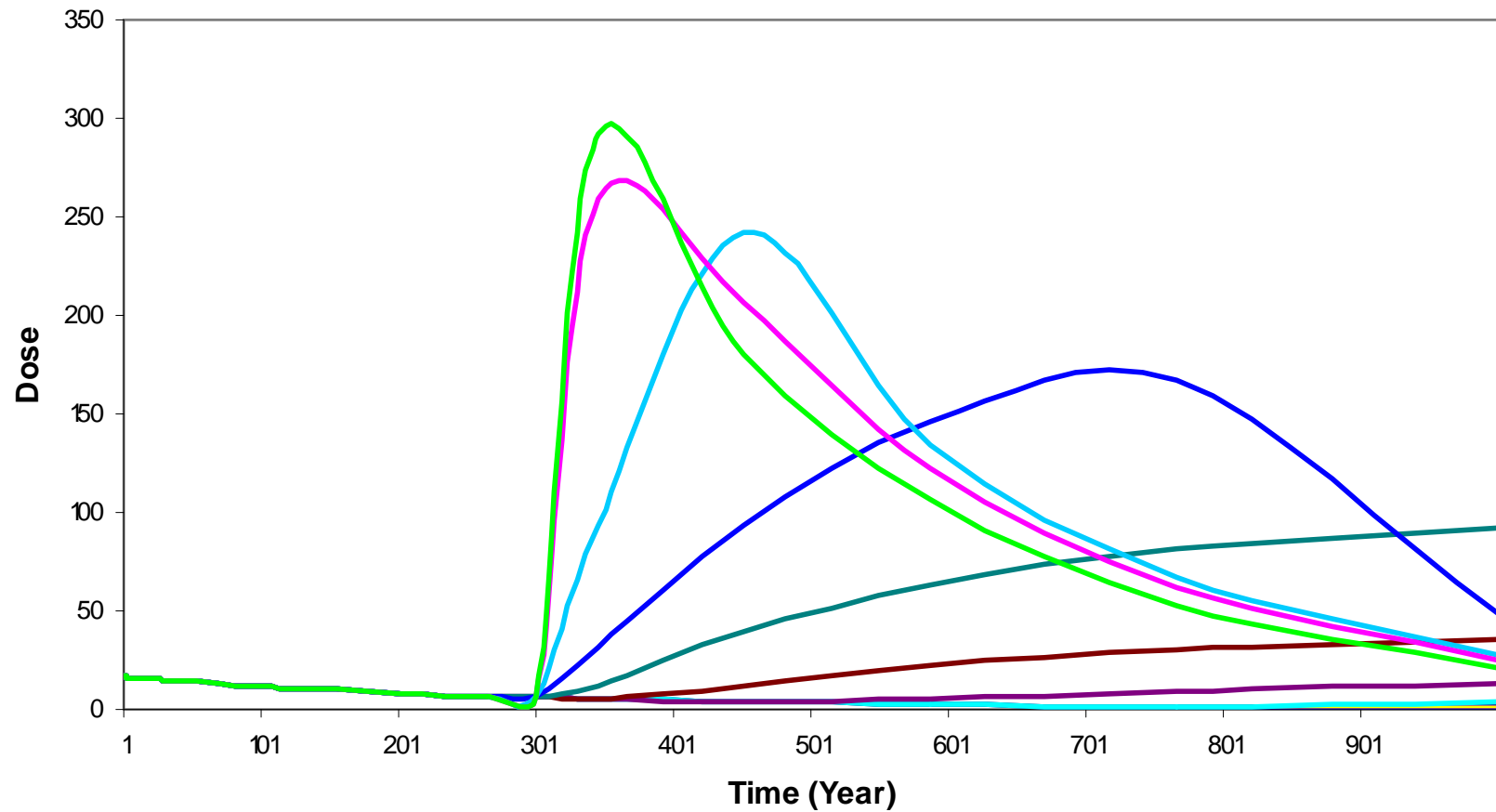
# Peak of the Means Approach



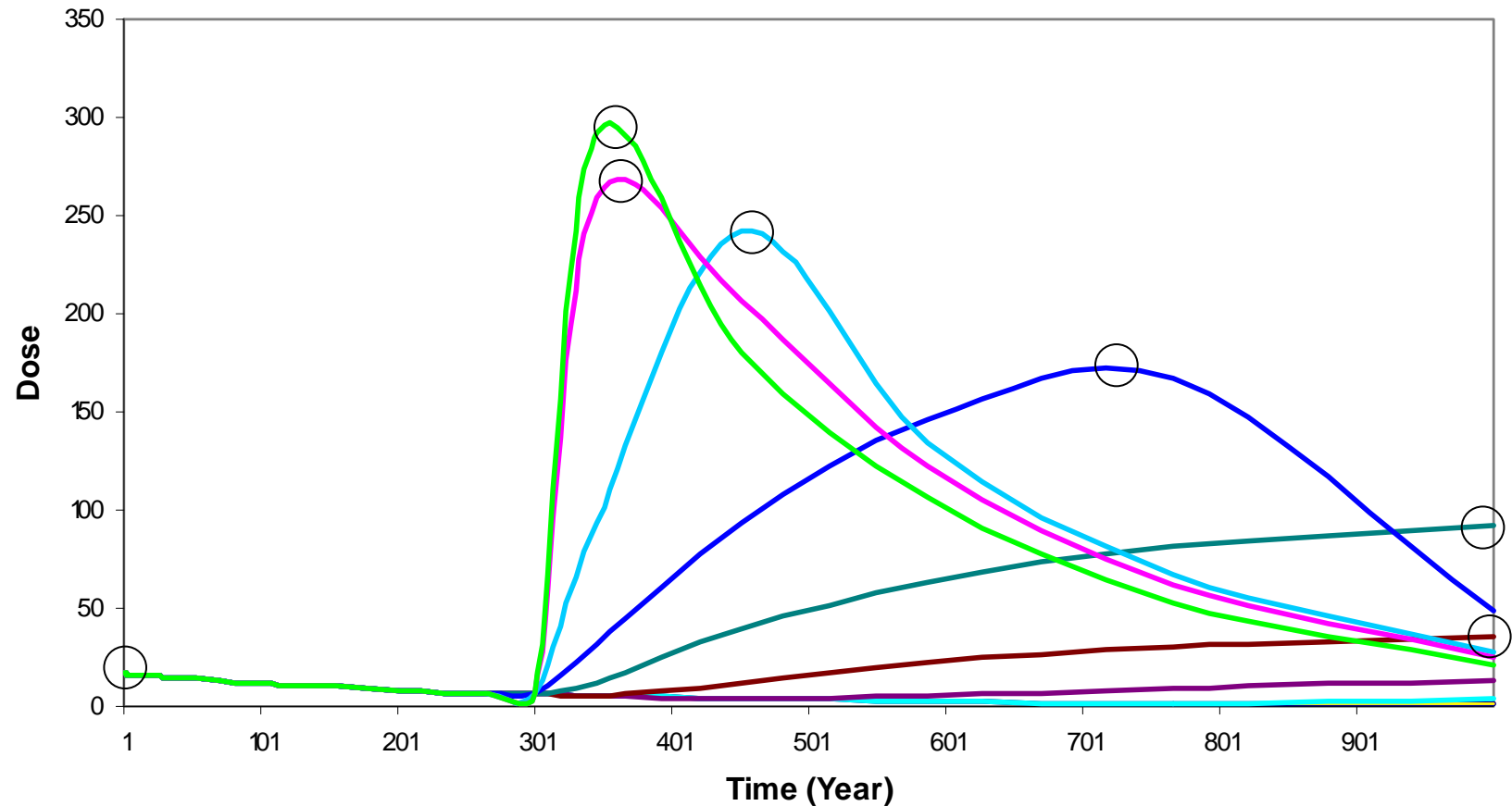
## Peak of the Means Approach: Finding the Peak



# Mean of the Peaks Approach: Original Results



# Mean of the Peaks Dose: Identify the Peak Dose for Each



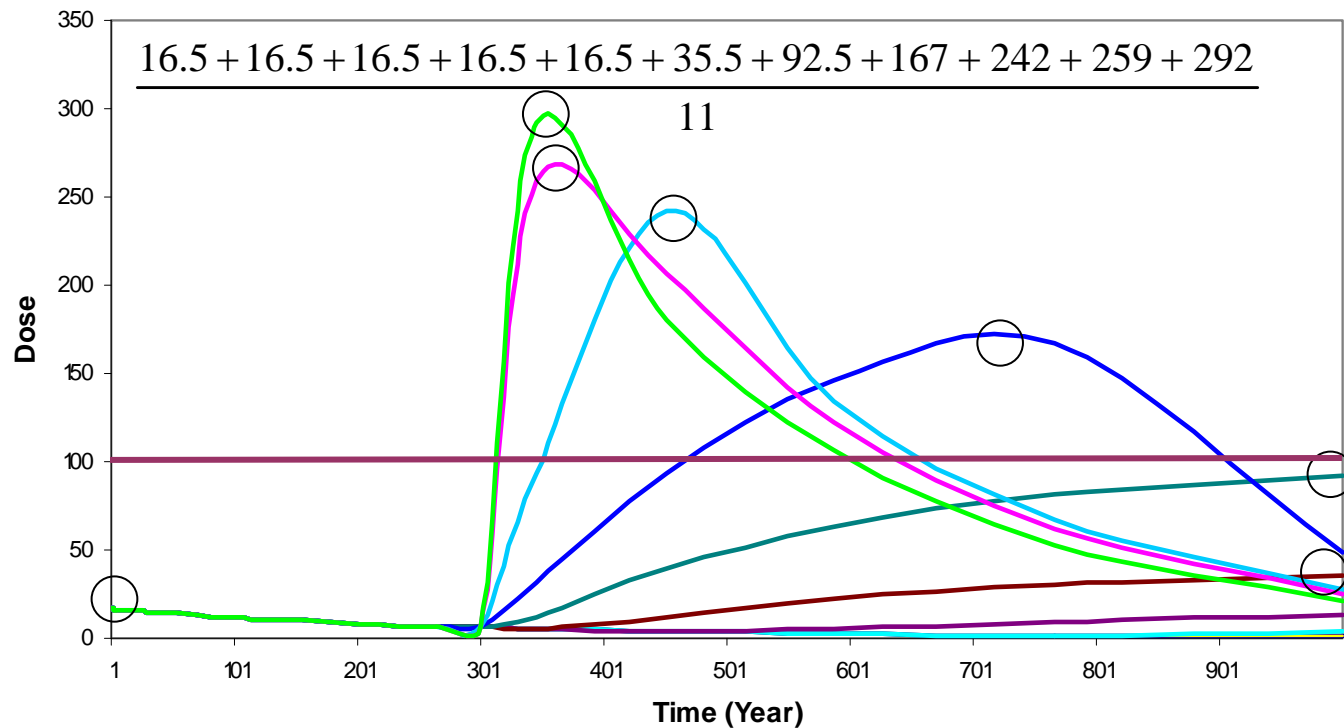
# Mean of the Peaks Approach: Calculate the Average Dose Using the Peak Values

Mean of the  
Peaks Dose

=

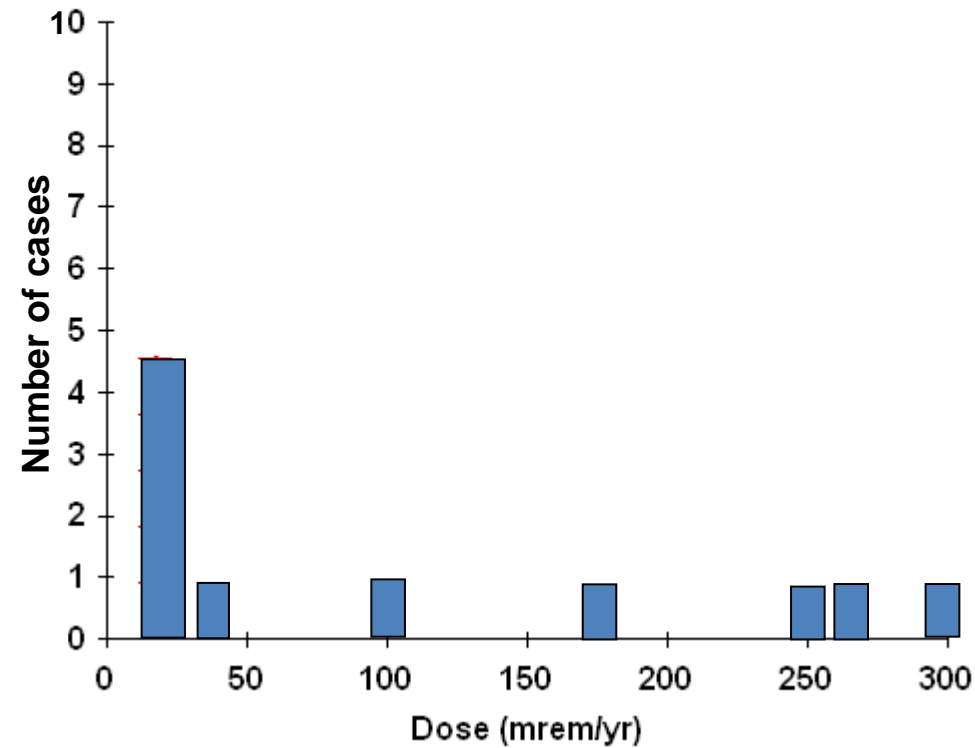
= 106 mrem/yr

mrem/yr

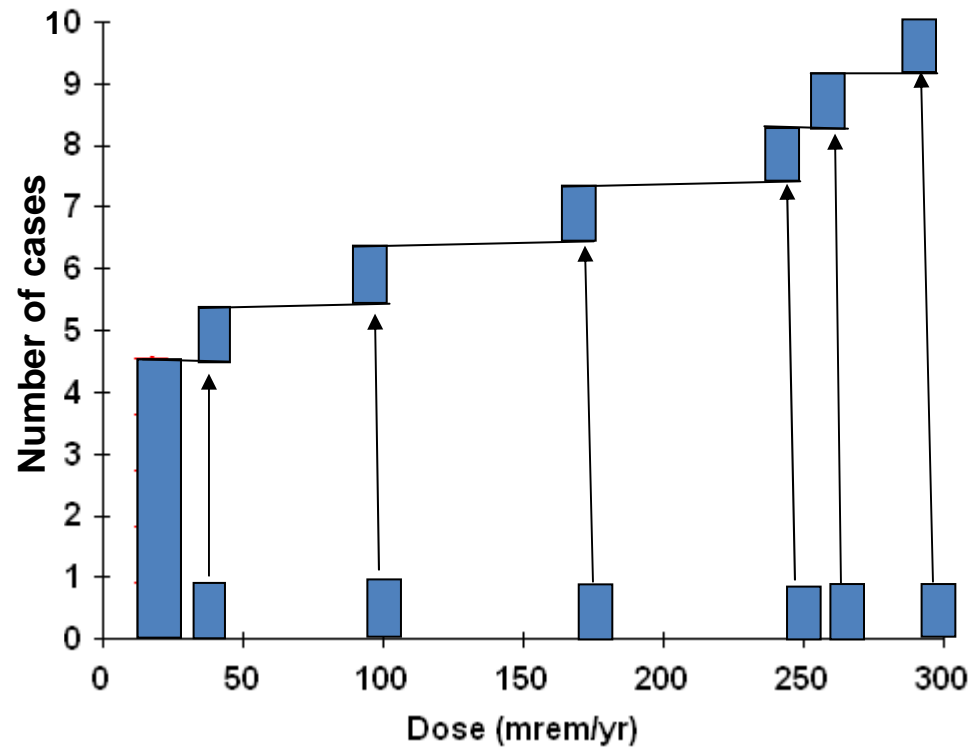




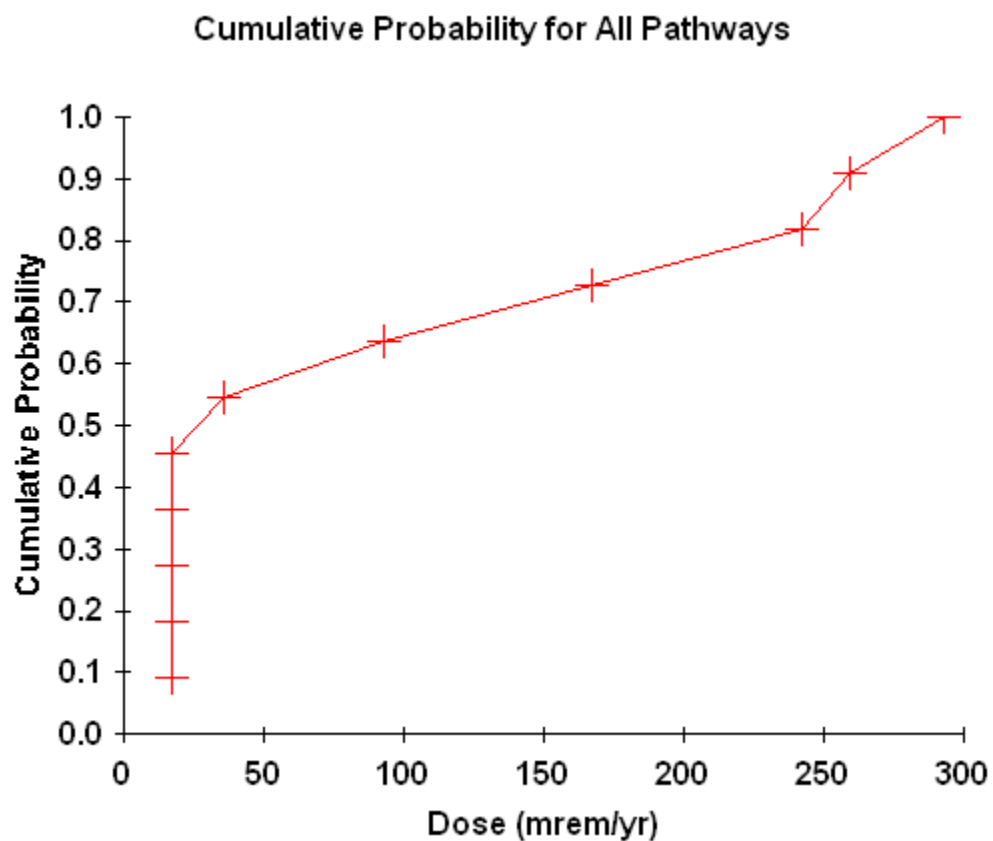
# The Resultant Distribution of Dose



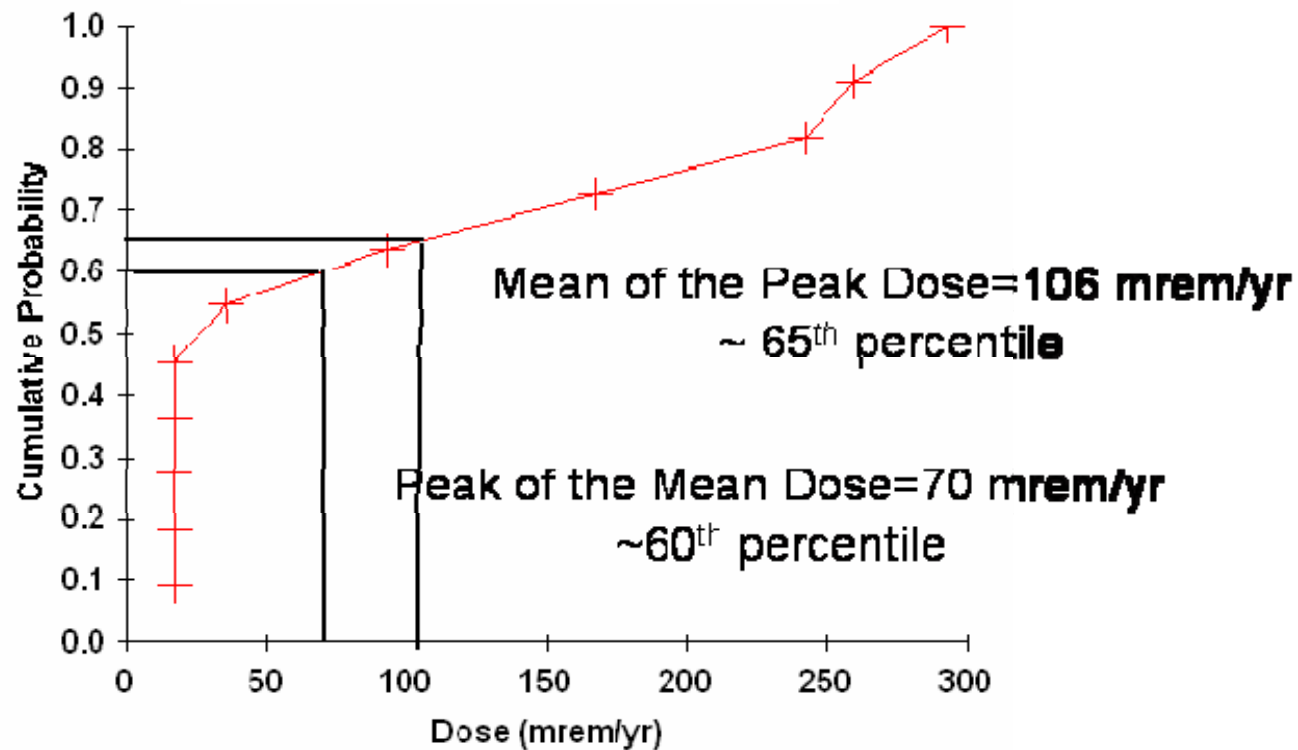
# Forming the Cumulative Probability Distribution



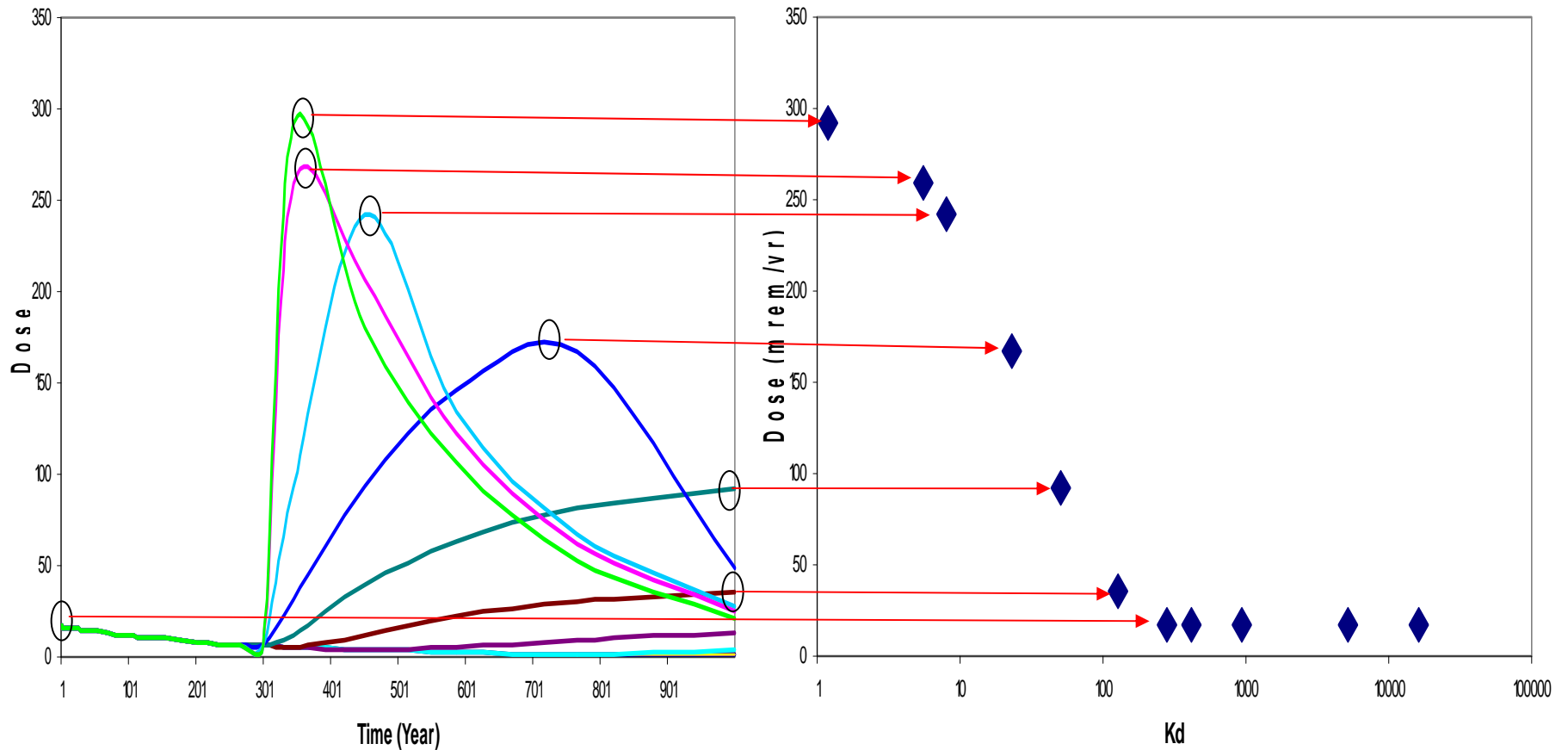
# Forming the Cumulative Probability Distribution



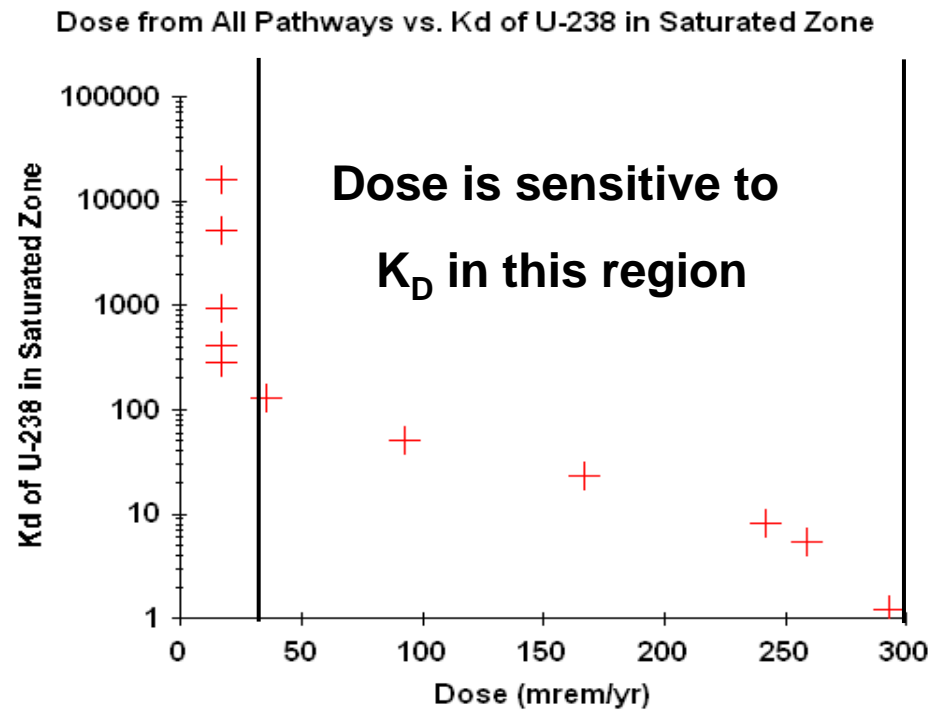
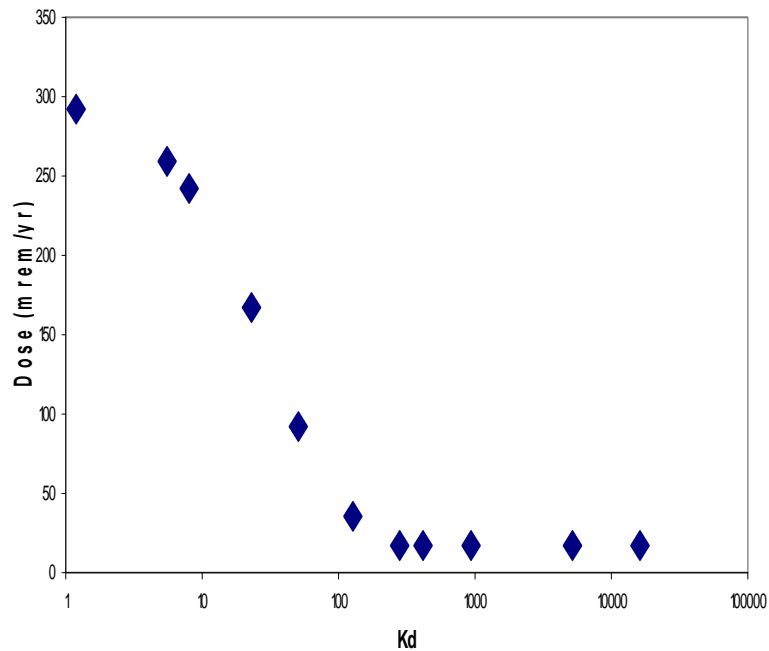
# Resultant Cumulative Probability Distribution



# Relationship (Correlation) between Peak Dose and $K_D$



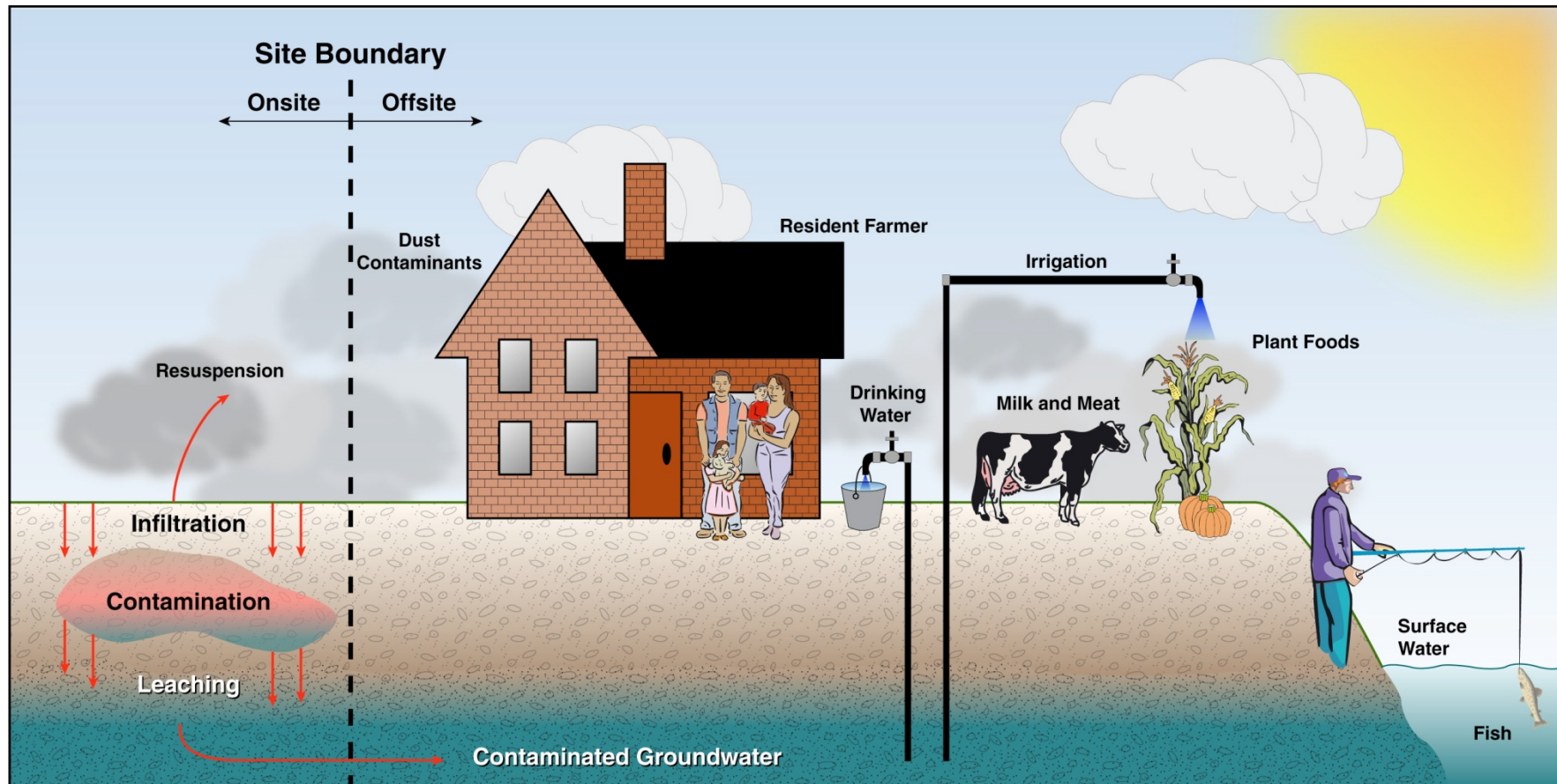
# Scatter plot of Correlation (as Seen in RESRAD)



## Comparison of Results

- “Mean of the Peaks” dose is always greater than or equal to the “Peak of the Means” dose
  - Peak of the Means Dose = 70 mrem/yr
  - Mean of the Peaks Dose = 106 mrem/yr
- When would the “Mean of the Peaks” dose and the “Peak of the Means” dose be equal?
  - The peak dose for each observation would have to occur at the same time

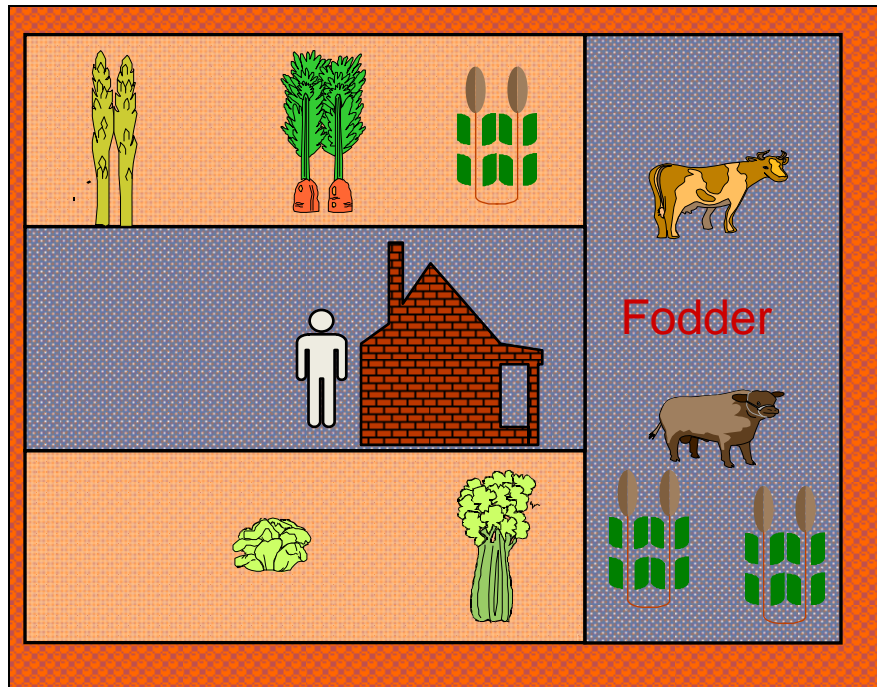
# RESRAD-OFFSITE – Extending the Analysis Beyond the Contaminated Sites





# Area of Primary Contamination

Fruit, grain, non-leafy vegetables



Dwelling site

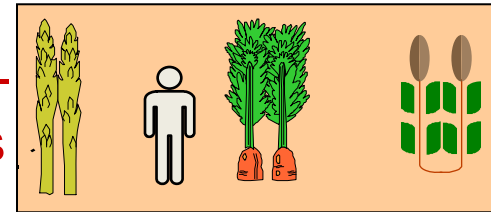
Leafy vegetables

Primary contamination

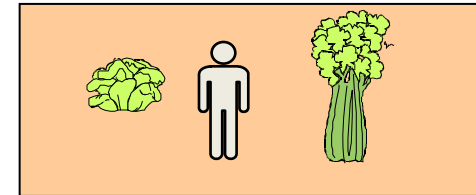
# Areas of Secondary Contamination

Primary contamination

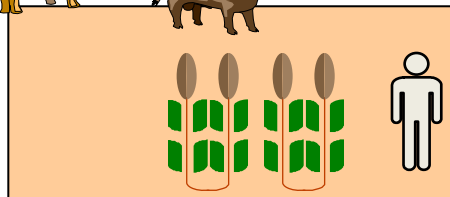
Fruit, grain, non-leafy vegetables



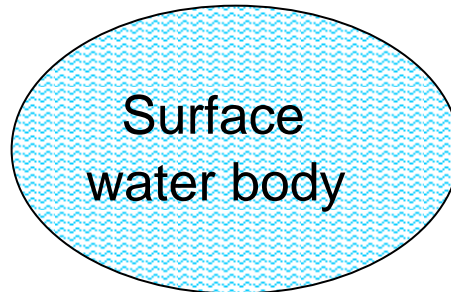
Well



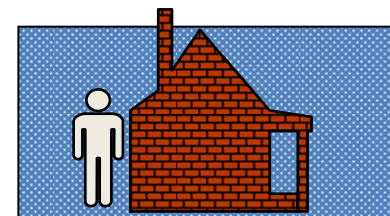
Leafy vegetables



Livestock grain

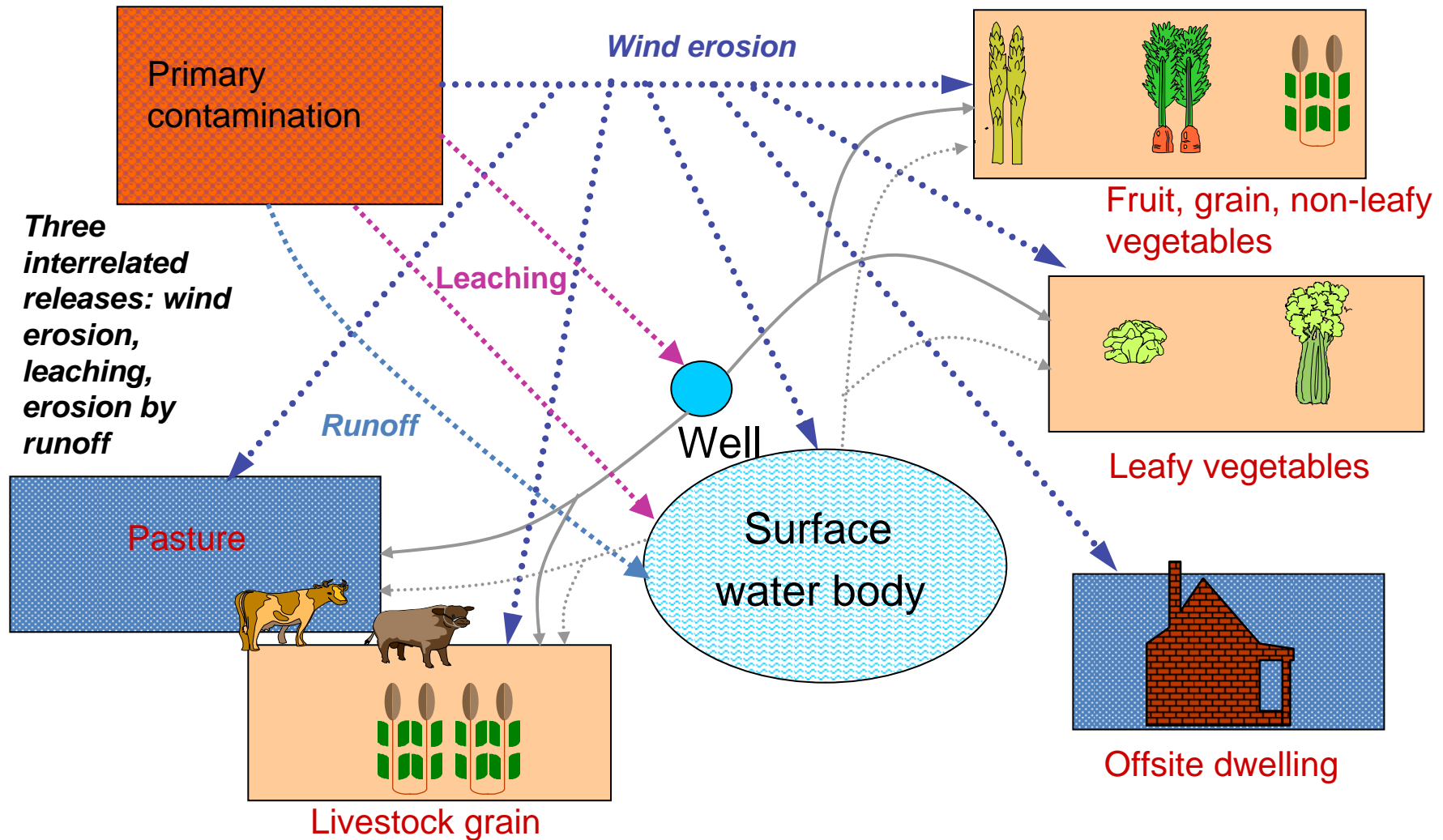


Surface water body



Offsite dwelling

# Transport to Areas of Secondary Contamination



## Major New Models/Features in RESRAD-OFFSITE

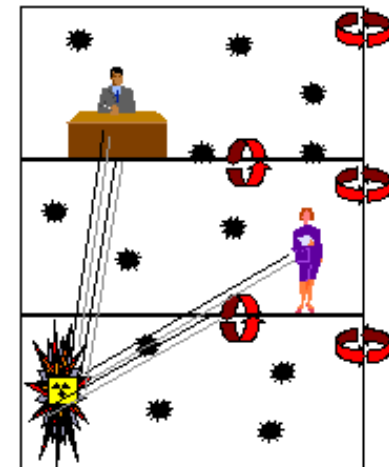
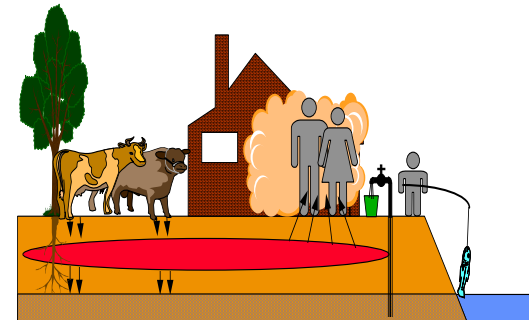
- Transport Pathways
  - Air dispersion (Gaussian plume) model
  - Groundwater transport model
    - 1-D advective, 1-D dispersive transport in unsaturated zone
    - 1-D advective (straight or curved flow path), 3-D dispersive transport in saturated zone
- Additional Impacted Areas
  - Choice of two dwelling locations (onsite, offsite)
  - Four agriculture areas
  - Well and surface water body can be at different locations
  - Accumulation in offsite soil and surface water body
- Improved User Interface
  - Graphical map user interface
  - Both deterministic and probabilistic analysis

# Input of Intermediate Contaminant Fluxes and Concentrations

- RESRAD-OFFSITE can be flagged to read in:
  - Releases and inventory of the primary contamination (deterministic run)
    - Flux to groundwater
    - Flux to atmosphere
    - Flux to surface water
    - Inventory remaining in the primary contamination and mixing layers
  - Concentrations in surface water and well
- This feature allows the application of RESRAD-OFFSITE to various contamination situations, e.g.,
  - Land disposal of waste
  - Emissions from effluent stacks
  - Discharges from wastewater pipelines

## Comparison of RESRAD and RESRAD-BUILD

- RESRAD (for soil contamination) and RESRAD-BUILD (for building contamination) codes address different contamination sources and uses:
  - Soil contamination that might lead to foodstuffs and water contamination through movement by natural processes
  - Building contamination in man-made products and air-flows that might lead to exposure during normal building occupancy or D&D activities



## Review of Extensions

- Sensitivity Analysis should always be used to gain understanding of the case and results (“Risk Informed”)
- Probabilistic Analysis allows use of more realistic parameter values – conservatism set in level of results used to determine cleanup criteria
- RESRAD-Offsite allows use of more realistic scenarios – scenarios and parameters should be justified
- Probabilistic and Offsite allows more flexible and realistic assumptions but these assumptions require more effort to justify and collect

